



State of Iowa Criminal Justice Integration Project

Adult Criminal Justice Exchange Report

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1. Introduction

1.1 Document Purpose

This document provides guidance to the State of Iowa by providing an analysis of the exchanges that were documented during the criminal justice exchange modeling sessions held by URL Integration, Inc. (URL) for the Iowa Department of Administrative Services. This document recommends integration approaches and prioritizes the exchanges for integration. This Integration Plan can be considered a roadmap to criminal justice integration for the State, providing business process and integration guidance to advance the State of Iowa to the next phases.

1.2 Project Background

1.2.1 State Integration Efforts to Date

Iowa has launched an effort to integrate the State justice community's information systems through a joint effort between the Executive Branch and the Judicial Branch of State Government. This effort was formalized in a Memorandum of Understanding signed in 2003. However, prior to this significant event the various state branches and agencies have been positioning themselves individually or bilaterally to make statewide integration a possibility.

Some of the major accomplishments and projects under way that have built such a foundation are:

- Electronic Traffic Citations (TraCS)
 - Traffic Citations are being transmitted electronically to the courts by all of Iowa's motor vehicle enforcement officers, state patrol officers who are equipped with in-vehicle computers, and approximately 30 local law enforcement agencies out of 200. All of Iowa's courts are now receiving electronic citations.
- AFIS – 60% of prints in 2002 were through Livescan
 - Livescan machines connected to the Department of Public Safety's AFIS system provide near real time positive identification of the suspect and the recording of arrest data into the criminal history repository.
- Iowa Court Information system (ICIS) exchanges to the Division of Criminal Investigation's (DCI) Criminal History Repository (IOWA System)
 - No Contact Orders
 - Disposition reporting to criminal history files (CCH)
- ICIS Secure Web Portal
- Courts Criminal Justice Information Network (CJIN) – 12 Counties
 - Gives judges instant access to statewide information on offenders, including criminal history
 - CJIN allows the court to view external justice system's information as well as court information throughout the state.

- Iowa Corrections Online (ICON) – Community Based Corrections to Institutions Continuum
- ICIS – Department of Transportation (DOT) Iowa Conviction Notification

Some projects, which are currently under design or in early implementation stages, are:

- Kaleidoscope – a web portal into state criminal justice agencies
 - Status Information
 - Notifications
- County Attorneys on state e-mail, ICIS web portal, photos from DOT
- Pre-sentence Investigation exchange between Corrections (ICON) and Courts (ICIS)

1.2.2 Exchange Modeling Project

In January 2003, URL began its exchange work with the State of Iowa. The State's justice exchanges were documented in a series of approximately ten meetings with county and city justice agencies participating.

This document addresses adult criminal justice information exchanges. The integration project focuses on integrating justice agencies in the State of Iowa (Iowa Department of Public Safety, Iowa Department of Corrections, the Judicial Branch, Iowa Department of Transportation and at the police departments, sheriff's offices, county attorneys, city attorneys, public defenders, and the courts.

It has been stated in a recent document that the integration project will address several areas in the process that need improvement. "At a minimum the following must be addressed:

1. From a criminal justice enterprise perspective analyze the processes, events, agencies, conditions, and information that are involved in transactions related to the flow of criminal justice information.
2. Collect, document, analyze, and portray in graphical format data about criminal justice information and business processes in Iowa.
3. As requested, provide information about Iowa's criminal justice information flow in the form of graphs, tables, charts or text and analyze the similarities and differences between jurisdictions to assist the State in understanding how its criminal justice system operates and to prepare for greater systems integration.
4. Identify redundancies, bottlenecks, and opportunities to improve justice system workflow and identify the individual points where information is exchanged throughout the justice enterprise that should be the highest priority for automation.
5. Analyze business requirements and provide best practice recommendations that should be used throughout the enterprise to streamline and maximize the flow of justice system information.
6. Provide a consistent, unified modeling language for future justice integration decisions.

7. Accurately capture current business processes based on user input to document the flow of criminal justice information throughout the enterprise.
8. Obtain state's acceptance of the solution.”¹

These objectives were considered a component of the exchange modeling process in an effort to capture the current business processes by gathering representatives from all participating agencies to provide input to the exchange process.

1.3 Integration Goals

The State of Iowa will achieve a positive return on investment from integration; not only in increased public safety but also through improved process management, improved agency communication, improved information organization and access, and improved criminal information for decision-making. The State recognized that “The development of a statewide-integrated criminal justice information system would achieve many important objectives.

- It would enhance public safety by providing criminal justice agencies and officials, including police officers, judges, and corrections officers, with faster access to important criminal justice information at critical points in the justice process.
- It would improve the efficiency of criminal justice agencies by reducing redundant data collection and entry, and by reducing or eliminating labor intensive, time-consuming paper-based processes; and
- It would expand the pool of statistical data available to state and local officials for making and evaluating public policies.”²

There currently is a statewide project underway to integrate the disparate criminal justice information systems throughout the criminal justice enterprise. In preparation for the establishment of a statewide criminal justice information system, we have sought to identify a basic philosophy of integration. There is the recognition that simply automating an existing process will not maximize the return on investment. Existing processes were generally developed based on the limitations of a paper-based process. These paper-based processes must be re-engineered to take full advantage of the technology available today to facilitate electronic transfer of data. Furthermore, amassing data from existing stand alone systems into a data warehouse without an understanding of the underlying source and transformations of that data will bring about uncertainty in the data's validity and integrity.

The State views a comprehensive understanding of the current business practice as a critical step towards the future of an enterprise wide solution to share criminal justice information. The goal of this project is to perform a statewide, system wide, criminal justice information analysis in Iowa through a review of current business practices. By using this analysis of existing processes, value streams may be identified and inter-dependencies evaluated.

These goals will be achieved through integration. URL is recommending a phased approach, which addresses the processes that can receive immediate benefit first. In

¹ State of Iowa Request for Services “Criminal Justice Information Systems Integration”, 2002

² State of Iowa Memorandum of Understanding “Criminal Justice Information System (CJIS)”, 2003

addition, this document provides guidance for achieving full integration of Tier 1. By automating the exchanges recommended in this document, the State will make great strides in achieving the goals above.

1.4 Integration Benefits

The integration process clearly provides many benefits to the criminal justice community. Some of these benefits are measurable in terms of monetary savings or reduction in personnel efforts. Others are more tangible but just as important in that they provide the criminal justice community as well as the public with better, more reliable data which contributes to better decision-making and ultimately increased public safety. Many of the integration benefits are listed below.

1.4.1 Better Decision-Making

With more and better information exchanges in place, the information about a particular criminal or case will be more complete and more up-to-date. It will also be more concise and easier to locate. This will lead to better decision-making, better reporting, and better policies based on real data.

1.4.2 Reduce Delays in the Flow of Information Between Agencies

Because the current justice system relies on the manual transfer of documents between agencies, the flow of information is not as reliable and predictable as it could be. Electronic transfer of information between agencies would ensure that documents and data are transferred real-time, with proper security measures and guaranteed receipt at the receiving agency. This should make the system much more reliable and predictable than it is currently which results in improved decision-making.

1.4.3 Improved Information Available to Agencies

In addition to the above, agencies will receive more criminal and case information than in the past. As a result of the exchange mapping process, URL discovered a number of notifications, notices, and documents that agencies would like to receive. This information can be made available within the justice system once it is integrated and easier to send and receive data between agencies.

1.4.4 Improved Staff Productivity

By eliminating redundant data entry, reducing mistakes that need correcting, and reducing the number of manual checks and balances within the criminal justice system, integration will greatly increase staff productivity. Data will only need to be entered once into the criminal justice system and propagation of the data to receiving agencies and databases will be electronic. Integration should also reduce the number of telephone calls, manual delivery of documents, and manual document generation, all which take staff time and effort. The staff will be able to focus on more strategic goals within the State.

1.4.5 Reduced Paper Costs

Electronic transfer of documents between agencies should greatly reduce the need for paper documents and multiple copies of documents sent between agencies. The

system will have the ability to electronically transfer not only the data in a document, but the document format so that it appears at the receiving agencies in the same format it was sent. Digital signatures will allow for the documents to be authorized online. This should result in a significant reduction in paper costs.

1.4.6 Reduce Dependence on Individuals Within Other Stakeholder Organizations

The current justice system is “people-dependant” in terms of relying on certain individuals for the transfer of information and documents between agencies. In many cases, information exchange is oral with no written record of the exchange. This becomes unreliable and untraceable in many cases. With integration, all exchange processes between agencies will be secure, traceable, and documented. The agencies can be guaranteed that they are receiving reliable data in a timely manner without having to rely on any particular individual.

1.4.7 Reduce Wasted Time Locating Information or Data

With integration, data should be available online to the appropriate users in real-time and eliminate the need to telephone or otherwise ask justice personnel or clerks for timely information which may affect criminal charges or otherwise. The data should be easily accessible and reliable.

1.4.8 Improved Data Integrity

It is clear that within the State of Iowa Justice System there are several exchanges of data where duplicate entry takes place.

Integration will greatly improve data integrity since redundant data entry and manual data entry efforts are prone to mistakes. Also, the enhancement of some of the processes such as efforts to increase disposition matching rates will improve the integrity of the data, improve criminal history records, and ultimately help law enforcement track and apprehend criminals. Data will be readily available, diminishing the need to look in several places to confirm or acquire information. It can also improve decision-making since more or additional information will be available in a timelier manner.

1.4.9 Improved Statistics for Policy Decisions

By tracking and storing the data that is being exchanged between agencies, the State will have access to more statistical information about criminal and cases, as well as workloads and caseloads. This improved information will result in the ability to obtain better statistical data and will ultimately have a positive effect on policy decisions.

2. Exchange Analysis

2.1 “As Is” Exchanges

2.1.1 Introduction

This section of the Exchange Report documents the justice business rules and analysis of the interagency exchanges, as they exist today. This is an overview of the information gathered in the sessions between URL Integration, Inc. and the justice personnel. The knowledge documented and gathered from the sessions is essential to the success of criminal justice integration within the State because the State now has complete and concise information about key interagency exchanges that will be a part of integration as well as the complexity and variability of those interactions. Essentially, the State already has the business rules that are a critical component of the integration process. These business rules, or “as is” exchanges, will drive many of the forthcoming decisions regarding the integration project approach.

2.1.2 Methodology

The foundation for the identification and documentation of the “As Is” exchanges is URL’s Exchange Modeler© tool. The tool is a collection methodology and application that incorporates elements of information exchange to facilitate the creation of a framework or model for information exchange throughout the State’s criminal justice environment.

The tool is based on Object Management Group’s (OMG®) standards for Unified Modeling Language (UML™). A goal of OMG is “setting vendor neutral software standards, and enabling distributed enterprise-wide interoperability”. UML is the industry standard notation for designing complex software systems.

The tool is employed during a series of sessions with Iowa justice agency representatives to capture the business rules. Through the methodology, a structure is imposed which collects the elements of an exchange into UML classes that have strict associations with each other. The tool is web-based, allowing agency staff and the CJIS project lead to review the information collected from any Internet access point at any time. The tool documents current exchanges, gaps, and follows information through the entire justice enterprise. The Exchange Modeler© produces detailed reports, viewing the information collected in a variety of ways including by agency, documents, or a particular business process.

The tool captures information using the following modeling elements:

- **State** (state)– the state, which the subject is in the beginning of the event and at the end of the event. This adds meaning to the exchange as well as documents state changes.
- **Event** (event)– trigger point for an exchange, e.g., Booking, Warrant Review, Prosecution Charging Decision, Correctional Discharge, etc.
- **Agency** (actors) – sender or receiver of criminal justice information, e.g., Corrections, Law Enforcement Agency, County Attorney’s Office, Defense Attorney’s Office, District Court, Sheriff’s Office, etc.

- **Condition** (guard condition) – Boolean factors (true or false) within an event that affect the flow of information, e.g., whether the case is a felony or misdemeanor, whether the subject is an adult or a juvenile, whether the subject is in custody or at large, etc.
- **Information** (information) – the documents, data types, and data elements that transfer throughout the State of Iowa’s criminal justice environment.

2.1.3 Exchange Overview

During the sessions, there were 358 adult criminal exchanges identified. The majority of the exchanges involve the Clerk of Court, County Attorney, Local Law Enforcement Agency (LEA), the Sheriff’s Office, the Defense Attorney (Public Defender), the Department of Public Safety, and the Department of Corrections. The breakdown of the exchanges by agency is depicted in the following two diagrams. The first diagram Figure 1 highlights the number of exchange business rules documented by the sending agency, the second diagram Figure 2 highlights the exchanges by the receiving agency.

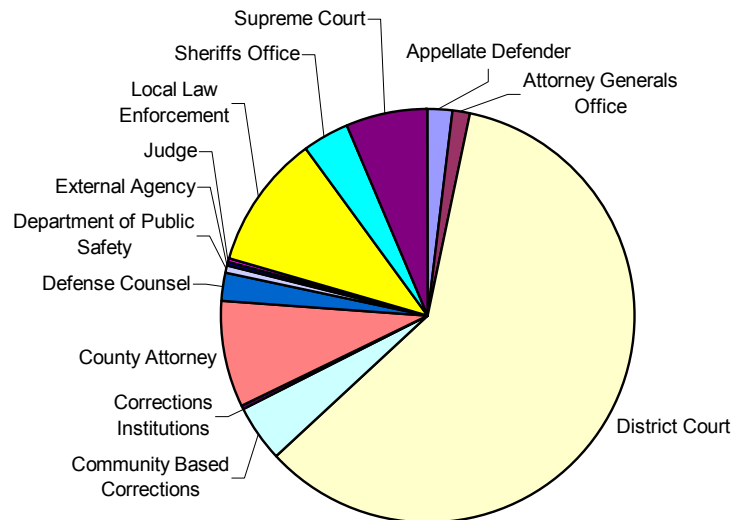


Figure 1 – Exchanges by Sending Agency

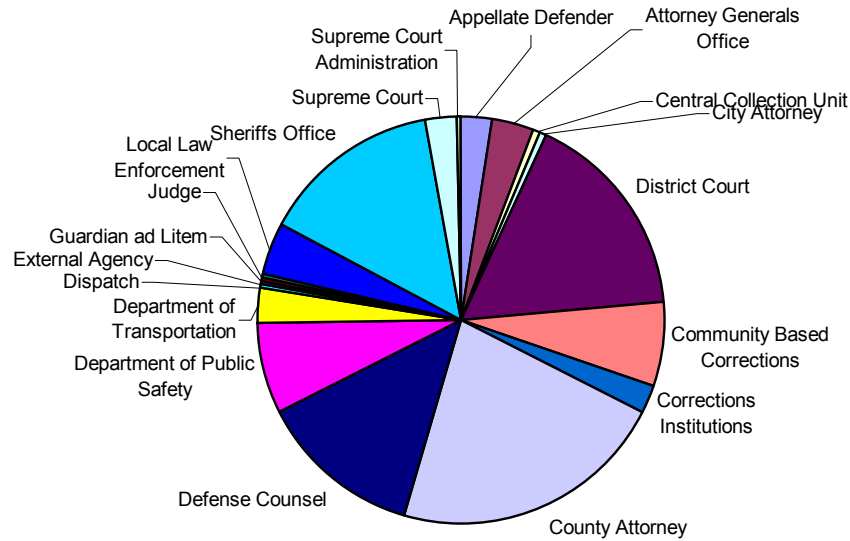


Figure 2 – Exchanges by Receiving Agency

There are 121 different document types being exchanged between agencies, a very small amount of these are oral exchanges. Many of these documents, their data elements, and images, are stored in the ExchangeModeler® tool. Hopefully, this gives the reader a good idea of the magnitude of the exchange process and the importance of understanding the exchanges. Many of these documents are only exchanged in a very few cases while others are more involved. The document that appears in the most exchanges is the Complaint & Affidavit (Preliminary Complaint) with 51 occurrences followed by the Judgment and Sentencing Order with 38 and the Notice of Court Date with 35. The table below lists the documents identified in 10 or more exchanges.

Document	Exchanges
Complaint & Affidavit	51
Judgment and Sentencing Order	38
Notice of Court Date	35
Court Order	34
Criminal History	25
Warrant Check	25
Drivers Record	24
Order for Sentencing	24
Citation and Complaint	20
Motion	19
Report of Violation	19
No Contact Order - 236	16
Arrest Warrant	15
Trial Information	15
Case Report	14
Judgment Entry	14
Appellee Final Brief	13
Court Record	13
Appellant Final Brief	12
Pre-Sentence Investigation Report	12
Order for Precedence Investigation	11
Uniform Traffic Citation & Complaint	11
Notice of Appeal	10
Return of Service	10

Table 2 – Exchanges by Documents

2.1.4 Participating Agencies

There were 11 different types of justice agencies participating in the exchange sessions. State agencies were represented as well as representatives from all types of local justice agencies. Representatives from the agencies attended and provided input to the exchange process.

Agency	Jurisdictions/Divisions
Attorney General	1
Circuit Clerk	3
County Prosecutor	1
DOC	2
DOT	1
DCI	3
Judges	2
Local Law Enforcement	2
Public Defender	1
Sheriff	2
Supreme Court	1

Table 3 – Participating Agencies

2.1.4.1 Law Enforcement

In most criminal justice scenarios, the information collection begins with the law enforcement agency. This collection medium may take the form of a Uniform Traffic Citation and Complaint, a (non-traffic) Citation and Complaint, Complaint and Affidavit, and/or Case Report depending on the charges. These documents are sent to the other justice agencies and provide the mechanism by which they initiate their process. Law Enforcement is the sending agency for 38 of the documented exchanges and the receiving agency for 15 of the exchanges.

If an individual is booked, the arrest information is submitted to the Department of Public Safety. The Fingerprint Card is used for obtaining positive identification and updates the State's criminal history repository. 60 percent of the fingerprinting is currently done using LiveScan machines. A LiveScan booking automatically initiates an electronic transfer of the Fingerprint Card to the Iowa System and has the capability of returning a positive identification within minutes. Law Enforcement agencies submit a "green sheet" to the County Attorney in cases where there has been an arrest and booking. The green sheet is later used to match arrest to disposition. The form contains personal identifiers such as name, demographics, DOB, a Document Tracking Number (DTN) and the arrest charges. The Green Sheet may also contain a Division of Criminal Investigation Number (DCI#), FBI#, and SSN if known. The DTN, DCI#, and the FBI# do not appear on the complaints or citations.

In Iowa, the charging process varies based on the jurisdiction and type of offense. For citable offenses, the forms are consistent statewide. The information required in the citation is defined in statute, section 805.6 of the Iowa Code. Iowa, through the Traffic and Criminal Software System (TraCS), is well on the way toward fully integrating this charging process with the Court and is a national model. Traffic Citations move directly from law enforcement to the Courts with a set initial appearance date. This is enough to initiate the court case. Traffic offenses constitute a large percentage of law enforcement charging and therefore a great savings in staff time.

For offenses in the non-traffic enhance able misdemeanor (non-citable) category and indictable offenses, there does not exist a uniform charging instrument or filing process. Generally, there are three types of complaint forms, the Uniform Citation and Complaint (traffic), the Police Citation and Complaint (short form), and the Complaint and Affidavit (Preliminary Complaint or long form). The interpretation of when a short form or Citation may be used and when a long form or Complaint may be used is reported to vary between jurisdictions. The primary difference is the space available for attesting to the specifics of the offense.

In most cases the complaint is for indictable or serious offenses and may warrant prosecutorial review prior to proceeding beyond the initial appearance. However, this varies between jurisdictions as well.

If the subject is not in custody, law enforcement may initiate the arrest warrant process. Whether or not a warrant request requires prosecutorial review varies as

well. However, law enforcement does have the ability to put out a temporary warrant on the Iowa system if immediate action is required.

2.1.4.2 County Prosecutor

The County Attorney was identified with the second largest number of exchanges. The County Attorneys are obviously vital to the integration process because they are responsible for all state charges entering the Court system. They may review all offenses with state charges prior to first appearance. However, in practice, it appears this will vary widely, not only by jurisdiction but also by type of offense. For example, in the larger metropolitan areas the County Attorney's tend to review indictable offenses and certain types of simple misdemeanors. In the more rural jurisdictions, the County Attorney may not get involved until the preliminary hearing even on indictable offenses. The County Attorney does have responsibility for notifying the victim and will often become involved early in a victim-related offense regardless of severity.

The size of the jurisdiction and the relationship with local law enforcement seem to correlate with the level of delegation the County Attorney gives law enforcement in the charging process. This is not to suggest that where it is not broadly delegated there is a negative relationship, what it does suggest is that in more rural jurisdictions, the personalities are more known and the expectations easier to communicate. In larger jurisdictions, naturally the volume is higher and the amount of serious offenses is greater. There is more of a division of labor in each of the larger agencies because making personal communications is a less reliable means for policy enforcement.

Iowa Code and Court Rules to a certain degree enable this variability in behavior. The County Attorney may file a Trial Information at any time prior to a preliminary hearing, thus avoided its necessity. The filing of a Trial Information is a formal prosecutorial action for moving a case with indictable offenses forward. However, the County Attorney may also choose to let the case stand on the law enforcement charges, not filing Trial Information and arguing the probable cause at a preliminary hearing. There are, of course, many variations to the path this process may take, such as the defendant waiving preliminary hearing, accepts for diversion, files another complaint, or seeks dismissal. The variability allowed for the timing and mechanism of prosecutor intervention naturally leads to a wide variety of practice. This is fine and beneficial at the local level. However, at the state level, when seeking the required level of standardization for automation and integration, it will be a challenge.

There is no uniformity within the State regarding the Complaint and Affidavit form used by law enforcement for a non-citable offense. The forms seem to vary widely and the County Attorney can exercise influence on what information these forms contain. In many jurisdictions, the Complaint contains less information about the defendant than does the citation, specifically demographics. The exception being the details surrounding the offense itself are most often described in narrative form. Some jurisdictions utilize very detailed forms, with check boxes for offense details. They also utilize different forms for different types of offenses such as against person, or drug related. The Trial Information must contain the case, defendant

(parties) and charges but can vary beyond this such as in its format and the information it may contain.

The County Attorney is also responsible for entering charges onto the Green Sheet and passing these along to the court for the purpose of disposition to arrest charge matching.

The role that the County Attorney plays in probation cases, with respect to Community Corrections officers, varies much the same as the one described above with law enforcement. In some jurisdictions, the probation office files an Application for Revocation of Probation with the County Attorney, who then decides whether or not to file a Probation Violation Information. Other County Attorneys are comfortable allowing the probation officer to file directly under the authority of the County Attorney.

2.1.4.3 District Court

The District Court accounted for almost two thirds of the exchanges documented as a sending agency with 214. There were 60 documented exchange rules with the District Court as the receiving agency, second to the County Attorney. The role of the Court in exchanges is great both from number of business rules as well as the volume of exchanges. The Court issues orders after each hearing containing information critical to the parties, as well as agencies ordered to accept or release a defendant such as detention, pre-trial supervision, supervision, and incarceration. The Court schedules next court dates, issues warrants and orders of protection, and sets bond conditions. All of these orders must be communicated to justice agencies during or shortly after a court event. The exchange of information coming out of a court event most often affects multiple agencies and the information must be distributed to all of them. The exchange documents are most often through written orders but can take the form of oral notification, such as with the Sheriff's Office for transportation.

As identified in the above sections, what comes in to the Court and when it will come varies by jurisdiction and case. Within a given jurisdiction, there will be conformity to a set practice and set of forms. The ICIS system, which supports the Clerk of Court functions, has been adapted at the local level to accommodate these differences.

The court receives the Green Sheet from the County Attorney on cases where the individual was arrested on the original charges. The clerk enters the arrest information into ICIS. Following a disposition on the case, ICIS electronically transfers the information to the Iowa System to update the criminal history. This system is fairly recent and has been successful in cases where they are straightforward and the DCN is available to the clerk. However, where an additional complaint has been filed with no new arrest, the new case will not have a DCN associated with it on ICIS. Other variations with related cases can cause similar gaps, as it can be difficult for the clerk to determine if a case is to be related if neither law enforcement nor the County Attorney informed the clerk of the relationship.

The appearance, and in some cases the titles, for like court orders vary greatly from one jurisdiction to the next. In some jurisdictions, a single form is used for multiple

court orders with check boxes indicating its use in a specific instance. For other jurisdictions, there will be a separate form for each type of order, and in some cases by judge and type of order. This ability to vary the language and style of an order is important to the judiciary and needs to be respected, while keeping in mind the necessities of standards for integration. Orders may be produced by the computer system after the court clerk has entered the information, prepared on forms specific for the order, or in text on a format non-specific court orders.

Court orders, rulings, and other exchanges often follow a court hearing but not necessarily. Many may occur out of court whenever a judge determines it appropriate, such as reviewing the merits of a case for reconsideration. Other orders such as warrants and orders of protection may occur on weekends, when the court staff is not working.

Most often the Clerk of Court is responsible for distribution of the information in an exchange, however this may also be the Court Administration's responsibility, or support staff for the judge. The differences may be by the type of exchange or jurisdictionally dependant, such as the distribution of Pre-Sentence Investigations.

2.1.4.4 Department of Corrections

The Department of Corrections (DOC) serves multiple roles in the justice process. The DOC develops pre-trial recommendations for bond conditions and supervision, supervises pre-trial release, writes Pre-Sentence Investigations (PSI), supervises diversion, supervises probation, incarcerates offenders sentenced to prison and work release programs, and supervises parole. In some jurisdictions, probation officers will also monitor sentences to programs for simple misdemeanors.

The DOC has an integrated system statewide. All reports, sentences, and contacts are recorded into the DOC system ICON. The ICON system also assists with the management of offenders in the institution. Not only has this greatly enhanced the workflow process within the department but it positions the DOC well for integration with the other justice agencies. In their role as PSI writers, the DOC collects a fairly complete set of information on an offender. This information is retained in the ICON system and passed on to the Court in the paper PSI, which is sealed after use in sentencing. The non-confidential information obtained in the PSI writing effort is currently not available to the rest of the justice community unless a similar effort is conducted.

Community Corrections practices do vary some by jurisdiction as mentioned above with regard to probation revocations and program monitoring. Community Corrections must produce many reports, primarily to the Courts or County Attorneys. With some of the reports there is uniformity. However, with progress reports on offenders under supervision, there appears to be a fairly wide range of formats.

2.1.4.5 Sheriff's Office

The Sheriff's Office in each county serves several functions. It serves a law enforcement function as described above, the Office runs the county jail, receives arrest warrants to update databases, receives orders of protection and summons to serve, and transports offenders.

The additional functions such as holding a warrant, detention, and the service of orders, create additional exchanges with other justice agencies, primarily the courts. With regard to warrants, the Sheriff's Office will retain warrants locally as well as enter them into the Iowa System, in some ways acting as a "go between" for law enforcement and the Court. The detention function involves a communication requirement between the Court and the Sheriff if an offender in custody has a court appearance. This communication can involve phone calls, reviewing of custody lists and court schedules to look for matches, or direct notices from the Court on orders. The difficulty comes into play when the offender is arrested on charges separate from a case with pending court dates, requiring the Sheriff's Office to monitor all court schedules.

Offenders may also be sentenced to jail on delayed sentences, pending successful completion of programs or other conditions. The communication between the Court and the Sheriff varies on such sentences. In some cases the sentence order is withheld from the Sheriff until it becomes necessary. In other cases the Sheriff receives it upon sentencing and must be aware of the delay.

2.1.4.6 Defense Counsel

The Defense Counsel was represented in the sessions by the Public Defender's Office. For most of the documented exchanges, the process would be the same for the private attorneys, including motioning, orders, and notifications. Obviously, for the Public Defender, there is the appointment process that would not exist for private attorneys.

2.1.4.7 Department of Public Safety

The Department of Public Safety's Division of Criminal Investigation (DCI) is responsible for the State's criminal history repository, sex offender registry, and identification system (AFIS). It also serves as a switch to other related systems, both state and national, for law enforcement agencies. Up until the last ten years, the information on the DCI databases was either updated through paper notifications or by law enforcement directly entering the information into the system. AFIS began to gather information through Livescan transfers in the early nineties, and criminal history updates and Orders of Protection began electronic transfers from the Court in the last few years.

The growing number of fingerprints being transferred electronically to AFIS not only improves the quality and timeliness of the prints, but also the return of a DCI# and positive identification to the arresting agency.

The law enforcement's warrant files are maintained by DCI, allowing law enforcement agencies access to local, state, and national warrants. It is through this system that law enforcement notifies the originating agency of a wanted person in custody.

2.1.5 Agency Integration Status

The State of Iowa has been moving forward on electronic transfer of information between agencies and has made significant strides toward integration at this level. Examples of this are disposition transfers from the court system (ICIS) to the corrections system (ICON) and the Iowa System. Protection Orders are also transferred electronically to the Iowa system from ICIS.

The TraCS systems and Livescan are examples of electronic transfers between local agencies (LEA) and state agencies. TraCS transfers are unique in that they represent exchanges that are part of the workflow process, replacing the traditional paper transfer. The other examples of electronic transfers between agencies, while significant, represent updates to repositories not affecting the paper flow, which is still required to move the case through the system. This runs the risk of developing a bifurcated system of electronic transfers for data repository purposes and paper transfers for workflow purposes, potentially leaving the two processes out of sync.

2.1.6 Conclusions

The greatest benefit of mapping the exchange points between the justice agencies in the State of Iowa is that the information provides a sound basis for which the State can advance toward an integration model and determine an approach and prioritization of exchanges. As an added benefit, the State has now facilitated interaction and dialog between the participating agencies and has communicated an understanding of the integration benefits in order to gain support for its efforts. The exchange process has likely brought forth issues that have not been recognized in the past leading to increased efficiency and better public safety.

The “As Is” exchanges also revealed a number of trends or issues that will be of value to the project:

- The business practices at the local level differ between jurisdictions. The roles of law enforcement, the Prosecutor, the Court, and Community Corrections are not consistent, which has forced the courts to adapt the ICIS system to each of the different processes.
- The forms the agencies use for the same exchange differ at the local level and between judges.
- The law enforcement agencies and prosecutors in the State of Iowa operate very diverse information system infrastructures and there is little standardization, especially among primary applications like case and records management.
- Despite the lack of standardization, law enforcement agencies have begun sharing information electronically with the courts. The County Attorneys will soon be using the state intranet for e-mail and secure web queries. Although they do not currently share information, this should ease the integration process because they can all use the same network for connectivity.
- Several information systems initiatives are underway within the State justice community. Many of them will contribute to the integration process.

2.2 Gap Analysis

2.2.1 Introduction

The Gap Analysis builds upon the results of the “As Is” exchange analysis. Gaps are defined as any part of the current business process that inhibits achievement of goals set by policy makers. The goals of this integration project are discussed in Section 1.3.

Although each process has gaps that are unique to that situation, there are also gaps that are shared throughout the State justice system. These are discussed in the following sections.

2.2.1.1 Jurisdictionally Dependant Processes

Differences in business rules by jurisdiction are pervasive throughout most criminal justice processes. Many of the differences are listed below.

- Role relationships with local law enforcement vary between jurisdictions
- In some jurisdictions, law enforcement agencies may directly file complaints with the Court on an indictable offense, where in other jurisdictions, the County Attorney must review serious offenses before arraignment.
- Similar review issues as above for arrest warrants
- Use of Summons vs. Warrant will vary
- Who gets original warrant or simple bench warrant to enter into the Iowa System (LEA or Sheriff's Office) varies
- Citable criteria will vary between jurisdictions
- Community Corrections role in program supervision on simple misdemeanors varies
- Some law enforcement agencies use slip to let clerks know which cases to relate, with others it is difficult at times for the clerk to know
- County Attorney's role with pre-trial supervision and probation violations varies. In some jurisdictions, the Report of Violation is filed by Community Corrections

These variations have much to do with the size of the county and/or district, and the relationship the County Attorney has with local law enforcement and the judicial district Community Corrections.

2.2.1.2 Document Differences

Differences in forms (documents) by jurisdiction are pervasive throughout most criminal justice processes. Some examples of these forms are:

LEA

- Non-Traffic complaint varies by jurisdiction (short form)
- Complaint and Affidavit varies by jurisdiction (preliminary complaint, long form)

Court

- Format of Court Orders vary by jurisdiction e.g. pre-printed, written in court, oral
- Order (Warrant) of Commitment is not used in many jurisdictions; Order of Initial Appearance serves bond function

- Court Orders in some jurisdictions are on one form with check boxes for specific usages. Other jurisdictions have a separate form for each order including sentencing type and everything in between
- Some jurisdictions use CJIN to generate orders/forms
- Predominant Court Orders
 - Order of Initial Appearance
 - Arrest Warrant
 - No Contact Order
 - Order of Arraignment
 - Order of Pre-Trial
 - Order of Trial (Verdict or Plea)
 - Order for Pre-Sentence Investigation
 - Sentencing Orders

Community Corrections

- Reports on violation of supervision will vary from District to District

All Agencies

- The names of forms vary
- Some forms contain more/less information than other like forms

2.2.1.3 Cumbersome

- Law enforcement notifies DOC if a no contact order affects offender
 - Limited number of Iowa System terminals
- The Iowa System and ICIS must be manually contacted to remove original non contact order if inactive and another is ordered with same case number

2.2.1.4 Process Gaps

- Severed charges create two cases and cases get same DTN, only one will be accepted by DPS
- Whether cases should be related can be difficult for clerk to determine
- Jail time served is often not on sentencing order
- No contact order process, uses both direct transfer into Iowa system and local dispatcher entry
 - Local systems may get out of sync
 - Weekend entry not consistent
- Warrants kept on court system, DPS, and local systems may get out of sync
 - Temporary warrants
 - Locates

- Delayed jail sentences may create confusion for jail, as there is not a body at time jail receives order
 - Some courts hold order
 - Some send over as with non-delayed

2.2.1.5 Wish List

The wish lists fall into three major categories

- Agency staff wishing to be notified when an event occurs to an offender they currently have an interest in
 - Corrections Client
 - Attorney's prosecuting a case
 - Law enforcement with open cases
- More timely transfer of information
- Exceptions to the basic procedure breaks down communication
 - County Attorney filing additional charges
 - Subject was not printed prior to court appearance

These gaps do not inhibit a case from being prosecuted and a sentence executed. However, they do affect the amount of time and effort that is necessary to collate and record information as well as how much information is available at critical decision points. Furthermore, a transfer that is never implemented may result in a failure to make any decisions; for example, a probation officer may not be aware of an arrest on a probationer.

Many gaps are simply the result of the exchanges being paper based and all the difficulties that entails, such as redundant data entry, untimely, and imprecise information. It is unlikely that automating a single exchange will solve any business process gap, nor can the state feasibly automate all documented exchanges.

2.2.2 Exchange Categories

The exchanges documented in the modeling sessions have been grouped into business function categories. The business function categories are grouped around case types (traffic, simple misdemeanor, and indictable offenses), following the exchanges through the entire system, processes that are meant to achieve a specific purpose (warrants, bonding, detention, and supervision), or processes that lead down a specific path (diversion, deferred sentence, and the OWI Continuum). An individually identified exchange may be placed in one or more categories based on its place in the process. Examples of this would be bonding on a misdemeanor. This falls in to the misdemeanor category as well as the bonding category. Crime type categories such as indictable offenses can be broken down even further into investigation, court charging and post disposition exchanges. The business category or process is defined by selecting the exchanges that meet criteria based upon any combination of the modeling elements, including or excluding specific instances of the elements. As discussed in section 2.1.2, the modeling elements are:

- Sending agency,
- Initiating event,
- The specific conditions that must be true for the exchange to occur,
- The source documents the sending agency needs to satisfy the conditions,
- The state the offender is in before the event,
- The information exchanged,
- Receiving agency,
- The state the offender is in after the exchange,
- The subsequent event performed by the receiving agency.

By definition each exchange must be made up of a unique combination of the modeling elements. Below is an example exchange collected during the sessions.

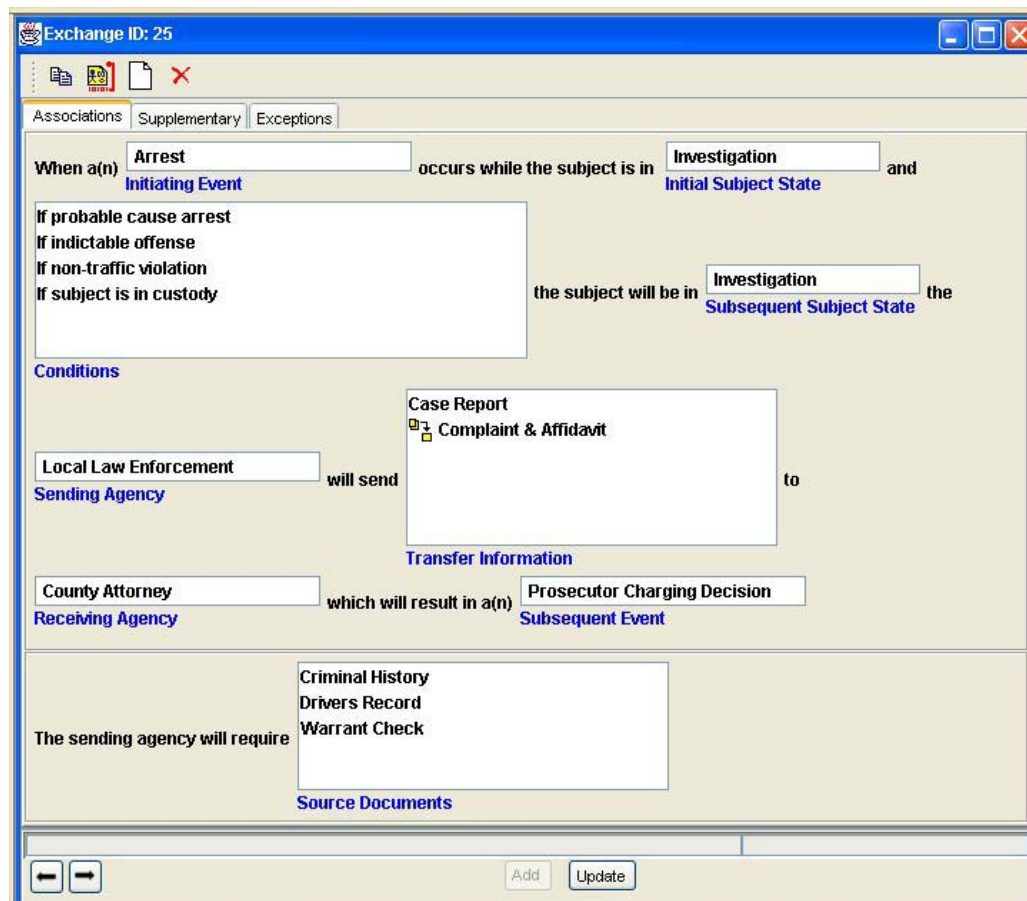


Figure 3 – Example Exchange

The benefit in defining and analyzing groups of exchanges is that one can more clearly understand the gaps and their consequences. Information flow that is meant to achieve a business goal is made up of a series of exchanges, some not readily apparent. If there is a break or even a delay in the chain of these exchanges, it could mean the goal being only partially achieved or not achieved at all. A break could include a specific piece of information not being included in an exchange, with a dependency on that information down stream (source document). Exceptions, if not

recognized and addressed, will inhibit the successful automation of a business process, as practitioners will hold on to the paper method of exchange just in case.

Below is a table listing the documented initiating events that occurred in ten or more exchanges.

Initiating Events	Exchanges
Initial Appearance	39
Arrest	27
Court Event	27
Sentencing	26
Status Review	18
Arraignment	16
Revocation Hearing	16
Motion Hearing	13
Prosecutor Charging Decision	13
Pre-Trial Conference	11
Prosecutor Discretion Decision	11
Trial	10

Table 4 – Initiating Events

Court Event, which is the second most popular initiating event, signifies that the event can occur in any court event in or out of a hearing. Most other events are very specific. The court event is used to avoid repeating the exchange for every other potential type of court event. These exchanges will show up in many categories.

Below is a table listing the documented state an offender is in prior to the initiating event occurring. State changes that occur as a result of an exchange are important to note as they may affect the status of the offender and be of interest to the justice community. States also help define a given category, such as being investigated or in detention.

Before States	Exchanges
Court Charging	69
Court Pre-Arraignment	38
Post-Disposition Court	30
Post Finding	12
Court Trial	2
Post-Disposition Supervision	47
Discharge	15
Pre-Disposition Supervision	19
Incarceration	15
Investigation	39
Detention	22
Appeal	26
Reversed	8
Unserved	6
Served	4
Prohibited	3
Unprohibited	2
Contempt	1

Table 5 – Before States

Several business function processes have been categorized and described below. These categories are by no means exhaustive nor are they equally significant for the integration prioritization. The benefits of integration for a given process vary on a number of factors, including volume (staff time), time moving through the system, the risk to the public, and the cost of implementation.

2.2.2.1 Traffic Cases

Traffic Citations to the Courts are electronic for the state law enforcement agencies as for many of the local agencies, both sheriff and police. The State has developed a Uniform Citation and Complaint for traffic violations with well-defined data elements. This process demonstrates the ability to automate from the patrol car to the courtroom. The County Attorney is not apart of this process unless the defendant appears in court on state charges. Traffic violations are high volume and manual exchanges create redundant work for every agency that touches them. The automation improves staff productivity and reduces paper costs.

Traffic cases often do not go to court as fines and costs are assessed on the Complaint and the defendant can pay by mail. Traffic cases also involve exchanges with the Department of Transportation for potential action on the defendant's driver's license status. Iowa has a "rocket docket" process whereby all the parties are present in court to expedite the process when an appearance is necessary. Not all defendants pay or appear, bringing in the warrant process and additional exchanges.

With the volume of citations and the swiftness by which they move the system, it is necessary to have a driver's history readily available at the time the charges are entered. This allows law enforcement and the County Attorney the opportunity to enhance the charges.

Traffic Citations are not intended for use with indictable offenses, however it was reported that occasionally the citation is used on an indictable offense, forcing additional work on all the agencies involved. *Traffic Case Exchanges – Appendix A*

2.2.2.2 Simple Misdemeanor Cases (non-traffic)

Non-traffic simple misdemeanor offenses, like traffic violations, occur in large numbers. Also, like traffic violations, many simple misdemeanors are citable offenses and may not be accompanied with an arrest. If there is not an arrest, then there will not be positive identification at time of charging. However, if there is an arrest and a fingerprint, a Green Sheet will be exchanged along with the charging document. Simple misdemeanors may also require a mandatory appearance, in which case bond is set and an initial appearance date given to the defendant by law enforcement. If the individual is held in custody, the individual will be arraigned the next court day.

Misdemeanor offenses are most often pled and the sentencing options often require fewer exchanges from the court than the more serious offenses. The Sheriff is involved in many of these exchanges and in some jurisdictions Community Corrections is involved in monitoring.

Currently, simple misdemeanor citations are not automated between law enforcement and the courts. As a result of the limited fingerprinting, there is limited exchange between law enforcement and the Iowa System for criminal history

updates. In some jurisdictions, the Prosecutor makes the charging decisions and receives the citation, in others the citation will just be exchanged with the court. Victim related offenses would involve exchanges with the County Attorney. There are interpretive differences as well in just what is the cut off for citable offenses.

For lesser offenses, criminal histories are not always run on the defendant. In some jurisdictions, law enforcement will run the history and supply it to the court. Like traffic offenses, the volume is high and unless something is readily brought to the attention of the County Attorney or Court, the defendant's history or potential related cases will be missed. *Simple Misdemeanor Cases – Appendix B*

2.2.2.3 Indictable Offenses

Indictable offenses include felony and aggravated misdemeanors. Indictable offenses are usually considered non-citable and LEA charges must come in the form of a Complaint and Affidavit (long form) preceded by arrest or with a request for an arrest warrant. Indictable offenses are booked and fingerprinted, then sent to the DCI for identification.

Thankfully, serious offenses do not occur nearly as often as the lesser offenses discussed in the above two sections. However, by the very nature of their offenses, serious offenders are more of a risk to public safety. The process for indictable offenses involve more agencies and more exchanges than for lesser offenses. An indictable offense case will more likely involve custody, pre-trial supervision recommendations from probation, more extensive conditions of bond, defense counsel, diversion, competency hearings, and are much more likely to go to trial than lesser offenses. The sentencing process may involve a pre-sentence investigation and the sentencing options are much broader. Depending of the offense they include jail, residential placement, probation, and prison. These cases are also more likely to be appealed.

As described earlier, Iowa Court Rules and Code allow variability in prosecutor discretion as to how to proceed with charges. The County Attorney may file a Trial Information prior to the preliminary hearing. If the judge approves the Trial Information, the case proceeds to arraignment. The County Attorney may let the complaint stand until preliminary hearing. If probable cause is found, the case moves on to arraignment without a Trial Information. In rare cases, the County Attorney calls a grand jury to deliver an Indictment, creating a third path to arraignment.

The process can become complicated if the charges are severed or additional charges are filed and the Court is not aware that they are derived from the same incident. In this case, the link back to the Green Sheet may be broken, resulting in an inability to update the criminal history.

Charges are exchanged through various documents as they move through the process including Complaint, Fingerprint Card (electronic), Green Sheet, Trial Information, Motion to Amend, Sentencing Order (paper), and Disposition (electronic).

Indictable Offenses – Appendix C

2.2.2.4 Warrants/Summons

There were exchanges documented for the process of requesting, issuing, and executing an arrest warrant. The warrant process involves local law enforcement, the County Attorney, the Court, DCI, the Sheriff's Office, and potentially out of

state law enforcement agencies. Warrants serve two primary purposes; one is the authority to arrest an individual on the face of the warrant, and the second is to alert law enforcement agencies the individual is wanted.

Arrest warrants that are issued at the request of law enforcement or the prosecutor are commonly referred to as original warrants. Ordering an original arrest warrant is most often the event that initiates the court case. Arrest warrants that are ordered once a case has begun and there has been a scheduled court date are referred to as Bench Warrants. Bench warrants usually occur when a defendant fails to appear for a scheduled court date. If a defendant has been ordered to report to the county jail on a given date and fails to appear, an arrest warrant may also be issued. Absconding and escape will also result in the issuance of a warrant. Law enforcement may enter a temporary warrant on the Iowa System to expedite the process for serious cases. This action must be followed up with the other agencies to ensure adequate follow up.

As with the filing of charges, the process of obtaining a warrant is also diverse where the role of the prosecutor is concerned. The exchange flow may go from law enforcement to the County Attorney to the Court and then back either to the requesting law enforcement agency or the Sheriff. The flow may also go from law enforcement directly to the Court without prosecutor intervention. The flow will depend on the jurisdiction and the type of offense. In a few jurisdictions, the Court may choose to order a summons instead of issuing a warrant and send this to the Sheriff's Office for service. It was reported that occasionally the requesting law enforcement agency was not aware of the decision.

The Court orders warrants and it is the Court's authority that allows law enforcement to arrest the individual. However, once the warrant leaves the Court, the warrant information is manually entered into the Iowa System and local law enforcement agencies' warrant record management systems. A warrant then exists in the Court file, the Court's ICIS database, on paper in either the requesting LEA's file or the Sheriff's file, and a local warrants database (Sheriff, LEA, Central Dispatch). Depending on the series of events, a warrant's status may change and it is important that the justice agencies involved are updated when this occurs. The Court may order a warrant quashed, a law enforcement agency may locate the individual, and an arrest on the warrant may occur. With each of these paths, information should be exchanged with multiple agencies and the synchronization of the warrant's and individual status are critical. Warrants expedite the justice process but also serve an integral public safety function. *Warrants/Summons Exchanges – Appendix D*

2.2.2.5 Bonding

The bonding process extends from traffic and simple misdemeanor bonding on the citations, to bonds set at court events. The orders containing bond amounts and conditions may appear on separate forms depending on the Court and the Order (Warrant) of Commitment, or they may be included in the order specific to the type of hearing, such as the Initial Appearance Order.

Defense may file a motion for bond review at which time the Court may issue a new order. If the individual is in custody, the Court exchanges information with the Sheriff whenever bond is set or changed. When bond is posted, the Sheriff

exchanges information back to the Court. *Bonding Exchanges – Appendix E, 21 exchanges documented.*

2.2.2.6 Detention

Of the information exchanges documented for the detention process, the most significant include intake and release from detention, which causes a status change in the offender. Also included in the process are events, which occur while the individual is currently in custody, that might impact the Sheriff's Office or where knowledge of the detention would benefit the sending agency. Examples of this are pending court dates or the issuance of a warrant. The detention may be for pre-trial holds, pre-sentence holds, and holds awaiting bed space or transportation.

The Sheriff's Office must constantly coordinate court dates and transportation with external agencies. Currently, there is no way of knowing for sure an offender's detention status outside of the Sheriffs' individual systems. *Detention Exchanges – Appendix F, 26 exchanges documented.*

2.2.2.7 Supervision

The supervision process consists of pre-trial supervision, diversion, program monitoring, probation, and parole. Except in a few instances, the supervision is conducted by Community Corrections. Other than parole, the supervision process involves multiple exchanges with the Courts, County Attorneys, and Sheriffs' Offices. In all types of supervision, close coordination with law enforcement improves the process and increases public safety. Often the activities on one agency with an offender on supervision can go unnoticed by agencies involved, for example police contact with a probationer.

The role of a probation officer with relationship to the Court and County Attorney will vary by jurisdiction, similar to law enforcement. In some jurisdictions, the probation officer files directly with the Court by passing prosecutorial review.

Supervision is perhaps one of the most important areas for not only workflow efficiencies but also notification to interested parties and an open sharing of contact information. *Supervision Exchanges – Appendix G, 26 documented exchanges.*

2.2.2.8 No Contact Orders

Coordinated information sharing regarding No Contact Orders from the Court, or Protection Orders as they are referred to when posted on the Iowa System, is a critical public safety function of the justice system. A victim, or potential victim, may request from the Court an Order of Protection. Also certain victim crimes may trigger the issuance of an Order of Protection. Crimes triggering a No Contact Order include domestic abuse, harassment, stalking and sexual abuse. The domestic abuse and the violation of a previous order utilize the 236 forms and process, while the other offenses utilize the 708-9 forms and process. When the Court issues a No Contact Order, there is an electronic transfer to the Iowa System posting the Protection Order.

The Court may issue a temporary No Contact Order that will become inactive after a period of time. If the order becomes inactive and the Court reinstates the old order, it becomes a burdensome process, as the Court Clerk must contact staff at DCI to complete reinstatement of the Protection Order on the Iowa System.

The repeated issuance of new Orders of Protection may also cause confusion for the parties and systems involved, as the respondent must be served on each order. The service of an order may be entered into the Iowa System by the Court Clerk or by Central Dispatch (sometimes the Sheriff's Office). If a permanent order is issued after a temporary order, the respondent must still be served. However, the system will still reflect "served" on the temporary order. If the order is simply modified, the respondent will be mailed the new order.

A key to the No Contact Order meeting its goals is the communication between the justice agencies: law enforcement, County Attorney, Courts, and Corrections. Often, Corrections is not aware one of their clients has been served an order. There is some redundant effort but the electronic transfer between the Courts and DCI is a success in integration with some exceptions in the business process to be worked out. *No Contact Order Exchanges – Appendix H, 32 exchanges documented.*

2.2.2.9 Diversion

The diversion process sidesteps the normal path of prosecution. The County Attorney motions the Court for a stay and if approved, the defendant goes into a supervised diversion program. The supervision is conducted by Community Corrections, who reports progress and violations back to the County Attorney. The available programs will vary between jurisdictions.

If the defendant fails to meet the goals of diversion, the case may pick back up at the pre-trial stage. If the defendant succeeds, the County Attorney will motion the Court to dispose of the case.

Diversion avoids a formal disposition if it is not deemed necessary. However, it is easy for historic information about a defendant's participation in diversion programs to also get lost in the exchanges. *Diversion Exchanges – Appendix I, 14 exchanges documented.*

2.2.2.10 Deferred Judgment/Sentence

Deferred judgment, or a deferred sentence process, occurs a step later than diversion. The Court may choose to defer a judgment or a sentence based on the defendant's participation in a program and/or following set conditions for a period of time. If the defendant fails to comply, the case proceeds with judgment or sentencing. If the defendant succeeds, what occurs next can be a finding with no sentence if it was a deferred sentence, or no finding if it was a deferred judgment. Exactly how each of these options is employed and how they are reported may be inconsistent and would affect the individual's criminal history record. *Deferred Judgment/Sentence Exchanges – Appendix J, 25 Exchanges Documented.*

2.2.2.11 Sentencing

The exchanges documented for the sentencing process include orders for a pre-sentence investigation (pre-plea in some jurisdictions), the sentence orders, exchanges with the Sheriff if the defendant is in custody, re-sentencing based upon revocation or failure to comply, and exchanges if the sentence is reconsidered. The Courts electronically exchange disposition information with DCI if they received a Greensheet with the case, and the DOC if sentenced to the custody of the Executive Director of the Department of Corrections.

The sentencing orders are not produced from the Court System ICIS, however the information transferred to DCI and the correction's system ICON is from ICIS. A few jurisdictions use CJIN to produce orders, which can draw on data from ICIS. The language of a sentencing order is important to the judges and there is a strong desire to preserve the ability to express the uniqueness of each case and sentence in the order.

Most sentencing options fines, cost, probation, and prison are consistent throughout the state. Programs and residential placement will vary in availability as a sentencing option.

Sentences derive from the original charge or arrest, but there is not always a straight traceable path back to the arrest. The disconnect could occur if the Court did not receive a Green Sheet, if the charges were severed, or if cases derived from the same incident were not related.

Sentencing Exchanges – Appendix K, 73 documented exchanges.

2.2.2.12 Appeal Process

Each defendant convicted of an offense is afforded the right to file a notice to appear and brief within set periods of time. This begins a process that moves the case from the District Court to the Court of Appeals and potentially the Iowa Supreme Court. The parties change from County Prosecutor to Attorney General and, if appointed, from the Public Defender to the Appellate Defender. Ultimately, a case may be reaffirmed and further appealed up to the Supreme Court or reversed.

The exchanges transfer the original case records and transcripts, along with the documents listed in the table below.

Appeal Bond	Judgment Entry
Appellant Brief	Notice of Appeal
Appellee Brief	Notice of Appellate Submission
Appellee Final Brief	Opinion
Appendix	Oral Notification
Appointment Order	Order Denying Discretionary Review
Combined Certificate	Order Granting Discretionary Review
Court Order	Order for Consideration
Court Record	Procedendo
Designation of Appendix	Request for Victim Information
Docket	Request to Waive Docket Fee
Docket Notice	Resistance to Discretionary Review

Table 6 - Appeal Documents

There are key points where the communication links may break down such as when the defendant first makes oral arguments after a notice has been filed. The County Attorney needs to be aware this is occurring in order to respond. In some cases, this notification does not take place. Initially, the County Attorney is responsible for notifying the victim of the notice to appeal, as the process moves forward the Attorney General's Office assume the responsibility.

When an individual is in the DOC and has posted an appeal bond, the DOC notifies the Sheriff's office and the County Attorney. The Judge may not be aware the defendant posted bond.

Appeal Process Exchanges – Appendix L, 51 documented exchanges.

2.2.2.13 Release/Discharge

Defendants are released from jail on bond, pre-trial supervision, community sentence or discharge after completion of sentence. Offenders are also released from residential programs and prison.

The exchanges documented for the release process primarily are from the court to the agency responsible for releasing the offender (Sheriff's Office), or for supervising the offender in the community (Community Corrections). However, if an offender is under the supervision of Community Corrections and bonds out from jail, it is the Sheriff who contacts Community Corrections often by phone. In some instances, Community Corrections must review jail lists.

Victims are also impacted by release and events in the court process as well as those listed above. The County Attorney's Office is responsible for victim notification throughout the District Court process.

Release/Discharge Exchanges – Appendix L, 14 documented exchanges

Victim Related Exchanges - Appendix M, 8 documented exchanges

2.2.3 Summary

The gaps defined above are primarily a result of informal and manual processes that need to be formalized in order to allow for better information sharing and decision-making. By sharing information electronically, the formalization of these processes becomes even more important and advantageous because the information/document will be transferred to one or more agencies instantly based on specified criteria.

In several cases such as with status of the offender and pending court dates, the information needs to be displayed where it is accessible to all parties that it can benefit. Integration allows for this type of access and can also provide the security that becomes necessary when sharing sensitive information.

3. Approach

3.1 Implementation Plan

As mentioned in the first sections of this report, the justice community in Iowa has positioned itself well for integration among the key players in the workflow process. The state branch/agency systems such as ICIS/CJIN for the Court, ICON for Corrections, and the Iowa System for DCI are internally well-integrated systems. There have also been exchanges automated, such as disposition transfers from the Courts to Corrections and the Iowa System. A key workflow exchange that has been developed is when a No Contact Order is issued; the Courts electronically transfer the information to the Iowa System.

The TraCS system is a huge success in exchanging workflow data from the patrol car directly to the courtroom. This required tight coordination between the Department of Transportation, the Judicial Branch, and state and local law enforcement agencies; coordination both in the information exchanged, the business process, and the technology to accomplish the exchange.

These exchanges provide leverage toward future success that the justice community should build on. The path an individual case may take through the justice process has many potential options. However, it is difficult to manage at times since the individual can be or has been in more parts of the justice system. More complicated yet is the information about the case and the individual moving through the justice system. It is more difficult to untangle the complicated information flow from the back than is from the front.

The business functions or specific goals will need to be prioritized. Which of the functions outlined in the previous section contribute to the goals set out in the Memorandum of Understanding. Which of the functions can be integrated with the best risk/cost/benefit ratio. It is rare to see an integration goal achieved by automating one exchange or by automating exchanges between just two agencies. The automation of isolated transfers may move things in a positive direction, but as discussed in the previous section the business functions that achieve the goals span many exchanges and many agencies.

The information exchanged is often defined by the needs of the receiving agency and specifically just the subsequent event triggered by the exchange. For example, what is contained on a charging instrument is defined by what is needed to open a case in the Court or what the County Attorney requires for a charging decision. The gaps often occur as exchanges in the early stages of the process also have a large impact on the information needs of events later in the process, where it may be more difficult to acquire.

The implementation plan needs to account for the needs of all the exchanges required to meet the goals a given business process. Then it will be possible to implement the exchanges one step at a time, building toward the full goal while improving the process and adding to the successes.

Ultimately, the implementation will require an agreed upon architecture for electronically exchanging the information, enforcing the business rules, minimizing the impact on existing systems, adhering to accepted standards, and guaranteeing the integrity of the information.

The plan must clearly separate the business rules and information exchanged from the method delivered. Each are equally important but can be confused. The technology is not a mindless process, but can interfere with difficult decisions required in adapting the business process to more standardized behavior and information exchanged. The way forms print out or are viewed can also be separated from the data they contain. Information can be defined as required on a statewide level or optional on a statewide level. At the local level some of the optional data may be required for a given exchange.

All information is generated locally; this may be in another jurisdiction but is nevertheless locally generated. The plan must approach the implementation from this point of view. The key is to think “locally” but act “standardly”³.

3.2 Project Phases

The CJIS project may continue to be implemented in phases, logically working through the tasks and dependencies that exist before another task can be completed. There are things that need to be done up front such as the work of the exchange modeling, however, the project can continue to deliver results while continuing focusing on the entire justice process. This is why the success with TraCS at the law enforcement charging stage is so important and should be followed up with work toward integrating the other law charging instruments the ‘Citation and Complaint’ and the ‘Complaint and Affidavit’. These instruments are utilized to charge more serious offense, which may have involved arrest, fingerprinting, and custody. More information may have been collected and more charging discretion may be involved.

This requires an understanding of the jurisdictional differences from the perspective of the practitioners; to assist in developing standards were possible and necessary. The County Attorneys must be brought into the process as soon as possible. This will allow for work to begin on the standardization of the required and optional information on the charging instruments as well as the business practices. Consistency in instance data is important as well such as charging tables, codes moving between the systems with the intended meaning not being lost due to usage differences. It is one thing to agree a charge code must be on the instrument, it is another to agree on exactly how the codes are used.

Once consensus to participate has been gained, the representatives of law enforcement, the County Attorneys, and the Courts can begin work on the standardization of information. This work should involve a common understanding of each agency’s/branch’s needs in the process.

Issues like the following, if addressed, will benefit events further into the process or the next time the offender is encounter on a separate incident. Currently, if an offender is arrested and booked, a fingerprint card is sent to DCI from law enforcement with the Document Tracking Number, demographics, and charge data. A Green Sheet is sent to the County Attorney with similar information, including places for DCI# (positive identification) if known. In addition to all of this, the charging instrument is sent to the County Attorney or the Court as the instrument that initiates the case. The charging instrument may have less information than the

³: substantially uniform and well established by usage in the speech and writing of the educated and widely recognized as acceptable <standard pronunciation is subject to regional variations>
- **stan·dard·ly** adverb, Merriam- Webster Dictionary 2003

other two documents as there is no place for positive identification or tracking numbers.

If an offender is booked with Livescan, it is possible to have a DCI# number back within time for the number to accompany the charging instrument. If another charge is filed on the same incident, the charging document should contain the DCI# and document tracking number.

Straightforward exchanges may be piloted in point-to-point communications between agencies, but at some point soon as the exchange information moves through the process and the exceptions arise, an architecture for managing the complexity must be implemented. The state should soon begin to develop a design and framework for the architecture. A variety of Enterprise Application Integration solutions exist, the solutions are available from the larger vendors such as IBM and Microsoft to more specialty vendors, such as Software A.G. Most of the solutions are now based on standard frameworks such as XML, SOAP, J2EE or .net.

Data warehouses, such as the one employed by Kaleidoscope serve a query function and can do notifications based on search algorithms. However, a data warehouse has a very limited role in workflow integration. A data warehouse is in itself not a web service or web portal it is simply the product of pulling together disparate information and putting it in one place. The information is physically located in the same place, but is no more logically related (business) than it would be if gathered directly from the host systems in a search. The warehouse approach with not significantly address benefits 1.4.2, 1.4.4, 1.4.5, 1.4.6, 1.4.8, 1.4.9. A *workflow engine* will not only allow for complex workflow exchanges, it will allow for the web portals to move from information portals to knowledge portals.

Pilot projects are mechanisms to phase implementation, between state agencies, state and local, and local-to-local. It is import to recognize the differences between rural and urban and to include both if possible in pilot projects. The State has had success with pilot projects, rolling out to statewide transfers and clearly understands the tasks involved.

3.3 Exchange Implementation Order

The suggested order of implementation listed below is base on the analysis of the exchange modeling and the success the state has had up to this point.

- PSI process
- Law enforcement charging process
- Prosecutor business rules for charging
- Court order process
- Detention process
- Notification
- Warrant process

Each area has several tasks that should be achieved. The critical tasks are listed below.

PSI Process

- Complete design
- Order from court to DCS
- PSI with attachments from DCS to court
- Secure viewing by parties over web portal
- Docketing and logging of events

Law Enforcement Charging Process

- Standardize information on non-traffic complaint (short form)
- Standardize information on complaint and affidavit (long form)
- XML Schema with validation for information transfer to County Attorney and Court
 - DTN, DCI#, SSN, Case #
- Utilize electronic citation model (TraCS)
- Pilot with rural and urban jurisdictions

Prosecutor Charging Process

- Determine exact level of differences in charging practices across all counties
 - Simple misdemeanor
 - Indictable offense
 - Supervision violations
- Determine reasons for differences (survey)
- Gain support for standardized complaints
- Standardize Trial Information
- Pilot with rural and urban prosecutors

Court Order Process

- Utilize information contained in ICIS
 - Some information may now be in minutes as text
 - May require capture as data
- Initially look at high value transfer documents
 - Orders of Initial Appearance
 - Orders of Commitment
 - Sentencing Orders
- CJIN generated orders
 - Maintain unique language and style

Detention Process

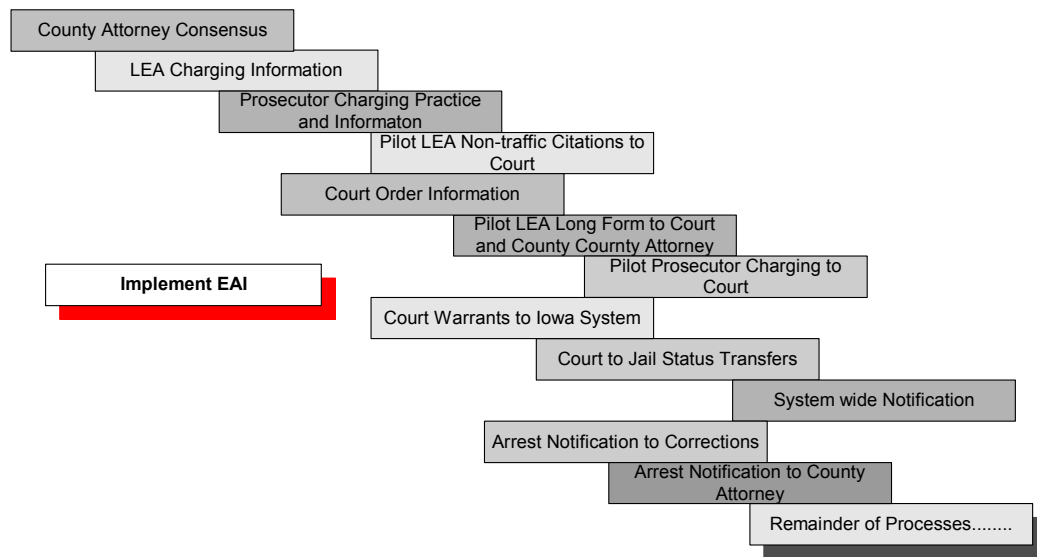
- Court orders committing to detention (setting bond)
- Court orders changing bond
- Sheriff making status of offenders with pending cases available
- Court appearance date/time published to Sheriff, specific to who is in custody
- Elimination of phone calls, cross indexing lists (custody list – court schedule)

Notification

- Kaleidoscope currently searches a data warehouse, using search algorithms on identifying fields such as name, date of birth, and other demographics
- With positive identification DCI# and knowledge of status notification may become more precise
- When an event occurs notify party that has subscribed based on business rule
- Arrest of a probationer would notify probation officer
- Court would notified of new charges on related case
- Requires system to know status & positive identifiers

Warrant Process

- Arrest/Bench Warrant generated with ICIS data
- Charging information would come through LEA/County Attorney
- ICIS would update DCI, DCI would notify LEA or Sheriff holding the warrant
- Warrant would print out at LEA or Sheriff
- Locates would update ICIS, giving court an opportunity to respond if not verified



3.4 Next Steps

Bring the County Attorneys and law enforcement together to begin the consensus building. In each of the steps all the affected agencies should be at the table. Including law enforcement, County Attorney, Court Clerks, Judges, and Corrections staff. The future focus will be much more on specific business functions identified for integration similar to the PSI work being done, which has led to an implementation plan.

Appendix A - Traffic Cases

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
26	<ul style="list-style-type: none">- Criminal History- Drivers Record- Warrant Check	Investigation	Arrest	Investigation	Local Law Enforcement	1: If probable cause arrest 2: If indictable offense 3: If traffic violation 4: If subject is in custody	Case Report Complaint & Affidavit	County Attorney	Prosecutor Charging Decision	
27	<ul style="list-style-type: none">- Criminal History- Drivers Record- Warrant Check	Investigation	Arrest	Court Pre-Arraignment	Local Law Enforcement	1: If probable cause arrest 2: If indictable offense 3: If traffic violation 4: If subject is in custody	Complaint & Affidavit	Clerk of Court	Initial Appearance	<ul style="list-style-type: none">- [] In some cases the uniform citation goes to court directly- [] May come in on uniform citation even if an indictable offense.- [] If indictable and simple msdmr offenses, will set simple msdmr offenses aside until indictable is processed.
1	<ul style="list-style-type: none">- Drivers Record- Warrant Check	Investigation	Arrest	Court Pre-Arraignment	Local Law Enforcement	1: If probable cause arrest 2: If subject is charged with simple misdemeanor 3: If traffic violation	Uniform Traffic Citation & Complaint	Clerk of Court	Initial Appearance	<ul style="list-style-type: none">- [Description] State Police and 60 largest police forces have electronic citation transfer- [Description] About 30 agencies in Iowa use TraCS for electronic citations. Other agencies key accident reports at office.- [Description] Once offenders signature is attached to citation, it is locked and cannot be changed.
322	<ul style="list-style-type: none">- Drivers Record- Warrant Check	Investigation	Arrest	Court Pre-Arraignment	Local Law Enforcement	1: If probable cause arrest 2: If subject is charged with simple misdemeanor 3: If traffic violation 4: If subject is in custody 5: If charge meets rocket docket criteria	Uniform Traffic Citation & Complaint	Clerk of Court	Arraignment	<ul style="list-style-type: none">- [Description] At this arraignment, defense, DOT and county attorney will be present to expedite.- [Discrepancy] Polk does not have public defender at the rocket docket arraignment

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
323	<div>- Drivers Record</div> <div>- Warrant Check</div>	Investigation	Arrest	Court Pre-Arraignment	Local Law Enforcement	1: If probable cause arrest 2: If subject is charged with simple misdemeanor 3: If traffic violation 4: If subject is not in custody 5: If charge meets rocket docket criteria	Uniform Traffic Citation & Complaint	Clerk of Court	Arraignment	<div>- [Description] At this arraignment, defense, DOT and county attorney will be present to expedite.</div> <div>- [Discrepancy] Polk does not have public defender at the rocket docket arraignment</div>
2	<div>- Uniform Traffic Citation & Complaint</div>	Court Pre-Arraignment	Case Initiation	Post-Disposition Court	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If not mandatory appearance 4: If payment is received	Judgment Entry(M/E) Transmittal	Department of Transportation	Review Driving Record	
4	<div>- Uniform Traffic Citation & Complaint</div>	Court Pre-Arraignment	Initial Appearance	Post-Disposition Court	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If mandatory appearance 4: If cash bond 5: If bond has been posted 6: If subject fails to appear	Bond Forfeiture Judgment Entry	Department of Transportation	Review Driving Record	
176	<div>- Uniform Traffic Citation & Complaint</div>	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If mandatory appearance 4: If subject fails to appear 5: If bond has been posted 6: If not surety bond	Bench Warrant	Local Law Enforcement	Update Warrant File	<div>- [Description] Simple warrants may go back to originating agency rather than Sheriff.</div>
10	<div>- Uniform Traffic Citation & Complaint</div>	Court Pre-Arraignment	Initial Appearance	Post-Disposition Court	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If mandatory appearance 4: If subject pleads guilty	Judgment Entry	Department of Transportation	Review Driving Record	<div>- [Wish List] Court would like driving record</div> <div>- [] Does time to pay make any difference, 9 and 10 appear to be the same otherwise. Unclear what time to pay represent</div>

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
108	- Uniform Traffic Citation & Complaint	Court Pre-Arraignment	Initial Appearance	Incarceration	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If mandatory appearance 4: If subject pleads guilty 5: If subject is sentenced to jail	Judgment Entry Mittimus	Sheriffs Office	Intake	
9	- Uniform Traffic Citation & Complaint	Court Pre-Arraignment	Initial Appearance	Post-Disposition Court	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If mandatory appearance 4: If subject pleads guilty 5: If time to pay	Judgment Entry	Department of Transportation	Review Driving Record	- [] Does time to pay make any difference, 9 and 10 appear to be the same otherwise. Unclear what time to pay represent
325	- Citation and Complaint	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If mandatory appearance 4: If unsecured bond 5: If subject fails to appear	Judgment Entry	Department of Transportation	Suspension Notice	
8	- Uniform Traffic Citation & Complaint	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If not mandatory appearance 4: If subject fails to appear 5: If payment is not received	Judgment Entry	Department of Transportation	Suspension Notice	
107	- Uniform Traffic Citation & Complaint	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If subject is charged with driving with license suspended 4: If mandatory appearance 5: If subject fails to appear 6: If bond has been posted 7: If not surety bond	Bench Warrant	Sheriffs Office	Update Warrant File	- [Description] Simple warrants may go back to originating agency rather than Sheriff. - [Description] Court may choose to issue bench warrant on other traffic violations.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
225	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is not specifically ordered	Judgment and Sentencing Order	County Jail	Transport to Prison	
226	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Incarceration	Clerk of Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is not specifically ordered	Judgment and Sentencing Order	Corrections Institutions	Intake	<ul style="list-style-type: none">- [Wish List] An actual intake occurs with the body.
224	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is ordered	Judgment and Sentencing Order	County Jail	Hold for Residential	<ul style="list-style-type: none">- [Description] Evaluation for use of residential placement is part of the PSI report.- [Description] Sentence is a prison sentence and may be no indication of placement information. Placement understood.
227	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is ordered	Judgment and Sentencing Order	Community Based Corrections	Update File	<ul style="list-style-type: none">- [Discrepancy] Exchange only occurs in some jurisdictions.
228	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is ordered	Judgment and Sentencing Order	Corrections Institutions	Update File	<ul style="list-style-type: none">- [Wish List] Notification to identify discrepancies, etc.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
392	- Notice of Appeal	Appeal	Court Event	Reversed	Clerk of Court	1: If a judgement of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has not filed brief 4: If the time for brief has expired 5: If the court reverses the sentence 6: If traffic violation	Judgment Entry	Department of Transportation	Delete Record	
389	- Appellant Brief	Appeal	Appeal Hearing	Reversed	Clerk of Court	1: If a judgement of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has filed brief 4: If oral argument was granted 5: If the court reverses the sentence 6: If traffic violation	Judgment Entry	Department of Transportation	Delete Record	

Appendix B – Simple Misdemeanor Non-Traffic Offenses

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
19	<ul style="list-style-type: none">- Criminal History- Drivers Record- Warrant Check	Investigation	Arrest	Court Pre-Arraignment	Local Law Enforcement	1: If probable cause arrest 2: If subject is charged with simple misdemeanor 3: If non-traffic violation 4: If offense meets citable criteria 5: If municipal ordinance 6: If subject is not in custody	Citation and Complaint	City Attorney	Update File	<ul style="list-style-type: none">- [Jurisdictionally Dependent] citable criteria varies from jurisdiction- [Jurisdictionally Dependent] Source documents will vary between local agencies and circumstances- [Wish List] Warrant check will trigger DOC notice
22	<ul style="list-style-type: none">- Criminal History- Drivers Record- Warrant Check	Investigation	Arrest	Court Pre-Arraignment	Local Law Enforcement	1: If probable cause arrest 2: If subject is charged with simple misdemeanor 3: If non-traffic violation 4: If offense meets citable criteria 5: If state/county charges 6: If subject is in custody	Citation and Complaint Criminal History	County Attorney	Case Initiation	<ul style="list-style-type: none">- [Jurisdictionally Dependent] LE sends criminal history to court - varies- [Jurisdictionally Dependent] IN some jurisdictions prosecutor makes charging decisions
20	<ul style="list-style-type: none">- Criminal History- Drivers Record- Warrant Check	Investigation	Arrest	Court Pre-Arraignment	Local Law Enforcement	1: If probable cause arrest 2: If subject is charged with simple misdemeanor 3: If non-traffic violation 4: If offense meets citable criteria 5: If state/county charges 6: If subject is not in custody	Citation and Complaint	County Attorney	Case Initiation	<ul style="list-style-type: none">- [Jurisdictionally Dependent] Source documents will vary between local agencies and circumstances- [Jurisdictionally Dependent] Not everyone is doing criminal history check- [Jurisdictionally Dependent] IN some jurisdictions prosecutor makes charging decisions- [Wish List] Warrant check will trigger DOC notice
21	<ul style="list-style-type: none">- Criminal History- Drivers Record- Warrant Check	Investigation	Arrest	Court Pre-Arraignment	Local Law Enforcement	1: If probable cause arrest 2: If subject is charged with simple misdemeanor 3: If offense meets citable criteria 4: If subject is in custody	Citation and Complaint	District Court	Initial Appearance	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
18	<div>- Criminal History</div> <div>- Drivers Record</div> <div>- Warrant Check</div>	Investigation	Arrest	Court Pre-Arraignment	Local Law Enforcement	1: If probable cause arrest 2: If subject is charged with simple misdemeanor 3: If offense meets citable criteria 4: If subject is not in custody	Citation and Complaint	District Court	Arraignment	<div>- [] 1st appearance and arraignment take place together</div>
12	<div>- Citation and Complaint</div>	Court Pre-Arraignment	Initial Appearance	Incarceration	District Court	1: If subject is charged with simple misdemeanor 2: If mandatory appearance 3: If subject pleads guilty 4: If subject is sentenced to jail	Judgment Entry Mittimus	Sheriffs Office	Intake	<div>- [Wish List] Court would like driving record</div>
45	<div>- Citation and Complaint</div>	Court Pre-Arraignment	Initial Appearance	Post-Disposition Court	District Court	1: If subject is charged with simple misdemeanor 2: If mandatory appearance 3: If surety bond 4: If bond has been posted 5: If notice time limit has expired 6: If subject fails to appear	Judgment Entry	Department of Transportation	Review Driving Record	
324	<div>- Citation and Complaint</div>	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	District Court	1: If subject is charged with simple misdemeanor 2: If mandatory appearance 3: If unsecured bond 4: If subject fails to appear	Judgment Entry	Central Collection Unit	Update File	
327	<div>- Citation and Complaint</div>	Court Pre-Arraignment	Initial Appearance	Post-Disposition Court	District Court	1: If subject is charged with simple misdemeanor 2: If non-traffic violation 3: If mandatory appearance 4: If cash bond 5: If bond has been posted 6: If subject fails to appear 7: If court has received a green sheet	Green Sheet	Department of Public Safety	Update Criminal History	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
3	- Citation and Complaint	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	District Court	1: If subject is charged with simple misdemeanor 2: If non-traffic violation 3: If mandatory appearance 4: If subject fails to appear	Bench Warrant(M/E)	Sheriffs Office	Update Warrant File	- [Discrepancy] The sheriff may get involved in serving the collection notice to the surety notice (if surety bond). - [Description, Jurisdictionally Dependent] Simple warrants may go back to originating agency rather than Sheriff.
326	- Citation and Complaint	Court Pre-Arraignment	Initial Appearance	Post-Disposition Court	District Court	1: If subject is charged with simple misdemeanor 2: If non-traffic violation 3: If mandatory appearance 4: If surety bond 5: If bond has been posted 6: If subject fails to appear 7: If notice time limit has expired 8: If court has received a green sheet	Green Sheet	Department of Public Safety	Update Criminal History	
318	- Citation and Complaint	Court Pre-Arraignment	Initial Appearance	Court Charging	District Court	1: If subject is charged with simple misdemeanor 2: If subject is in custody 3: If jailable offense 4: If the court appoints an attorney 5: If subject does not enter a plea	Appointment Order Notice of Court Date	Defense Counsel	Trial	
316	- Citation and Complaint	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	District Court	1: If subject is charged with simple misdemeanor 2: If subject is in custody 3: If subject does not enter a plea	Notice of Court Date	County Attorney	Arraignment	- [Description, Discrepancy] Done so they are not added to the trial docket. May only be in a limited number of jurisdictions.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
317	- Citation and Complaint	Court Pre-Arraignment	Initial Appearance	Court Charging	District Court	1: If subject is charged with simple misdemeanor 2: If subject is in custody 3: If subject does not enter a plea	Notice of Court Date	County Attorney	Trial	
319	- Financial Affidavit	Court Pre-Arraignment	Arraignment	Court Charging	District Court	1: If subject is charged with simple misdemeanor 2: If jailable offense 3: If the court appoints an attorney 4: If subject enters a plea of not guilty	Appointment Order Notice of Court Date	Defense Counsel	Trial	
46	- Citation and Complaint	Court Pre-Arraignment	Arraignment	Court Pre-Arraignment	District Court	1: If subject is charged with simple misdemeanor 2: If not mandatory appearance 3: If payment is not received 4: If subject fails to appear 5: If non-traffic violation	Bond Forfeiture	Central Collection Unit	Collection	
320	- Citation and Complaint	Court Pre-Arraignment	Arraignment	Court Charging	District Court	1: If subject is charged with simple misdemeanor 2: If state/county charges 3: If subject enters a plea of not guilty	Notice of Court Date	County Attorney	Trial	
328	- Citation and Complaint	Court Pre-Arraignment	Arraignment	Court Charging	District Court	1: If subject is charged with simple misdemeanor 2: If state/county charges 3: If subject enters a plea of not guilty 4: If subject requests a jury trial	Notice of Court Date	County Attorney	Pre-Trial Conference	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
330	- Citation and Complaint	Court Pre-Arraignment	Arraignment	Court Charging	District Court	1: If subject is charged with simple misdemeanor 2: If subject enters a plea of not guilty 3: If subject requests a jury trial	Notice of Court Date	Defense Counsel	Pre-Trial Conference	
321	- Citation and Complaint	Court Pre-Arraignment	Arraignment	Court Charging	District Court	1: If subject is charged with simple misdemeanor 2: If subject is charged with an ordinance violation 3: If subject enters a plea of not guilty	Notice of Court Date	City Attorney	Trial	
334	- Notice of Court Date	Court Charging	Pre-Trial Conference	Post Finding	District Court	1: If subject is charged with simple misdemeanor 2: If state/county charges 3: If subject enters a guilty plea 4: If subject requested a jury trial	Notice of Court Date	County Attorney	Sentencing	- [Description] If victim crime, the prosecutor is responsible for victim notification.
331	- Notice of Court Date	Court Charging	Pre-Trial Conference	Court Trial	District Court	1: If subject is charged with simple misdemeanor 2: If state/county charges 3: If subject enters a plea of not guilty 4: If subject requested a jury trial	Notice of Court Date	County Attorney	Trial	
333	- Notice of Court Date	Court Charging	Pre-Trial Conference	Post Finding	District Court	1: If subject is charged with simple misdemeanor 2: If subject enters a guilty plea 3: If subject requested a jury trial	Notice of Court Date	Defense Counsel	Sentencing	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
332	- Notice of Court Date	Court Charging	Pre-Trial Conference	Court Trial	District Court	1: If subject is charged with simple misdemeanor 2: If subject enters a plea of not guilty 3: If subject requested a jury trial	Notice of Court Date	Defense Counsel	Trial	
339		Court Trial	Trial	Post Finding	District Court	1: If subject is charged with simple misdemeanor 2: If subject is found guilty	Court Order	County Attorney	Sentencing	
340		Court Trial	Trial	Post Finding	District Court	1: If subject is charged with simple misdemeanor 2: If subject is found guilty	Court Order	Defense Counsel	Sentencing	
335		Court Trial	Trial	Discharge	District Court	1: If subject is charged with simple misdemeanor 2: If subject is found not guilty 3: If court has received a green sheet	Green Sheet	Department of Public Safety	Update Criminal History	
336		Court Trial	Trial	Discharge	District Court	1: If subject is charged with simple misdemeanor 2: If subject is found not guilty	Judgment Entry	County Attorney	Update Case File	
337		Court Trial	Trial	Discharge	District Court	1: If subject is charged with simple misdemeanor 2: If subject is found not guilty	Judgment Entry	Defense Counsel	Update Case File	
341	- Deferred Judgment History	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Order Granting Deferred Judgment	County Attorney	Update Case File	- [Jurisdictionally Dependent] Court, prosecutor, DCS may do records check in some jurisdictions.
342	- Deferred Judgment History	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Order Granting Deferred Judgment	Defense Counsel	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
343	- Deferred Judgment History	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Order Granting Deferred Judgment	Supreme Court Administration	Update Deferred Registry	
345	- Deferred Judgment History	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Green Sheet	Department of Public Safety	Update Criminal History	
374		Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If subject is ordered to the Batterers Education Program	Judgment and Sentencing Order	County Attorney	Update Case File	
375		Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If subject is ordered to the Batterers Education Program	Judgment and Sentencing Order	Community Based Corrections	Monitoring	
376		Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If subject is ordered to the Batterers Education Program	Judgment and Sentencing Order	Defense Counsel	Update Case File	
371		Post Finding	Sentencing	Incarceration	District Court	1: If subject is guilty of a simple misdemeanor 2: If subject is sentenced to jail	Judgment and Sentencing Order Mittimus	Sheriffs Office	Intake	
372		Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If subject is sentenced to pay fines	Judgment and Sentencing Order	Defense Counsel	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
373		Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If subject is sentenced to pay fines	Judgment and Sentencing Order	County Attorney	Update Case File	
367		Post-Disposition Supervision	Status Review	Discharge	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If defendant has motioned for expungement 4: If the court determines that the case is to be expunged 5: If court has received a green sheet	Green Sheet	Department of Public Safety	Update Criminal History	
368		Post-Disposition Supervision	Status Review	Discharge	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If defendant has motioned for expungement 4: If the court determines that the case is to be expunged	Expungement Order	County Attorney	Update Case File	
363		Post-Disposition Supervision	Status Review	Discharge	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If probation has expired 4: If the court determines that the case is to be expunged	Expungement Order	County Attorney	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
364		Post- Disposition Supervision	Status Review	Discharge	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If probation has expired 4: If the court determines that the case is to be expunged 5: If court has received a green sheet	Green Sheet	Department of Public Safety	Update Criminal History	
369		Post- Disposition Supervision	Status Review	Detention	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated terms of deferred judgment 4: If the subject is found in contempt 5: If subject is to serve an immediate jail sentence	Mittimus	Sheriffs Office	Intake	
346		Post- Disposition Supervision	Motioning	Post- Disposition Supervision	County Attorney	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated terms of deferred judgment	Motion for Adjudication of Guilt and Sentencing	District Court	Schedule for Revocation Hearing	
377	- Judgment and Sentencing Order	Post- Disposition Supervision	Monitoring	Post- Disposition Supervision	Community Based Corrections	1: If subject is guilty of a simple misdemeanor 2: If subject is ordered to the Batterers Education Program 3: If subject fails to comply with terms of the Batterers Education Program	Notification	County Attorney	Motioning	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
347	- Motion for Adjudication of Guilt and Sentencing	Post-Disposition Supervision	Schedule for Revocation Hearing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated terms of deferred judgment 4: If the court chooses to issue an arrest warrant	Arrest Warrant	Sheriffs Office	Update Warrant File	
349	- Motion for Adjudication of Guilt and Sentencing	Post-Disposition Supervision	Schedule for Revocation Hearing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated terms of deferred judgment	Notice of Court Date	County Attorney	Revocation Hearing	
350	- Notice of Court Date	Post-Disposition Supervision	Revocation Hearing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated terms of deferred judgment 4: If motion is dismissed	Court Order	County Attorney	Update Case File	
351	- Notice of Court Date	Post-Disposition Supervision	Revocation Hearing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated terms of deferred judgment 4: If motion is dismissed	Court Order	Defense Counsel	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
352	- Notice of Court Date	Post-Disposition Supervision	Revocation Hearing	Detention	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated terms of deferred judgment 4: If the subject is found in contempt 5: If subject is to serve an immediate jail sentence	Mittimus	Sheriffs Office	Intake	- [Process Gap] Problem when the jail sentence is delayed. Jail does not always know what to do with order.
353	- Notice of Court Date	Post-Disposition Supervision	Revocation Hearing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated terms of deferred judgment 4: If the subject is found in contempt 5: If subject was given time to purge	Contempt Order	County Attorney	Status Review	
356		Post-Disposition Supervision	Revocation Hearing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds subject violated terms of deferred judgment	Order Revoking Deferred, Judgment Entry and Sentencing Order	Defense Counsel	Update Case File	
357		Post-Disposition Supervision	Revocation Hearing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds subject violated terms of deferred judgment	Order Revoking Deferred, Judgment Entry and Sentencing Order	County Attorney	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
358		Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds subject violated terms of deferred judgment	Green Sheet	Department of Public Safety	Update Criminal History	
360		Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds subject violated terms of deferred judgment 4: If deferred judgment is continued	Order Modifying Deferred Judgment	County Attorney	Update Case File	
361		Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds subject violated terms of deferred judgment 4: If deferred judgment is continued	Order Modifying Deferred Judgment	Defense Counsel	Update Case File	
359		Post- Disposition Supervision	Revocation Hearing	Detention	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds subject violated terms of deferred judgment 4: If subject is sentenced to jail	Mittimus Order Revoking Deferred, Judgment Entry and Sentencing Order	Sheriffs Office	Intake	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
401	- Order for Consideration	Post-Disposition Court	Prosecutor Discretion Decision	Post-Disposition Court	Attorney Generals Office	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requested discretionary review 5: If A.G. decides to file a resistance	Resistance to Discretionary Review	Supreme Court	Consideration	
402	- Order for Consideration	Post-Disposition Court	Prosecutor Discretion Decision	Post-Disposition Court	Attorney Generals Office	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requested discretionary review 5: If A.G. decides to file a resistance	Resistance to Discretionary Review	Defense Counsel	Consideration	
396		Post-Disposition Court	Update Case File	Post-Disposition Court	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review 5: If Supreme Court requires file	Court Record	Supreme Court	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
400	- Notice of Appeal	Post-Disposition Court	Notice of Appeal	Appeal	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If appeal bond is set 4: If appeal bond is posted 5: If subject is in custody of county jail	Appeal Bond	Sheriffs Office	Release	- [] If jail sentence but not in custody, clerk pulls the mittimus and no exchange takes place.
385		Post-Disposition Court	Notice of Appeal	Appeal	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If jailable offense 4: If court determines that subject qualifies for public defense	Appointment Order	Defense Counsel	Enter Written Appearance	
395	- Notice of Appeal	Post-Disposition Court	Notice of Appeal	Appeal	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal	Appointment Order	County Attorney	Update File	
405	- Resistance to Discretionary Review	Post-Disposition Court	Consideration	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If court denies request for discretionary review	Order Denying Discretionary Review	Attorney Generals Office	Update Case File	
407	- Resistance to Discretionary Review	Post-Disposition Court	Consideration	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If court denies request for discretionary review	Order Denying Discretionary Review Procedendo	District Court	Update Case File	- [] Procedendo is not sent out with order, Supreme Court Clerk sends out within 10 days.
413	- Resistance to Discretionary Review	Post-Disposition Court	Consideration	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If court denies request for discretionary review	Order Denying Discretionary Review	Defense Counsel	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
412	- Resistance to Discretionary Review	Post-Disposition Court	Consideration	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If court grants request for discretionary review	Order Granting Discretionary Review	Appellate Defender	Filing	
404	- Resistance to Discretionary Review	Post-Disposition Court	Consideration	Appeal	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If court grants request for discretionary review 3: If A.G. filed a resistance	Order Granting Discretionary Review	Attorney Generals Office	Update Case File	
403	- Resistance to Discretionary Review	Post-Disposition Court	Consideration	Appeal	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If court grants request for discretionary review	Order Granting Discretionary Review	District Court	Update Case File	- [Cumbersome] Clerk has to manually docket event.
390	- Notice of Appeal	Appeal	Court Event	Post-Disposition Court	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has not filed brief 4: If the time for brief has expired 5: If the court reaffirms the sentence	Court Order	County Attorney	Update File	- [Discrepancy] Question as to whether or not court can dismiss case based on non-compliance, would affect right to appeal.
391	- Notice of Appeal	Appeal	Court Event	Reversed	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has not filed brief 4: If the time for brief has expired 5: If the court reverses the sentence	Court Order	County Attorney	Update File	- [] Often prosecutor will follow cases that they believe could be reversed.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
394	- Application for Discretionary Review	Appeal	Court Event	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review 5: If Supreme Court requires file	Oral Notification	District Court	Update Case File	- <input type="checkbox"/> Only notify District Court if Supreme Court requires file be sent up.
397	- Application for Discretionary Review	Appeal	Court Event	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review	Order for Consideration	County Attorney	Prosecutor Discretion Decision	
398	- Application for Discretionary Review	Appeal	Court Event	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review	Order for Consideration	Attorney Generals Office	Prosecutor Discretion Decision	
399	- Application for Discretionary Review	Appeal	Court Event	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review	Order for Consideration	Defense Counsel	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
393	- Notice of Appeal	Appeal	Brief Filed	Appeal	County Attorney	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If appellee has filed brief	Appellee Brief	District Court	Court Event	- [] Court upon will make determination as to oral arguement or submission.
386		Appeal	Brief Filed	Appeal	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has filed brief 4: If oral arguement was granted	Appellant Brief	County Attorney	Appeal Hearing	- [Discrepancy] Often the notice to the county attorney is oral. Court may not notice county attorney at all.
387	- Appellant Brief	Appeal	Appeal Hearing	Post-Disposition Court	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has filed brief 4: If oral arguement was granted 5: If court affirms original judgment	Court Order	County Attorney	Update Case File	
388	- Appellant Brief	Appeal	Appeal Hearing	Reversed	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has filed brief 4: If oral arguement was granted 5: If the court reverses the sentence	Court Order	County Attorney	Update Case File	

Appendix C – Indictable Offenses

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
34	<ul style="list-style-type: none">- Criminal History- Warrant Check	Investigation	Incident	Investigation	Local Law Enforcement	1: If law enforcement requests arrest warrant 2: If need to expedite warrant 3: If meets criteria for temp felony warrant	Temporary Felony Warrant	Department of Public Safety	Update Warrant File	<ul style="list-style-type: none">- [] Only electronic and purged in 48 hours- [Process Gap] Local warrant file & court file may not be updated.
41	<ul style="list-style-type: none">- Criminal History- Drivers Record- Warrant Check	Investigation	Arrest	Investigation	Local Law Enforcement	1: If indictable offense 2: If subject is in custody	Fingerprint Card	Department of Public Safety	Update Criminal History	
70	<ul style="list-style-type: none">- Criminal History- Drivers Record- Warrant Check	Investigation	Arrest	Court Pre-Arraignment	Local Law Enforcement	1: If indictable offense 2: If subject is in custody 3: If CJIN county	Fingerprint Card	District Court	Case Initiation	<ul style="list-style-type: none">- [Description] About 12 counties are CJIN counties.- [Cumbersome, Discrepancy, Jurisdictionally Dependent] Court has implements multiple front-end processes to deal with discrepancies in prosecutor processes.
25	<ul style="list-style-type: none">- Criminal History- Drivers Record- Warrant Check	Investigation	Arrest	Investigation	Local Law Enforcement	1: If probable cause arrest 2: If indictable offense 3: If non-traffic violation 4: If subject is in custody	Case Report Complaint & Affidavit	County Attorney	Prosecutor Charging Decision	
24	<ul style="list-style-type: none">- Criminal History- Drivers Record- Warrant Check	Investigation	Arrest	Court Pre-Arraignment	Local Law Enforcement	1: If probable cause arrest 2: If indictable offense 3: If non-traffic violation 4: If subject is in custody	Complaint & Affidavit	District Court	Initial Appearance	<ul style="list-style-type: none">- [Wish List] Warrant check will trigger DOC notification in future
61	<ul style="list-style-type: none">- Criminal History- Domestic Abuse Registry Check- Drivers Record- Sex Offender Registry Check- Warrant Check	Investigation	Arrest	Investigation	Local Law Enforcement	1: If probable cause arrest 2: If indictable offense 3: If non-traffic violation 4: If subject is in custody 5: If subject is eligible for pre-trial supervision	Complaint & Affidavit Oral Case Summary	Community Based Corrections	Pre-Trial Interview	<ul style="list-style-type: none">- [Discrepancy] Complaint and affidavit is not always available.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
26	<div><div>- Criminal History</div><div>- Drivers Record</div><div>- Warrant Check</div></div>	Investigation	Arrest	Investigation	Local Law Enforcement	1: If probable cause arrest 2: If indictable offense 3: If traffic violation 4: If subject is in custody	Case Report Complaint & Affidavit	County Attorney	Prosecutor Charging Decision	
27	<div><div>- Criminal History</div><div>- Drivers Record</div><div>- Warrant Check</div></div>	Investigation	Arrest	Court Pre-Arraignment	Local Law Enforcement	1: If probable cause arrest 2: If indictable offense 3: If traffic violation 4: If subject is in custody	Complaint & Affidavit	District Court	Initial Appearance	<div><div>- [] If indictable and simple msdmr offenses, will set simple msdmr offenses aside until indictable is processed.</div><div>- [Process Gap] May come in on uniform citation even if an indictable offense.</div><div>- [Jurisdictionally Dependent] In some cases the uniform citation goes to court directly</div></div>
23	<div><div>- Criminal History</div><div>- Drivers Record</div><div>- Warrant Check</div></div>	Investigation	Arrest	Investigation	Local Law Enforcement	1: If subject is charged with simple misdemeanor 2: If charges are enhanceable 3: If state/county charges 4: If subject is in custody	Fingerprint Card	Department of Public Safety	Update Criminal History	
88		Investigation	Prosecutor Charging Decision	Court Charging	County Attorney	1: If indictable offense 2: If prosecution files Trial Information 3: If judge approves the Trial Information 4: If prosecutor chooses to offer negotiated plea	Plea Letter	Defense Counsel	Plea Negotiation	
47	<div><div>- Case Report</div><div>- Complaint & Affidavit</div></div>	Investigation	Prosecutor Charging Decision	Court Pre-Arraignment	County Attorney	1: If probable cause arrest 2: If indictable offense 3: If prosecutor authorizes charges	Complaint & Affidavit	District Court	Initial Appearance	<div><div>- [Description] Judge must first sign the complaint and affidavit prior to filing with clerk.</div><div>- [Jurisdictionally Dependent] Prosecutor approval of charges only in some jurisdictions. LEA may directly file.</div></div>

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
48	<ul style="list-style-type: none">- Case Report- Certified Judgments- Complaint & Affidavit- Criminal History- Drivers Record	Investigation	Prosecutor Charging Decision	Court Pre-Arraignment	County Attorney	1: If probable cause arrest 2: If prosecutor authorizes charges 3: If subject is charged with simple misdemeanor 4: If offense does not meet citable criteria 5: If charges are enhanceable	Complaint & Affidavit	District Court	Initial Appearance	
80		Investigation	Prosecutor Discretion Decision	Court Charging	County Attorney	1: If indictable offense 2: If prosecution files Trial Information 3: If judge approves the Trial Information	Trial Information	District Court	Schedule for Arraignment	<ul style="list-style-type: none">- [Description] Compliaint and affidavit has not been filed. Subject has not been booked. e.g. subject in currently in DOC.
155		Investigation	Motioning	Investigation	County Attorney	1: If indictable offense 2: If prosecution accepts the subject for diversion 3: If prosecution motions for a stay	Motion	District Court	Motion Hearing	<ul style="list-style-type: none">- [Description] Subject may request diversion of prosecution.
173	<ul style="list-style-type: none">- Motion	Investigation	Motion Hearing	Pre-Disposition Supervision	District Court	1: If indictable offense 2: If prosecution motions for a stay 3: If court grants request for discretionary review 4: If court orders CBC diversion supervision	Court Order	Community Based Corrections	Intake	<ul style="list-style-type: none">- [Process Gap] The ability to track both individual and aggregate successes in diversion is often not available.- [Process Gap] Little ability to track/update community service time.- [Jurisdictionally Dependent] There are differences between counties as to which diversion programs are available.
174	<ul style="list-style-type: none">- Motion	Investigation	Motion Hearing	Pre-Disposition Supervision	District Court	1: If indictable offense 2: If prosecution motions for a stay 3: If court grants request for discretionary review	Court Order	County Attorney	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
175	- Motion	Investigation	Motion Hearing	Pre-Disposition Supervision	District Court	1: If indictable offense 2: If prosecution motions for a stay 3: If court grants request for discretionary review	Court Order	Defense Counsel	Update Case File	
42	- Fingerprint Card	Investigation	Update Criminal History	Investigation	Department of Public Safety	1: If subject is in custody 2: If indictable offense 3: If fingerprint is bad	Fingerprint Card Rejection	Local Law Enforcement	Update File	<ul style="list-style-type: none">- [] Tracking number assigned to fingerprint card- [Description] Print rejection does results in crim history update just marked as non AFIS
43	- Fingerprint Card	Investigation	Update Criminal History	Investigation	Department of Public Safety	1: If subject is in custody 2: If indictable offense 3: If fingerprint is matched 4: If discrepancy in name	Oral Notification	Local Law Enforcement	Update File	<ul style="list-style-type: none">- [] May make file update a more proactive event at future meeting.- [Discrepancy] Nothing is sent to LE if good print received and good name received
57	<ul style="list-style-type: none">- Case Report- Complaint & Affidavit	Court Pre-Arraignment	Prosecutor Charging Decision	Court Pre-Arraignment	County Attorney	1: If case has been filed 2: If indictable offense 3: If prosecution chooses to file another complaint	Complaint & Affidavit	Local Law Enforcement	Update File	<ul style="list-style-type: none">- [Discrepancy] Related case indicator may also be used if co-defendants - not just additional charges.- [Wish List] If LEA does not know new charges are filed, charges may not be related to subject (new complaint = new case nbr)- [Jurisdictionally Dependent, Process Gap] Clerk may not have information to know to relate cases. Some LEA use slip to indicate previous number.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
56	<div><div>- Case Report</div><div>- Complaint & Affidavit</div></div>	Court Pre-Arraignment	Prosecutor Charging Decision	Court Pre-Arraignment	County Attorney	1: If case has been filed 2: If indictable offense 3: If prosecution chooses to file another complaint	Complaint & Affidavit	District Court	Relate Case	
54	<div><div>- Case Report</div><div>- Complaint & Affidavit</div></div>	Court Pre-Arraignment	Prosecutor Charging Decision	Court Pre-Arraignment	County Attorney	1: If case has been filed 2: If indictable offense 3: If prosecutor chooses to amend charges	Motion to Amend	District Court	Motion Hearing	<div><div>- [Description] Some jurisdictions put hearing on docket for next hearing</div></div>
55	<div><div>- Case Report</div><div>- Complaint & Affidavit</div></div>	Court Pre-Arraignment	Prosecutor Charging Decision	Court Pre-Arraignment	County Attorney	1: If case has been filed 2: If indictable offense 3: If prosecutor chooses to amend charges	Motion to Amend	Defense Counsel	Motion Hearing	
58	<div><div>- Complaint & Affidavit</div></div>	Court Pre-Arraignment	Relate Case	Court Pre-Arraignment	District Court	1: If case has been filed 2: If indictable offense 3: If prosecution filed another complaint 4: If charges are from seperate incident	Complaint & Affidavit Order to Fingerprint	Sheriffs Office	Booking	<div><div>- [Wish List] Currently this is does not occur consistantly, Court may not know to order fingerprint.</div><div>- [Process Gap] Knowing that charges are from a seperate incident is difficult to know.Only know b/c charges are in a separate file</div></div>
79	<div><div>- Order for Arraignment</div></div>	Court Pre-Arraignment	Prosecutor Discretion Decision	Court Pre-Arraignment	County Attorney	1: If indictable offense 2: If prosecution files Trial Information 3: If judge approves the Trial Information	Trial Information	District Court	Schedule for Arraignment	
72	<div><div>- Complaint & Affidavit</div><div>- Financial Affidavit</div><div>- Pre-Trial Interview Recommendation</div></div>	Court Pre-Arraignment	Initial Appearance	Court Charging	District Court	1: If indictable offense 2: If court finds probable cause 3: If subject has not retained private counsel 4: If court determines that subject qualifies for public defense	Financial Affidavit Initial Appearance Order	Defense Counsel	Preliminary Hearing	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
71	<ul style="list-style-type: none">- Complaint & Affidavit- Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Court Charging	District Court	1: If indictable offense 2: If court finds probable cause	Initial Appearance Order	County Attorney	Preliminary Hearing	
59	<ul style="list-style-type: none">- Complaint & Affidavit	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	District Court	1: If indictable offense 2: If prosecutor authorizes charges 3: If subject has not been fingerprinted	Complaint & Affidavit Order to Fingerprint	Sheriffs Office	Booking	<ul style="list-style-type: none">- [Wish List] Is it the responsibility of clerk to determine if subject has been fingerprinted? No way for the court to know.
64	<ul style="list-style-type: none">- Complaint & Affidavit- Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Detention	District Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If bond is set	Initial Appearance Order Order of Commitment	Sheriffs Office	Return to Population	<ul style="list-style-type: none">- [Discrepancy, Jurisdictionally Dependent] Order of Commitment is only used in some jurisdictions.
60	<ul style="list-style-type: none">- Complaint & Affidavit- Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Detention	District Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If bond is set	Order of Commitment	Sheriffs Office	Return to Population	
63	<ul style="list-style-type: none">- Complaint & Affidavit- Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Detention	District Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If bond is set 5: If court issues a protection order	Order of Commitment Order of Protection	Sheriffs Office	Return to Population	<ul style="list-style-type: none">- [Description] Clerk enteres OP into Iowa system.- [Description] Protection orders on domestic violence, harassment, stalking, criminal mischief, sexual abuse.
65	<ul style="list-style-type: none">- Complaint & Affidavit- Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Pre-Disposition Supervision	District Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If subject is released to supervision	Notice of Discharge	Sheriffs Office	Release on Charge	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
66	<div>- Complaint & Affidavit</div> <div>- Pre-Trial Interview Recommendation</div>	Court Pre-Arraignment	Initial Appearance	Pre-Disposition Supervision	District Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If subject is released to supervision	Release Order	Community Based Corrections	Intake	<div>- [Description] CBC prepares agreement for release. May be available for initial appearance.</div>
67	<div>- Complaint & Affidavit</div> <div>- Pre-Trial Interview Recommendation</div>	Court Pre-Arraignment	Initial Appearance	Discharge	District Court	1: If probable cause arrest 2: If indictable offense 3: If subject is in custody 4: If prosecutor does not authorize charges	Release Order	Sheriffs Office	Release on Charge	
84	<div>- Initial Appearance Order</div>	Court Pre-Arraignment	Preliminary Hearing	Court Pre-Arraignment	District Court	1: If indictable offense 2: If court finds probable cause 3: If subject has waived right to a preliminary hearing 4: If waiver occured after initial appearance 5: If subject is in custody	Waiver of Preliminary Hearing	Sheriffs Office	Update File	
77	<div>- Initial Appearance Order</div>	Court Pre-Arraignment	Preliminary Hearing	Court Pre-Arraignment	District Court	1: If indictable offense 2: If court finds probable cause 3: If subject has waived right to a preliminary hearing 4: If waiver occured after initial appearance	Waiver of Preliminary Hearing	County Attorney	Prosecutor Discretion Decision	
78	<div>- Initial Appearance Order</div>	Court Pre-Arraignment	Preliminary Hearing	Court Pre-Arraignment	District Court	1: If indictable offense 2: If court finds probable cause	Order for Arraignment	County Attorney	Prosecutor Discretion Decision	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
85	- Trial Information	Court Pre-Arraignment	Schedule for Arraignment	Court Pre-Arraignment	District Court	1: If indictable offense 2: If judge approved the Trial Information 3: If court orders recommitment to custody	Arrest Warrant Trial Information	Sheriffs Office	Arrest	- [Discrepancy] Receiving agency may be another LEA type agency. - [Jurisdictionally Dependent] Some jurisdictions have a seperate form for arraignment date. Then the information form will not be sent.
82	- Trial Information	Court Pre-Arraignment	Schedule for Arraignment	Court Pre-Arraignment	District Court	1: If indictable offense 2: If judge approved the Trial Information 3: If subject has not waived right to representation	Trial Information	Defense Counsel	Arraignment	
81	- Trial Information	Court Pre-Arraignment	Schedule for Arraignment	Court Pre-Arraignment	District Court	1: If indictable offense 2: If judge approved the Trial Information	Trial Information	County Attorney	Arraignment	
102	- Trial Information	Court Pre-Arraignment	Arraignment	Post Finding	District Court	1: If indictable offense 2: If subject is charged with a felony 3: If subject enters a guilty plea	Order for Presentence Investigation	Community Based Corrections	Report Preparation	
103	- Trial Information	Court Pre-Arraignment	Arraignment	Post Finding	District Court	1: If indictable offense 2: If subject is charged with a felony 3: If subject enters a guilty plea	Order for Sentencing	County Attorney	Sentencing	
104	- Trial Information	Court Pre-Arraignment	Arraignment	Post Finding	District Court	1: If indictable offense 2: If subject is charged with a felony 3: If subject enters a guilty plea	Order for Sentencing	Defense Counsel	Sentencing	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
105	- Trial Information	Court Pre-Arraignment	Arraignment	Post Finding	District Court	1: If indictable offense 2: If subject is charged with a felony 3: If subject enters a guilty plea 4: If subject is in custody	Order for Sentencing	Sheriffs Office	Transport to Court	
87	- Plea Letter - Trial Information	Court Pre-Arraignment	Arraignment	Post Finding	District Court	1: If indictable offense 2: If subject is charged with a felony 3: If subject enters a negotiated plea	Order for Presentence Investigation	Community Based Corrections	Report Preparation	
89	- Plea Letter - Trial Information	Court Pre-Arraignment	Arraignment	Post Finding	District Court	1: If indictable offense 2: If subject is charged with a felony 3: If subject enters a negotiated plea	Order for Sentencing	County Attorney	Sentencing	
90	- Plea Letter - Trial Information	Court Pre-Arraignment	Arraignment	Post Finding	District Court	1: If indictable offense 2: If subject is charged with a felony 3: If subject enters a negotiated plea	Order for Sentencing	Defense Counsel	Sentencing	
91	- Plea Letter - Trial Information	Court Pre-Arraignment	Arraignment	Post Finding	District Court	1: If indictable offense 2: If subject is charged with a felony 3: If subject enters a negotiated plea 4: If subject is in custody	Order for Sentencing	Sheriffs Office	Transport to Court	
92	- Trial Information	Court Pre-Arraignment	Arraignment	Court Charging	District Court	1: If indictable offense 2: If subject is charged with a felony 3: If subject enters a plea of not guilty	Order for Trial	County Attorney	Pre-Trial Conference	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
93	- Trial Information	Court Pre-Arraignment	Arraignment	Court Charging	District Court	1: If indictable offense 2: If subject is charged with a felony 3: If subject enters a plea of not guilty	Order for Trial	Defense Counsel	Pre-Trial Conference	
222	- Judgment and Sentencing Order	Detention	Intake	Detention	Sheriffs Office	1: If indictable offense 2: If subject is found guilty of an aggravated misdemeanor 3: If subject is sentenced to jail 4: If subject was given time to report to jail 5: If subject fails to report to jail	Mittimus	District Court	Warrant Request	- [Jurisdictionally Dependent] Some jurisdictions are able to directly enter a warrant.
232	- Oral Notification	Detention	Transport to Residential	Post-Disposition Supervision	Sheriffs Office	1: If indictable offense 2: If subject is sentenced to probation 3: If residential placement is ordered 4: If bed space is available	Judgment and Sentencing Order	Community Based Corrections	Intake	
216	- Judgment and Sentencing Order	Detention	Hold for Residential	Detention	Sheriffs Office	1: If indictable offense 2: If subject is found guilty 3: If subject is sentenced to probation 4: If residential placement is ordered 5: If court orders subject is to remain in custody until space is available	Judgment and Sentencing Order	Community Based Corrections	Place on Waiting List	
217	- Judgment and Sentencing Order	Detention	Place on Waiting List	Detention	Community Based Corrections	1: If indictable offense 2: If subject is sentenced to probation 3: If residential placement is ordered 4: If bed space is available	Oral Notification	Sheriffs Office	Transport to Residential	- [Description] Subject may spend much of their sentence in jail waiting for residential bed to open up.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
204	- Court Order	Court Charging	Pre-Trial Conference	Court Charging	District Court	1: If indictable offense 2: If final pre-trial 3: If subject persists in their plea of not guilty	Oral Notification	County Attorney	Trial	
148	- Court Order	Court Charging	Pre-Trial Conference	Court Charging	District Court	1: If indictable offense 2: If first pre-trial 3: If court orders a pre-plea PSI investigation	Order for Presentence Investigation	Community Based Corrections	Report Preparation	
147	- Court Order	Court Charging	Pre-Trial Conference	Court Charging	District Court	1: If indictable offense 2: If first pre-trial 3: If subject persists in their plea of not guilty	Order Setting Pre-Trial	County Attorney	Pre-Trial Conference	
152	- Pre-Sentence Investigation Report	Court Charging	Pre-Trial Conference	Court Charging	District Court	1: If indictable offense 2: If subject enters a guilty plea 3: If PSIR has been received by court	Notice of Court Date	County Attorney	Sentencing	
153		Court Charging	Pre-Trial Conference	Court Charging	District Court	1: If indictable offense 2: If subject enters a guilty plea 3: If subject waives their right to use PSIR in sentencing 4: If subject waives right to Additional Time 5: If subject waives Motion Arrest of Judgment 6: No need for victim notification	Notice of Court Date	County Attorney	Sentencing	- [Description] Sentencing is immediate

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
149	<ul style="list-style-type: none">- Attorney Fees- Criminal History- Order for Presentence Investigation- Statement of Pecuniary Loss- Victim Impact Statement	Court Charging	Report Preparation	Court Charging	Community Based Corrections	1: If indictable offense 2: If first pre-trial has taken place 3: If court ordered a pre-plea PSI investigation 4: If the report has been completed	Pre-Sentence Investigation Report	District Court	Pre-Trial Conference	<ul style="list-style-type: none">- [Description] A PSI report can be requested any time after arraignment.
207		Court Trial	Trial	Post-Disposition Court	District Court	1: If indictable offense 2: If subject is found guilty 3: If court orders a PSI investigation	Order for Presentence Investigation	Community Based Corrections	Report Preparation	<ul style="list-style-type: none">- [Description] PSI is required on a felony but can be initially waived.
208		Court Trial	Trial	Post-Disposition Court	District Court	1: If indictable offense 2: If subject is found guilty	Order for Presentence Investigation Order for Sentencing	County Attorney	Sentencing	<ul style="list-style-type: none">- [Description, Jurisdictionally Dependent] Order for PSI is often included in the order for sentencing.
209		Court Trial	Trial	Discharge	District Court	1: If indictable offense 2: If subject is found not guilty	Order of Dismissal and Exoneration of Bond	County Attorney	Update Case File	
210		Court Trial	Trial	Discharge	District Court	1: If indictable offense 2: If subject is found not guilty 3: If subject is in custody	Order of Dismissal and Exoneration of Bond	Sheriffs Office	Release on Charge	
211		Court Trial	Trial	Discharge	District Court	1: If indictable offense 2: If subject is found not guilty 3: If subject is in custody	Order of Dismissal and Exoneration of Bond	Defense Counsel	Update Case File	
225	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is not specifically ordered	Judgment and Sentencing Order	Sheriffs Office	Transport to Prison	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
226	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Incarceration	District Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is not specifically ordered	Judgment and Sentencing Order	Corrections Institutions	Intake	<ul style="list-style-type: none">- [Wish List] An actual intake occurs with the body.
224	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is ordered	Judgment and Sentencing Order	Sheriffs Office	Hold for Residential	<ul style="list-style-type: none">- [Description] Evaluation for use of residential placement is part of the PSI report.- [Description] Sentence is a prison sentence and may be no indication of placement information. Placement understood.
227	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is ordered	Judgment and Sentencing Order	Community Based Corrections	Update File	<ul style="list-style-type: none">- [Jurisdictionally Dependent] Exchange only occurs in some jurisdictions.
228	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is ordered	Judgment and Sentencing Order	Corrections Institutions	Update File	<ul style="list-style-type: none">- [Wish List] Notification to identify discrepancies, etc.
233	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If residential placement is ordered	Judgment and Sentencing Order	Community Based Corrections	Update File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
215	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Detention	District Court	1: If indictable offense 2: If subject is found guilty 3: If subject is sentenced to probation 4: If residential placement is ordered 5: If court orders subject is to remain in custody until space is available	Judgment and Sentencing Order	Sheriffs Office	Hold for Residential	
221	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Detention	District Court	1: If indictable offense 2: If subject is found guilty of an aggravated misdemeanor 3: If subject is sentenced to jail	Judgment and Sentencing Order	Sheriffs Office	Intake	<ul style="list-style-type: none">- [Description] Subject may need to report immediate, within 30 days, etc. Additionally, weekend time may be specified by judge.- [Description] A seperate mittimus may/may not accompany the judgment and sentencing order.
214	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Detention	District Court	1: If indictable offense 2: If subject is found guilty of an aggravated misdemeanor 3: If subject is sentenced to jail 4: If residential placement is ordered	Judgment and Sentencing Order	Sheriffs Office	Hold for Residential	<ul style="list-style-type: none">- [Description] A 28-E Agreement must exist between county and community corrections to sentence to residential.
220	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If subject is sentenced to probation 3: If subject is in custody	Judgment and Sentencing Order	Sheriffs Office	Release on Charge	
219	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If subject is sentenced to probation	Judgment and Sentencing Order	Community Based Corrections	Intake	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
229	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Incarceration	District Court	1: If indictable offense 2: If subject is sentenced to the custody of the director of corrections	Judgment and Sentencing Order	Sheriffs Office	Transport to Prison	
230	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Incarceration	District Court	1: If indictable offense 2: If subject is sentenced to the custody of the director of corrections	Judgment and Sentencing Order	Corrections Institutions	Update File	<ul style="list-style-type: none">- [Process Gap] Jail credit information will not accompany the order. 3-4 months before calculation sent to DOC.- [Description] The jail may let court know credit at time of sentencing.
231	<ul style="list-style-type: none">- Judgment and Sentencing Order	Incarceration	Transport to Prison	Incarceration	Sheriffs Office	1: If indictable offense 2: If subject is sentenced to the custody of the director of corrections	Judgment and Sentencing Order	Corrections Institutions	Intake	

Appendix D – Warrant/Summons

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
34	<ul style="list-style-type: none">- Criminal History- Warrant Check	Investigation	Incident	Investigation	Local Law Enforcement	1: If law enforcement requests arrest warrant 2: If need to expedite warrant 3: If meets criteria for temp felony warrant	Temporary Felony Warrant	Department of Public Safety	Update Warrant File	<ul style="list-style-type: none">- [] Only electronic and purged in 48 hours- [Process Gap] Local warrant file & court file may not be updated.
31	<ul style="list-style-type: none">- Criminal History- Warrant Check	Investigation	Incident	Investigation	Local Law Enforcement	1: If law enforcement requests arrest warrant	Complaint & Affidavit	Clerk of Court	Warrant Review	<ul style="list-style-type: none">- [Discrepancy] Sometimes an unsigned warrant goes to court, pre-filled out.
30	<ul style="list-style-type: none">- Criminal History- Warrant Check	Investigation	Incident	Investigation	Local Law Enforcement	1: If law enforcement requests arrest warrant 2: If state/county charges	Case Report Complaint & Affidavit Incident Report	County Attorney	Warrant Request	
40	<ul style="list-style-type: none">- Complaint & Affidavit	Investigation	Warrant Review	Investigation	Clerk of Court	1: If law enforcement requested arrest warrant 2: If court choses to issue summons	Notice of Court Date	Local Law Enforcement	Update File	<ul style="list-style-type: none">- [Jurisdictionally Dependent] Depends on jurisdiction
38	<ul style="list-style-type: none">- Complaint & Affidavit	Investigation	Warrant Review	Investigation	Clerk of Court	1: If law enforcement requested arrest warrant 2: If court choses to issue summons	Summons	Sheriffs Office	Return of Service	<ul style="list-style-type: none">- [Jurisdictionally Dependent] In some jurisdictions the summons is referred to as the citation, somewhat different than the citation- [Jurisdictionally Dependent, Process Gap] IN many cases notice to appear by US mail is sent, isn't sent and LE doesn't receive notice.
39	<ul style="list-style-type: none">- Complaint & Affidavit	Investigation	Warrant Review	Investigation	Clerk of Court	1: If law enforcement requested arrest warrant 2: If court denies request for arrest warrent	Notice of Denial	Local Law Enforcement	Update File	<ul style="list-style-type: none">- [Discrepancy] Notice of denial might be oral back to officer, or note written on request. May not even take place.- [Description] Clerk may not even be envolved, the exchange may be between the judge and LEA.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
33	- Complaint & Affidavit	Investigation	Warrant Review	Investigation	Clerk of Court	1: If law enforcement requested arrest warrant 2: If court finds probable cause to issue warrant 3: If serious offense 4: If subjects wherabouts are known	Arrest Warrant	Local Law Enforcement	Arrest	- [Description] If arrested on warrant and subject has been in custody, fingerprinting will not occur again.
32	- Complaint & Affidavit	Investigation	Warrant Review	Investigation	Clerk of Court	1: If law enforcement requested arrest warrant 2: If court finds probable cause to issue warrant	Arrest Warrant	Sheriffs Office	Update Warrant File	- [Jurisdictionally Dependent]
177	- Complaint & Affidavit	Investigation	Warrant Review	Investigation	Clerk of Court	1: If law enforcement requested arrest warrant 2: If court finds probable cause to issue warrant	Arrest Warrant	Local Law Enforcement	Update Warrant File	- [Jurisdictionally Dependent]
180	- Complaint & Affidavit	Investigation	Warrant Review	Investigation	Clerk of Court	1: If warrant requested by Community Based Corrections 2: If court finds probable cause to issue warrant	Arrest Warrant Complaint & Affidavit	Sheriffs Office	Update Warrant File	- [Jurisdictionally Dependent] Complaint & Affidavit not sent in many jurisdictions. If sent, done for additional information avail on complaint. - [Description] Helpful to know what the revocation is for.
194	- Arrest Warrant - Local Name Check	Investigation	Arrest	Investigation	Local Law Enforcement	1: If subject is arrested on an outstanding warrant 2: If appeal bond is posted 3: If subject is charged with fresh charges	Bond Form Promise to Appear Return of Service	Clerk of Court	Initial Appearance	
195	- Arrest Warrant - Local Name Check	Investigation	Arrest	Investigation	Local Law Enforcement	1: If subject is arrested on an outstanding warrant 2: If appeal bond is posted 3: If subject is not charged with fresh charges	Bond Form Promise to Appear Return of Service	Clerk of Court	Status Hearing	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
186	<ul style="list-style-type: none">- Arrest Warrant- Local Name Check	Investigation	Arrest	Investigation	Local Law Enforcement	1: If subject is arrested on an outstanding warrant 2: If subject had been fingerprinted on original charges 3: If subject is charged with fresh charges	Fingerprint Card	Department of Public Safety	Update Criminal History	
187	<ul style="list-style-type: none">- Arrest Warrant(M/E)- Local Name Check	Investigation	Arrest	Investigation	Local Law Enforcement	1: If subject is arrested on an outstanding warrant 2: If subject had been fingerprinted on original charges 3: If subject is charged with fresh charges 4: If subject is in custody	Return of Service	Clerk of Court	Initial Appearance	
189	<ul style="list-style-type: none">- Arrest Warrant- Local Name Check	Investigation	Arrest	Investigation	Local Law Enforcement	1: If subject is detained on an outstanding warrant 2: If warrant has not been verified	Hit Confirmation Request	Originating Agency	Verify Warrant	<ul style="list-style-type: none">- [Description] Local is a formal inquiry from system. An administrative message is often used through teletype.
197	<ul style="list-style-type: none">- Arrest Warrant- Criminal History- Drivers Record- Warrant Check	Investigation	Arrest	Investigation	Local Law Enforcement	1: If subject is in custody 2: If fingerprinted by law enforcement 3: If subject is arrested on an outstanding warrant	Green Sheet	County Attorney	Update File	<ul style="list-style-type: none">- [Wish List] If subject is arrested on warrant, warrant will indicate the court case #. This could be included on green sheet
190	<ul style="list-style-type: none">- Hit Confirmation Request	Investigation	Verify Warrant	Investigation	Originating Agency	1: If subject is detained on an outstanding warrant 2: If warrant can be verified	Locate	Local Law Enforcement	Arrest	<ul style="list-style-type: none">- [Jurisdictionally Dependent] Some jurisdictions require a faxed copy of the warrant.
191	<ul style="list-style-type: none">- Hit Confirmation Request	Investigation	Verify Warrant	Investigation	Originating Agency	1: If subject is detained on an outstanding warrant 2: If warrant cannot be verified 3: If subject is not charged with fresh charges	Locate	Local Law Enforcement	Release	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
192	- Hit Confirmation Request	Investigation	Verify Warrant	Investigation	Originating Agency	1: If subject is detained on an outstanding warrant 2: If warrant cannot be verified	Locate	Department of Public Safety	Update Warrant File	- [Description] DPS removed from Iowa warrant file.
183	- Bench Warrant(M/E)	Investigation	Update Warrant File	Investigation	Local Law Enforcement	1: If warrant meets local criteria for entry 2: If warrant meets NCIC standard	Bench Warrant(M/E)	Department of Public Safety	Update Warrant File	
184	- Arrest Warrant	Investigation	Update Warrant File	Investigation	Local Law Enforcement	1: If warrant meets local criteria for entry 2: If warrant meets NCIC standard	Arrest Warrant	Department of Public Safety	Update Warrant File	
182	- Bench Warrant(M/E)	Investigation	Update Warrant File	Investigation	Sheriffs Office	1: If warrant meets local criteria for entry 2: If warrant meets NCIC standard	Bench Warrant(M/E)	Department of Public Safety	Update Warrant File	- [Description] Warrant does not have geographical limitation info. Informal communication will provide limits for Sheriff.
185	- Arrest Warrant	Investigation	Update Warrant File	Investigation	Sheriffs Office	1: If warrant meets local criteria for entry 2: If warrant meets NCIC standard	Arrest Warrant	Department of Public Safety	Update Warrant File	
3	- Citation and Complaint	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If non-traffic violation 3: If mandatory appearance 4: If subject fails to appear	Bench Warrant(M/E)	Sheriffs Office	Update Warrant File	- [Discrepancy] The sheriff may get involved in serving the collection notice to the surety notice (if surety bond). - [Description, Jurisdictionally Dependent] Simple warrants may go back to originating agency rather than Sheriff.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
176	- Uniform Traffic Citation & Complaint	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If mandatory appearance 4: If subject fails to appear 5: If bond has been posted 6: If not surety bond	Bench Warrant	Local Law Enforcement	Update Warrant File	- [Description, Jurisdictionally Dependent] Simple warrants may go back to originating agency rather than Sheriff.
107	- Uniform Traffic Citation & Complaint	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If subject is charged with driving with license suspended 4: If mandatory appearance 5: If subject fails to appear 6: If bond has been posted 7: If not surety bond	Bench Warrant	Sheriffs Office	Update Warrant File	- [Description] Court may choose to issue bench warrant on other traffic violations. - [Description, Jurisdictionally Dependent] Simple warrants may go back to originating agency rather than Sheriff.
85	- Trial Information	Court Pre-Arraignment	Schedule for Arraignment	Court Pre-Arraignment	Clerk of Court	1: If indictable offense 2: If judge approved the Trial Information 3: If court orders recommitment to custody	Arrest Warrant Trial Information	Sheriffs Office	Arrest	- [Discrepancy] Receiving agency may be another LEA type agency. - [Jurisdictionally Dependent] Some jurisdictions have a seperate form for arraignment date. Then the information form will not be sent.
101	- Application for Discretionary Review	Court Charging	Recommitment Review	Court Charging	Clerk of Court	1: If court finds cause for recommitment	Arrest Warrant	Sheriffs Office	Arrest	
203	- Detainers - Local Name Check - Release Order - Warrant Check	Court Charging	Release on Charge	Court Charging	Sheriffs Office	1: If subject is released on their own recognizance 2: If warrant can be verified	Notification	Originating Agency	Transport	- [Cumbersome] Defendant may end up with conflicting court dates in multiple jurisdictions.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
254	- Report of Violation	Post-Disposition Supervision	Prosecutor Discretion Decision	Post-Disposition Supervision	County Attorney	1: If subject is on probation 2: If subject violated the conditions of probation 3: If prosecutor requests arrest warrant	Application for Revocation of Probation	Clerk of Court	Warrant Review	- [Description] Application is filled out by Community corrections.
253	- Judgment and Sentencing Order	Post-Disposition Supervision	Status Review	Post-Disposition Supervision	Community Based Corrections	1: If subject is on probation 2: If subject violated the conditions of probation 3: If probation officer requests warrant	Parole-Probation Violation Information	Clerk of Court	Warrant Review	- [Jurisdictionally Dependent]
347	- Motion for Adjudication of Guilt and Sentencing	Post-Disposition Supervision	Schedule for Revocation Hearing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If the court chooses to issue an arrest warrant	Arrest Warrant	Sheriffs Office	Update Warrant File	
239	- Motion to Show Cause	Post-Disposition Supervision	Schedule Show Cause Hearing	Post-Disposition Supervision	Clerk of Court	1: If subject was sentenced to complete a program 2: If Community Corrections is to monitor compliance 3: If the subject violates the court order 4: If subject was ordered to BEP 5: If court orders bench warrant	Bench Warrant	Sheriffs Office	Return of Service	

Appendix E – Bonding

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
194	<ul style="list-style-type: none">- Arrest Warrant- Local Name Check	Investigation	Arrest	Investigation	Local Law Enforcement	1: If subject is arrested on an outstanding warrant 2: If appeal bond is posted 3: If subject is charged with fresh charges	Bond Form Promise to Appear Return of Service	Clerk of Court	Initial Appearance	
195	<ul style="list-style-type: none">- Arrest Warrant- Local Name Check	Investigation	Arrest	Investigation	Local Law Enforcement	1: If subject is arrested on an outstanding warrant 2: If appeal bond is posted 3: If subject is not charged with fresh charges	Bond Form Promise to Appear Return of Service	Clerk of Court	Status Hearing	
60	<ul style="list-style-type: none">- Complaint & Affidavit- Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Detention	Clerk of Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If bond is set	Order of Commitment	Sheriffs Office	Return to Population	
63	<ul style="list-style-type: none">- Complaint & Affidavit- Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Detention	Clerk of Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If bond is set 5: If court issues a protection order	Order of Commitment Order of Protection	Sheriffs Office	Return to Population	<ul style="list-style-type: none">- [Description] Clerk enteres OP into Iowa system.- [Description] Protection orders on domestic violence, harassment, stalking, criminal mischief, sexual abuse.
64	<ul style="list-style-type: none">- Complaint & Affidavit- Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Detention	Clerk of Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If bond is set	Initial Appearance Order Order of Commitment	Sheriffs Office	Return to Population	<ul style="list-style-type: none">- [Discrepancy, Jurisdictionally Dependent] Order of Commitment is only used in some jurisdictions.
45	<ul style="list-style-type: none">- Citation and Complaint	Court Pre-Arraignment	Initial Appearance	Post-Disposition Court	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If mandatory appearance 3: If surety bond 4: If bond has been posted 5: If notice time limit has expired 6: If subject fails to appear	Judgment Entry	Department of Transportation	Review Driving Record	
324	<ul style="list-style-type: none">- Citation and Complaint	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If mandatory appearance 3: If unsecured bond 4: If subject fails to appear	Judgment Entry	Central Collection Unit	Update File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
327	- Citation and Complaint	Court Pre-Arraignment	Initial Appearance	Post-Disposition Court	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If non-traffic violation 3: If mandatory appearance 4: If cash bond 5: If bond has been posted 6: If subject fails to appear 7: If court has received a green sheet	Green Sheet	Department of Public Safety	Update Criminal History	
326	- Citation and Complaint	Court Pre-Arraignment	Initial Appearance	Post-Disposition Court	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If non-traffic violation 3: If mandatory appearance 4: If surety bond 5: If bond has been posted 6: If subject fails to appear 7: If notice time limit has expired 8: If court has received a green sheet	Green Sheet	Department of Public Safety	Update Criminal History	
4	- Uniform Traffic Citation & Complaint	Court Pre-Arraignment	Initial Appearance	Post-Disposition Court	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If mandatory appearance 4: If cash bond 5: If bond has been posted 6: If subject fails to appear	Bond Forfeiture Judgment Entry	Department of Transportation	Review Driving Record	
176	- Uniform Traffic Citation & Complaint	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If mandatory appearance 4: If subject fails to appear 5: If bond has been posted 6: If not surety bond	Bench Warrant	Local Law Enforcement	Update Warrant File	- [Description, Jurisdictionally Dependent] Simple warrants may go back to originating agency rather than Sheriff.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
325	- Citation and Complaint	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If mandatory appearance 4: If unsecured bond 5: If subject fails to appear	Judgment Entry	Department of Transportation	Suspension Notice	
107	- Uniform Traffic Citation & Complaint	Court Pre-Arraignment	Initial Appearance	Court Pre-Arraignment	Clerk of Court	1: If subject is charged with simple misdemeanor 2: If traffic violation 3: If subject is charged with driving with license suspended 4: If mandatory appearance 5: If subject fails to appear 6: If bond has been posted 7: If not surety bond	Bench Warrant	Sheriffs Office	Update Warrant File	- [Description] Court may choose to issue bench warrant on other traffic violations. - [Description, Jurisdictionally Dependent] Simple warrants may go back to originating agency rather than Sheriff.
94		Detention	Request for Bond Review	Detention	Defense Counsel	1: If defense files a motion for bond review 2: If judge has signed order	Motion for Bond Review	Clerk of Court	Bond Review Hearing	- [Description] Additional crimes are bondable
95		Detention	Request for Bond Review	Detention	Defense Counsel	1: If defense files a motion for bond review 2: If judge has signed order	Motion for Bond Review Order for Bond Review	County Attorney	Bond Review Hearing	- [Jurisdictionally Dependent] Format of order will vary by jurisdiction (e.g. oral, written, pre-printed, etc.)
96	- Motion for Bond Review - Order for Bond Review	Detention	Bond Review Hearing	Detention	Clerk of Court	1: If defense has filed a motion for bond review 2: If bond is to be continued	Order from Bond Hearing	Sheriffs Office	Return to Population	
97	- Motion for Bond Review - Order for Bond Review	Detention	Bond Review Hearing	Court Charging	Clerk of Court	1: If defense has filed a motion for bond review 2: If court orders subject released	Release Order	Sheriffs Office	Release on Charge	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
98	<ul style="list-style-type: none">- Motion for Bond Review- Order for Bond Review	Detention	Bond Review Hearing	Pre-Disposition Supervision	Clerk of Court	1: If defense has filed a motion for bond review 2: If court orders subject released 3: If subject is released to supervision	Release Order	Community Based Corrections	Intake	
200	<ul style="list-style-type: none">- Motion for Bond Review- Order for Bond Review	Court Charging	Initial Appearance	Detention	Clerk of Court	1: If bond is set 2: If subject cannot post bond	Complaint & Affidavit Notice of Court Date Warrant of Commitment	Sheriffs Office	Return to Population	<ul style="list-style-type: none">- [Jurisdictionally Dependent] Initial Appearance Order can replace the warrant of committment in some jurisdictions.
400	<ul style="list-style-type: none">- Notice of Appeal	Post-Disposition Court	Notice of Appeal	Appeal	Clerk of Court	1: If a judgement of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If appeal bond is set 4: If appeal bond is posted 5: If subject is in custody of county jail	Appeal Bond	County Jail	Release	<ul style="list-style-type: none">- [] If jail sentence but not in custody, clerk pulls the mittimus and no exchange takes place.
418	<ul style="list-style-type: none">- Notice of Appeal	Post-Disposition Court	Notice of Appeal	Appeal	Clerk of Court	1: If defendant has entered a notice of appeal 2: If filing is to Supreme Court 3: If defendant is in the custody of DOC 4: If appeal bond is set 5: If appeal bond is posted	Appeal Bond	Corrections Institutions	Release on Charge	<ul style="list-style-type: none">- [] Attorney General's Office notifies victim.- [Process Gap] Judge will not know that defendant has posted bond.- [Cumbersome] DOC notifies, Sheriff's Office and County Attorney.

Appendix F – Detention

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
301	- No Contact Order - 236	Served	Arrest	Detention	Local Law Enforcement	1: If potential violation of no contact order 2: If IOWA system indicates served no contact order 3: If no contact order can be verified	Report of Violation	County Attorney	Prosecutor Charging Decision	
300	- No Contact Order - 236	Served	Arrest	Detention	Local Law Enforcement	1: If potential violation of no contact order 2: If IOWA system indicates served no contact order 3: If no contact order can be verified	Report of Violation	Clerk of Court	Initial Appearance	
60	- Complaint & Affidavit - Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Detention	Clerk of Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If bond is set	Order of Commitment	Sheriffs Office	Return to Population	
63	- Complaint & Affidavit - Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Detention	Clerk of Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If bond is set 5: If court issues a protection order	Order of Commitment Order of Protection	Sheriffs Office	Return to Population	- [Description] Clerk enteres OP into Iowa system. - [Description] Protection orders on domestic violence, harassment, stalking, criminal mischief, sexual abuse.
64	- Complaint & Affidavit - Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Detention	Clerk of Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If bond is set	Initial Appearance Order Order of Commitment	Sheriffs Office	Return to Population	- [Discrepancy, Jurisdictionally Dependent] Order of Commitment is only used in some jurisdictions.
302	- Report of Violation	Detention	Prosecutor Charging Decision	Detention	County Attorney	1: If potential violation of no contact order 2: If prosecutor chooses to file charges	Complaint & Affidavit	Clerk of Court	Initial Appearance	
307	- Complaint & Affidavit	Detention	Initial Appearance	Detention	Clerk of Court	1: If potential violation of no contact order 2: If prosecutor chooses to file charges	Notice of Court Date	Defense Counsel	Arraignment	

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ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
308	- Complaint & Affidavit	Detention	Initial Appearance	Detention	Clerk of Court	1: If potential violation of no contact order 2: If prosecutor chooses to file charges	Notice of Court Date	County Attorney	Arraignment	- [Description] Repeated contact orders throughout process can be confusing.
201	- Motion for Bond Review - Order for Bond Review	Detention	Initial Appearance	Court Charging	Clerk of Court	1: If subject is released on their own recognizance	Release Order	Sheriffs Office	Release on Charge	
94		Detention	Request for Bond Review	Detention	Defense Counsel	1: If defense files a motion for bond review 2: If judge has signed order	Motion for Bond Review	Clerk of Court	Bond Review Hearing	- [Description] Additional crimes are bondable
95		Detention	Request for Bond Review	Detention	Defense Counsel	1: If defense files a motion for bond review 2: If judge has signed order	Motion for Bond Review Order for Bond Review	County Attorney	Bond Review Hearing	- [Jurisdictionally Dependent] Format of order will vary by jurisdiction (e.g. oral, written, pre-printed, etc.)
96	- Motion for Bond Review - Order for Bond Review	Detention	Bond Review Hearing	Detention	Clerk of Court	1: If defense has filed a motion for bond review 2: If bond is to be continued	Order from Bond Hearing	Sheriffs Office	Return to Population	
97	- Motion for Bond Review - Order for Bond Review	Detention	Bond Review Hearing	Court Charging	Clerk of Court	1: If defense has filed a motion for bond review 2: If court orders subject released	Release Order	Sheriffs Office	Release on Charge	
98	- Motion for Bond Review - Order for Bond Review	Detention	Bond Review Hearing	Pre-Disposition Supervision	Clerk of Court	1: If defense has filed a motion for bond review 2: If court orders subject released 3: If subject is released to supervision	Release Order	Community Based Corrections	Intake	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
222	- Judgment and Sentencing Order	Detention	Intake	Detention	County Jail	1: If indictable offense 2: If subject is found guilty of an aggravated misdemeanor 3: If subject is sentenced to jail 4: If subject was given time to report to jail 5: If subject fails to report to jail	Mittimus	Clerk of Court	Warrant Request	- [Jurisdictionally Dependent] Some jurisdictions are able to directly enter a warrant.
232	- Oral Notification	Detention	Transport to Residential	Post-Disposition Supervision	County Jail	1: If indictable offense 2: If subject is sentenced to probation 3: If residential placement is ordered 4: If bed space is available	Judgment and Sentencing Order	Community Based Corrections	Intake	
216	- Judgment and Sentencing Order	Detention	Hold for Residential	Detention	County Jail	1: If indictable offense 2: If subject is found guilty 3: If subject is sentenced to probation 4: If residential placement is ordered 5: If court orders subject is to remain in custody until space is available	Judgment and Sentencing Order	Community Based Corrections	Place on Waiting List	
217	- Judgment and Sentencing Order	Detention	Place on Waiting List	Detention	Community Based Corrections	1: If indictable offense 2: If subject is sentenced to probation 3: If residential placement is ordered 4: If bed space is available	Oral Notification	County Jail	Transport to Residential	- [Description] Subject may spend much of their sentence in jail waiting for residential bed to open up.
200	- Motion for Bond Review - Order for Bond Review	Court Charging	Initial Appearance	Detention	Clerk of Court	1: If bond is set 2: If subject cannot post bond	Complaint & Affidavit Notice of Court Date Warrant of Commitment	Sheriffs Office	Return to Population	- [Jurisdictionally Dependent] Initial Appearance Order can replace the warrant of committment in some jurisdictions.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
215	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Detention	Clerk of Court	1: If indictable offense 2: If subject is found guilty 3: If subject is sentenced to probation 4: If residential placement is ordered 5: If court orders subject is to remain in custody until space is available	Judgment and Sentencing Order	County Jail	Hold for Residential	
221	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Detention	Clerk of Court	1: If indictable offense 2: If subject is found guilty of an aggravated misdemeanor 3: If subject is sentenced to jail	Judgment and Sentencing Order	County Jail	Intake	<ul style="list-style-type: none">- [Description] Subject may need to report immediate, within 30 days, etc. Additionally, weekend time may be specified by judge.- [Description] A seperate mittimus may/may not accompany the judgment and sentencing order.
214	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Detention	Clerk of Court	1: If indictable offense 2: If subject is found guilty of an aggravated misdemeanor 3: If subject is sentenced to jail 4: If residential placement is ordered	Judgment and Sentencing Order	County Jail	Hold for Residential	<ul style="list-style-type: none">- [Description] A 28-E Agreement must exist between county and community corrections to sentence to residential.
369		Post- Disposition Supervision	Status Review	Detention	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If the subject is found in contempt 5: If subject is to serve an immediate jail sentence	Mittimus	Sheriffs Office	Intake	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
352	- Notice of Court Date	Post-Disposition Supervision	Revocation Hearing	Detention	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If the subject is found in contempt 5: If subject is to serve an immediate jail sentence	Mittimus	Sheriffs Office	Intake	- [Process Gap] Problem when the jail sentence is delayed. Jail does not always know what to do with order.
359		Post-Disposition Supervision	Revocation Hearing	Detention	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds that the subject violated the terms of their deferred judgment 4: If subject is sentenced to jail	Mittimus Order Revoking Deferred, Judgment Entry and Sentencing Order	Sheriffs Office	Intake	
246		Post-Disposition Supervision	Show Cause Hearing	Detention	Clerk of Court	1: If subject was sentenced to complete a program 2: If the subject had violated the court order 3: If the subject is found in contempt 4: If subject is sentenced to jail	Contempt Order	County Jail	Intake	- [Description] Contempt order may be an some other document (e.g. court docket)

Appendix G – Supervision

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
173	- Motion	Investigation	Motion Hearing	Pre-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If prosecution motions for a stay 3: If court grants request for discretionary review 4: If court orders CBC diversion supervision	Court Order	Community Based Corrections	Intake	- [Description] There are differences between counties as to which diversion programs are available. - [Process Gap] The ability to track both individual and aggregate successes in diversion is often not available. - [Process Gap] Little ability to track/update community service time.
174	- Motion	Investigation	Motion Hearing	Pre-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If prosecution motions for a stay 3: If court grants request for discretionary review	Court Order	County Attorney	Update Case File	
175	- Motion	Investigation	Motion Hearing	Pre-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If prosecution motions for a stay 3: If court grants request for discretionary review	Court Order	Defense Counsel	Update Case File	
65	- Complaint & Affidavit - Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Pre-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If subject is released to supervision	Notice of Discharge	Sheriffs Office	Release on Charge	
66	- Complaint & Affidavit - Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Pre-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If subject is released to supervision	Release Order	Community Based Corrections	Intake	- [Description] CBC prepares agreement for release. May be available for initial appearance.
98	- Motion for Bond Review - Order for Bond Review	Detention	Bond Review Hearing	Pre-Disposition Supervision	Clerk of Court	1: If defense has filed a motion for bond review 2: If court orders subject released 3: If subject is released to supervision	Release Order	Community Based Corrections	Intake	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
232	- Oral Notification	Detention	Transport to Residential	Post-Disposition Supervision	County Jail	1: If indictable offense 2: If subject is sentenced to probation 3: If residential placement is ordered 4: If bed space is available	Judgment and Sentencing Order	Community Based Corrections	Intake	
157		Pre-Disposition Supervision	Prosecutor Discretion Decision	Pre-Disposition Supervision	Community Based Corrections	1: If subject violates terms of diversion 2: If Community Corrections is supervising diversion	Report of Violation	County Attorney	Prosecutor Discretion Decision	
159	- Report of Violation	Pre-Disposition Supervision	Prosecutor Discretion Decision	Pre-Disposition Supervision	County Attorney	1: If subject violates terms of diversion 2: If Community Corrections is supervising diversion 3: If prosecution chooses to request a revocation hearing	Report of Violation	Clerk of Court	Revocation Hearing	
156		Pre-Disposition Supervision	Prosecutor Discretion Decision	Pre-Disposition Supervision	County Attorney	1: If subject violates terms of diversion 2: If prosecutor is supervising diversion 3: If prosecution chooses to request a revocation hearing	Report of Violation	Clerk of Court	Revocation Hearing	- [Discrepancy] Jurisidictions differ in who supervises diversion program
163	- Report of Violation	Pre-Disposition Supervision	Revocation Hearing	Pre-Disposition Supervision	Clerk of Court	1: If court continues diversion	Court Order	County Attorney	Update Case File	
164	- Report of Violation	Pre-Disposition Supervision	Revocation Hearing	Pre-Disposition Supervision	Clerk of Court	1: If court continues diversion	Court Order	Defense Counsel	Update Case File	
165	- Report of Violation	Pre-Disposition Supervision	Revocation Hearing	Pre-Disposition Supervision	Clerk of Court	1: If court continues diversion 2: If Community Corrections is supervising diversion	Court Order	Community Based Corrections	Update Case File	
160	- Report of Violation	Pre-Disposition Supervision	Revocation Hearing	Pre-Disposition Supervision	Clerk of Court	1: If court orders revocation of diversion 2: If Community Corrections is supervising diversion	Revocation Order	Community Based Corrections	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
161	- Report of Violation	Pre-Disposition Supervision	Revocation Hearing	Pre-Disposition Supervision	Clerk of Court	1: If court orders revocation of diversion	Revocation Order	Defense Counsel	Pre-Trial Conference	
162	- Report of Violation	Pre-Disposition Supervision	Revocation Hearing	Pre-Disposition Supervision	Clerk of Court	1: If court orders revocation of diversion	Revocation Order	County Attorney	Pre-Trial Conference	
167		Pre-Disposition Supervision	Status Review	Pre-Disposition Supervision	Community Based Corrections	1: If Community Corrections is supervising diversion 2: If subject successfully completes diversion program	Diversion Completion Report	County Attorney	Motioning	
168		Pre-Disposition Supervision	Status Review	Pre-Disposition Supervision	Community Based Corrections	1: If Community Corrections is supervising diversion 2: If subject successfully completes diversion program	Diversion Completion Report	Defense Counsel	Motioning	
179		Pre-Disposition Supervision	Status Review	Pre-Disposition Supervision	Community Based Corrections	1: If subject is under pre-trial supervision	Report of Violation	Clerk of Court	Warrant Review	- [Jurisdictionally Dependent] May be submitted through prosecutor - [Jurisdictionally Dependent] Specific document requesting revocation of supervision may be different
171	- Diversion Completion Report	Pre-Disposition Supervision	Motioning	Pre-Disposition Supervision	County Attorney	1: If subject successfully completes diversion program	Dispositional Order	Clerk of Court	Schedule Motion Hearing	- [Description] Proposed disposition order
272	- Motion for Reconsideration - Notice of Hearing	Incarceration	Reconsideration Hearing	Post-Disposition Supervision	Clerk of Court	1: If the subject is currently in prison 2: If subject is resentenced to probation	Judgment and Sentencing Order	Corrections Institutions	Update Case File	
377	- Judgment and Sentencing Order	Post-Disposition Supervision	Monitoring	Post-Disposition Supervision	Community Based Corrections	1: If subject is guilty of a simple misdemeanor 2: If subject is ordered to the Batterers Education Program 3: If subject fails to comply with terms of the Batterers Education Program	Notification	County Attorney	Motioning	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
255	- Report of Violation	Post-Disposition Supervision	Prosecutor Discretion Decision	Post-Disposition Supervision	County Attorney	1: If subject is on probation 2: If subject violated the conditions of probation 3: If prosecutor chooses to apply for revocation	Application for Revocation of Probation	Clerk of Court	Initial Appearance	- [Description] Court will often notify subject through mail or summons process
256	- Report of Violation	Post-Disposition Supervision	Prosecutor Discretion Decision	Post-Disposition Supervision	County Attorney	1: If subject is on probation 2: If subject violated the conditions of probation 3: If prosecutor chooses to file motion for show cause	Motion to Show Cause	Clerk of Court	Show Cause Hearing	
254	- Report of Violation	Post-Disposition Supervision	Prosecutor Discretion Decision	Post-Disposition Supervision	County Attorney	1: If subject is on probation 2: If subject violated the conditions of probation 3: If prosecutor requests arrest warrant	Application for Revocation of Probation	Clerk of Court	Warrant Review	- [Description] Application is filled out by Community corrections.
242	- Summons	Post-Disposition Supervision	Return of Service	Post-Disposition Supervision	Sheriffs Office	1: If summons could not be served after diligant search	Return of Service	Clerk of Court	Warrant Review	
241	- Summons	Post-Disposition Supervision	Return of Service	Post-Disposition Supervision	Sheriffs Office	1: If summons is served	Return of Service	Clerk of Court	Update Case File	
350	- Notice of Court Date	Post-Disposition Supervision	Revocation Hearing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If motion is dismissed	Court Order	County Attorney	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
351	- Notice of Court Date	Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If motion is dismissed	Court Order	Defense Counsel	Update Case File	
352	- Notice of Court Date	Post- Disposition Supervision	Revocation Hearing	Detention	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If the subject is found in contempt 5: If subject is to serve an immediate jail sentence	Mittimus	Sheriffs Office	Intake	- [Process Gap] Problem when the jail sentence is delayed. Jail does not always know what to do with order.
353	- Notice of Court Date	Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If the subject is found in contempt 5: If subject was given time to purge	Contempt Order	County Attorney	Status Review	
356		Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds that the subject violated the terms of their deferred judgment	Order Revoking Deferred, Judgment Entry and Sentencing Order	Defense Counsel	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
357		Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds that the subject violated the terms of their deferred judgment	Order Revoking Deferred, Judgmet Entry and Sentencing Order	County Attorney	Update Case File	
358		Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds that the subject violated the terms of their deferred judgment	Green Sheet	Department of Public Safety	Update Criminal History	
360		Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds that the subject violated the terms of their deferred judgment 4: If deferred judgment is continued	Order Modifying Deferred Judgment	County Attorney	Update Case File	
361		Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds that the subject violated the terms of their deferred judgment 4: If deferred judgment is continued	Order Modifying Deferred Judgment	Defense Counsel	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
359		Post- Disposition Supervision	Revocation Hearing	Detention	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds that the subject violated the terms of their deferred judgment 4: If subject is sentenced to jail	Mittimus Order Revoking Deferred, Judgment Entry and Sentencing Order	Sheriffs Office	Intake	
347	- Motion for Adjudication of Guilt and Sentencing	Post- Disposition Supervision	Schedule for Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If the court chooses to issue an arrest warrant	Arrest Warrant	Sheriffs Office	Update Warrant File	
349	- Motion for Adjudication of Guilt and Sentencing	Post- Disposition Supervision	Schedule for Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment	Notice of Court Date	County Attorney	Revocation Hearing	
367		Post- Disposition Supervision	Status Review	Discharge	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If defendant has motioned for expungement 4: If the court determines that the case is to be expunged 5: If court has received a green sheet	Green Sheet	Department of Public Safety	Update Criminal History	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
368		Post- Disposition Supervision	Status Review	Discharge	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If defendant has motioned for expungement 4: If the court determines that the case is to be expunged	Expungement Order	County Attorney	Update Case File	
363		Post- Disposition Supervision	Status Review	Discharge	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If probation has expired 4: If the court determines that the case is to be expunged	Expungement Order	County Attorney	Update Case File	
364		Post- Disposition Supervision	Status Review	Discharge	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If probation has expired 4: If the court determines that the case is to be expunged 5: If court has received a green sheet	Green Sheet	Department of Public Safety	Update Criminal History	
369		Post- Disposition Supervision	Status Review	Detention	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If the subject is found in contempt 5: If subject is to serve an immediate jail sentence	Mittimus	Sheriffs Office	Intake	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
248	- Judgment and Sentencing Order	Post-Disposition Supervision	Status Review	Post-Disposition Supervision	Community Based Corrections	1: If subject is on Level II Administrative Probation 2: If subject has complied with the terms of probation	Progress Report	Clerk of Court	Status Hearing	- [Jurisdictionally Dependent] Some jurisdictions will not hold hearing and do a paper discharge.
251	- Judgment and Sentencing Order	Post-Disposition Supervision	Status Review	Discharge	Community Based Corrections	1: If subject is on Level II Administrative Probation 2: If subject has complied with the terms of probation	Progress Report	Clerk of Court	Update Case File	- [Jurisdictionally Dependent] Some jurisdictions will not hold hearing and do a paper discharge.
252	- Judgment and Sentencing Order	Post-Disposition Supervision	Status Review	Post-Disposition Supervision	Community Based Corrections	1: If subject is on probation 2: If subject violated the conditions of probation	Report of Violation	County Attorney	Prosecutor Discretion Decision	
253	- Judgment and Sentencing Order	Post-Disposition Supervision	Status Review	Post-Disposition Supervision	Community Based Corrections	1: If subject is on probation 2: If subject violated the conditions of probation 3: If probation officer requests warrant	Parole-Probation Violation Information	Clerk of Court	Warrant Review	- [Jurisdictionally Dependent]
260	- Judgment and Sentencing Order	Post-Disposition Supervision	Status Review	Discharge	Community Based Corrections	1: If subject received a deferred judgment 2: If subject has complied with the terms of probation 3: If case is dismissed	Progress Report	Clerk of Court	Update Case File	
258	- Judgment and Sentencing Order	Post-Disposition Supervision	Status Review	Post-Disposition Supervision	Clerk of Court	1: If subject receives a deferred judgment 2: If subject is sentenced to probation	Order for Sentencing	Community Based Corrections	Intake	
257	- Judgment and Sentencing Order	Post-Disposition Supervision	Status Review	Discharge	Community Based Corrections	1: If subject receives a deferred sentence 2: If subject has complied with the terms of probation 3: If case is dismissed	Progress Report	Clerk of Court	Update Case File	- [Description] There is confusion between deferred sentence and deferred judgment on the order.
178		Post-Disposition Supervision	Status Review	Post-Disposition Supervision	Community Based Corrections	1: If subject violated the conditions of probation	Complaint & Affidavit Preliminary Probation Violation Information	Clerk of Court	Warrant Review	- [Jurisdictionally Dependent] Varies by district whether the county attorney is involved in filing with court

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
236	- Judgment and Sentencing Order	Post-Disposition Supervision	Status Review	Post-Disposition Supervision	Community Based Corrections	1: If subject was sentenced to complete a program 2: If Community Corrections is to monitor compliance 3: If the subject violates the court order	Notification	County Attorney	Motioning	
346		Post-Disposition Supervision	Motioning	Post-Disposition Supervision	County Attorney	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment	Motion for Adjudication of Guilt and Sentencing	Clerk of Court	Schedule for Revocation Hearing	
238	- Notification	Post-Disposition Supervision	Motioning	Post-Disposition Supervision	County Attorney	1: If subject was sentenced to complete a program 2: If Community Corrections is to monitor compliance 3: If the subject violates the court order	Motion to Show Cause	Clerk of Court	Schedule Show Cause Hearing	
240	- Motion to Show Cause	Post-Disposition Supervision	Schedule Show Cause Hearing	Post-Disposition Supervision	Clerk of Court	1: If subject was sentenced to complete a program 2: If Community Corrections is to monitor compliance 3: If the subject violates the court order	Summons	Sheriffs Office	Return of Service	
239	- Motion to Show Cause	Post-Disposition Supervision	Schedule Show Cause Hearing	Post-Disposition Supervision	Clerk of Court	1: If subject was sentenced to complete a program 2: If Community Corrections is to monitor compliance 3: If the subject violates the court order 4: If subject was ordered to BEP 5: If court orders bench warrant	Bench Warrant	Sheriffs Office	Return of Service	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
245		Post- Disposition Supervision	Show Cause Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject was sentenced to complete a program 2: If the subject had violated the court order 3: If the court appoints an attorney	Initial Appearance Order	Defense Counsel	Show Cause Hearing	
247		Post- Disposition Supervision	Show Cause Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject was sentenced to complete a program 2: If the subject had violated the court order 3: If the subject is found in contempt 4: If subject is sentenced to jail 5: If Community Corrections is to monitor compliance	Contempt Order	Community Based Corrections	Update Case File	
246		Post- Disposition Supervision	Show Cause Hearing	Detention	Clerk of Court	1: If subject was sentenced to complete a program 2: If the subject had violated the court order 3: If the subject is found in contempt 4: If subject is sentenced to jail	Contempt Order	County Jail	Intake	- [Description] Contempt order may be an some other document (e.g. court docket)
225	- Order for Sentencing - Pre-Sentence Investigation Report	Post Finding	Sentencing	Post- Disposition Supervision	Clerk of Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is not specifically ordered	Judgment and Sentencing Order	County Jail	Transport to Prison	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
224	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is ordered	Judgment and Sentencing Order	County Jail	Hold for Residential	<ul style="list-style-type: none">- [Description] Evaluation for use of residential placement is part of the PSI report.- [Description] Sentence is a prison sentence and may be no indication of placement information. Placement understood.
227	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is ordered	Judgment and Sentencing Order	Community Based Corrections	Update File	<ul style="list-style-type: none">- [Discrepancy] Exchange only occurs in some jurisdictions.
228	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is ordered	Judgment and Sentencing Order	Corrections Institutions	Update File	<ul style="list-style-type: none">- [Wish List] Notification to identify discrepancies, etc.
233	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If residential placement is ordered	Judgment and Sentencing Order	Community Based Corrections	Update File	
219	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If subject is sentenced to probation	Judgment and Sentencing Order	Community Based Corrections	Intake	
220	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If subject is sentenced to probation 3: If subject is in custody	Judgment and Sentencing Order	County Jail	Release on Charge	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
341	- Deferred Judgment History	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Order Granting Deferred Judgment	County Attorney	Update Case File	- [Discrepancy] Court, prosecutor, DCS may do records check in some jurisdictions.
342	- Deferred Judgment History	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Order Granting Deferred Judgment	Defense Counsel	Update Case File	
343	- Deferred Judgment History	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Order Granting Deferred Judgment	Supreme Court Administration	Update Deferred Registry	
345	- Deferred Judgment History	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Green Sheet	Department of Public Safety	Update Criminal History	
374		Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If subject is ordered to the Batterers Education Program	Judgment and Sentencing Order	County Attorney	Update Case File	
376		Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If subject is ordered to the Batterers Education Program	Judgment and Sentencing Order	Defense Counsel	Update Case File	
375		Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If subject is ordered to the Batterers Education Program	Judgment and Sentencing Order	Community Based Corrections	Monitoring	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
372		Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If subject is sentenced to pay fines	Judgment and Sentencing Order	Defense Counsel	Update Case File	
373		Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If subject is sentenced to pay fines	Judgment and Sentencing Order	County Attorney	Update Case File	
235	- Order for Sentencing	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is sentenced to complete a program 2: If subject is not sentenced to probation 3: If Community Corrections is to monitor compliance	Judgment and Sentencing Order	Community Based Corrections	Intake	- [Description] Subject is responsible for making appointment.

Appendix H – No Contact Order

[illegible]

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
296	- Petition	Unprohibited	Court Event	Unserved	Clerk of Court	1: If the victim of a crime requests a no contact order 2: If crime is not a 236 or 708 crime 3: If the court chooses to issue a no contact order	No Contact Order	Sheriffs Office	Update PO File	
314		Prohibited	Court Event	Prohibited	Clerk of Court	1: If no contact order is modified	No Contact Order - 236	Department of Public Safety	Update Protection Order File	- [Description] New order is mailed to subject.
288	- Temporary No Contact Order - 236	Unserved	Serve No Contact Order	Served	Sheriffs Office	1: If the court has issued a temporary no contact order 2: If the subject is served with the order 3: If central dispatch is notified of service	Return of Service	Department of Public Safety	Update Protection Order File	- [Discrepancy] Central dispatch does not always do the entry. Clerk may do entry.
287	- Temporary No Contact Order - 236	Unserved	Serve No Contact Order	Served	Sheriffs Office	1: If the court has issued a temporary no contact order 2: If the subject is served with the order	Return of Service	Clerk of Court	Update File	
291	- Petition - Temporary No Contact Order - 236	Unserved	Court Event	Unserved	Clerk of Court	1: If the court had issued a temporary no contact order 2: If the subject could not be served with the order	Temporary No Contact Order - 236(M/E)	Department of Public Safety	Update Protection Order File	
301	- No Contact Order - 236	Served	Arrest	Detention	Local Law Enforcement	1: If potential violation of no contact order 2: If IOWA system indicates served no contact order 3: If no contact order can be verified	Report of Violation	County Attorney	Prosecutor Charging Decision	
300	- No Contact Order - 236	Served	Arrest	Detention	Local Law Enforcement	1: If potential violation of no contact order 2: If IOWA system indicates served no contact order 3: If no contact order can be verified	Report of Violation	Clerk of Court	Initial Appearance	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
310	<ul style="list-style-type: none">- Motion to Show Cause- No Contact Order - 236- Report of Violation	Served	Show Cause Hearing	Contempt	Clerk of Court	1: If potential violation of no contact order 2: If the subject is found in contempt	Judgment and Sentencing Order	County Jail	Intake	
315		Served	Court Event	Unprohibited	Clerk of Court	1: If no contact order is lifted	Order Modifying No Contact Order	Department of Public Safety	Update Protection Order File	<ul style="list-style-type: none">- [Description] Order is often faxed to 24x7 dispatch.
292	<ul style="list-style-type: none">- Petition- Temporary No Contact Order - 236	Served	Court Event	Served	Clerk of Court	1: If the court had issued a temporary no contact order 2: If the subject was served with the temporary order 3: If the court chooses to issue a permanent no contact order	No Contact Order - 236	Department of Public Safety	Update Protection Order File	<ul style="list-style-type: none">- [Cumbersome] Subject must be reserved on perm order. However, system will continue to show served on temp. order.
289	<ul style="list-style-type: none">- Return of Service	Served	Update File	Served	Clerk of Court	1: If the court has issued a temporary no contact order 2: If the subject has been served with the order	Return of Service(M/E)	Department of Public Safety	Update Protection Order File	<ul style="list-style-type: none">- [Description] This transfer occurs programatically regardless of if return of service status was updated by sheriff.
276	<ul style="list-style-type: none">- Complaint & Affidavit	Court Pre-Arraignment	Initial Appearance	Court Charging	Clerk of Court	1: If subject has been charged with domestic abuse 2: If the court chooses to issue a no contact order	No Contact Order - 236	Local Law Enforcement	Update File	
277	<ul style="list-style-type: none">- Complaint & Affidavit	Court Pre-Arraignment	Initial Appearance	Court Charging	Clerk of Court	1: If subject has been charged with domestic abuse 2: If the court chooses to issue a no contact order	No Contact Order - 236	County Attorney	Update File	
278	<ul style="list-style-type: none">- Complaint & Affidavit	Court Pre-Arraignment	Initial Appearance	Court Charging	Clerk of Court	1: If subject has been charged with domestic abuse 2: If the court chooses to issue a no contact order	No Contact Order - 236	Defense Counsel	Update File	
280	<ul style="list-style-type: none">- Complaint & Affidavit	Court Pre-Arraignment	Initial Appearance	Court Charging	Clerk of Court	1: If subject has been charged with domestic abuse 2: If the court chooses to issue a no contact order	No Contact Order - 236	Department of Public Safety	Update Protection Order File	<ul style="list-style-type: none">- [Description] No contact order must be a 236.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
281	- Complaint & Affidavit	Court Pre-Arraignment	Initial Appearance	Court Charging	Clerk of Court	1: If subject has been charged with domestic abuse 2: If the court chooses to issue a no contact order 3: If initial appearance occurs during weekend or holiday	No Contact Order - 236	Sheriffs Office	Update Iowa System	- [Discrepancy] No contact order may come from magistrate rather than clerk. - [Process Gap] Entry by sheriff into state system may not always occur. - [Discrepancy] On weekends, another jurisdiction may hold initial appearance.
275	- Complaint & Affidavit	Court Pre-Arraignment	Initial Appearance	Court Charging	Clerk of Court	1: If subject has been charged with domestic abuse 2: If the court chooses to issue a no contact order	No Contact Order - 236	24 Hour Dispatch	Update PO File	- [Description] Sent to the sheriff in their role as the 24x7 dispatcher.
302	- Report of Violation	Detention	Prosecutor Charging Decision	Detention	County Attorney	1: If potential violation of no contact order 2: If prosecutor chooses to file charges	Complaint & Affidavit	Clerk of Court	Initial Appearance	
307	- Complaint & Affidavit	Detention	Initial Appearance	Detention	Clerk of Court	1: If potential violation of no contact order 2: If prosecutor chooses to file charges	Notice of Court Date	Defense Counsel	Arraignment	
308	- Complaint & Affidavit	Detention	Initial Appearance	Detention	Clerk of Court	1: If potential violation of no contact order 2: If prosecutor chooses to file charges	Notice of Court Date	County Attorney	Arraignment	- [Description] Repeated contact orders throughout process can be confusing.
303	- Report of Violation	Court Charging	Prosecutor Charging Decision	Court Charging	County Attorney	1: If potential violation of no contact order 2: If no contact order is criminal 3: If prosecutor chooses to file motion for show cause	Motion to Show Cause	Clerk of Court	Initial Appearance	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
306	- Motion to Show Cause	Court Charging	Initial Appearance	Court Charging	Clerk of Court	1: If potential violation of no contact order 2: If no contact order is criminal 3: If prosecutor had filed motion for show cause	Motion to Show Cause Notice of Court Date	County Attorney	Show Cause Hearing	
309	- Motion to Show Cause	Court Charging	Initial Appearance	Court Charging	Clerk of Court	1: If potential violation of no contact order 2: If no contact order is criminal 3: If prosecutor had filed motion for show cause	Motion to Show Cause Notice of Court Date	Defense Counsel	Show Cause Hearing	
311	- Motion to Show Cause	Court Charging	Initial Appearance	Court Charging	Clerk of Court	1: If potential violation of no contact order 2: If no contact order is criminal 3: If prosecutor had filed motion for show cause 4: If no contact order was issued by another county	Motion to Show Cause Notice of Court Date	County Attorney	Schedule Show Cause Hearing	- [Description] The prosecuting attorney is from the issuing county. They prosecute the case
279	- Complaint & Affidavit	Court Charging	Court Event	Court Charging	Clerk of Court	1: If subject has been charged with domestic abuse 2: If subject is not in custody 3: If the court chooses to issue a no contact order	No Contact Order - 236	Sheriffs Office	Serve No Contact Order	- [Discrepancy] Service is different between jurisdictions. Some consider transfer to defense counsel as service.

Appendix I – Diversion

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
155		Investigation	Motioning	Investigation	County Attorney	1: If indictable offense 2: If prosecution accepts the subject for diversion 3: If prosecution motions for a stay	Motion	Clerk of Court	Motion Hearing	- [Description] Subject may request diversion of prosecution.
173	- Motion	Investigation	Motion Hearing	Pre-Disposition Supervision	Clerk of Court	1: If indictable offense 2: If prosecution motions for a stay 3: If court grants request for discretionary review 4: If court orders CBC diversion supervision	Court Order	Community Based Corrections	Intake	- [Process Gap] The ability to track both individual and aggregate successes in diversion is often not available. - [Process Gap] Little ability to track/update community service time. - [Jurisdictionally Dependent] There are differences between counties as to which diversion programs are available.
157		Pre-Disposition Supervision	Prosecutor Discretion Decision	Pre-Disposition Supervision	Community Based Corrections	1: If subject violates terms of diversion 2: If Community Corrections is supervising diversion	Report of Violation	County Attorney	Prosecutor Discretion Decision	
159	- Report of Violation	Pre-Disposition Supervision	Prosecutor Discretion Decision	Pre-Disposition Supervision	County Attorney	1: If subject violates terms of diversion 2: If Community Corrections is supervising diversion 3: If prosecution chooses to request a revocation hearing	Report of Violation	Clerk of Court	Revocation Hearing	
156		Pre-Disposition Supervision	Prosecutor Discretion Decision	Pre-Disposition Supervision	County Attorney	1: If subject violates terms of diversion 2: If prosecutor is supervising diversion 3: If prosecution chooses to request a revocation hearing	Report of Violation	Clerk of Court	Revocation Hearing	- [Jurisdictionally Dependent] Jurisidictions differ in who supervises diversion program
167		Pre-Disposition Supervision	Status Review	Pre-Disposition Supervision	Community Based Corrections	1: If Community Corrections is supervising diversion 2: If subject successfully completes diversion program	Diversion Completion Report	County Attorney	Motioning	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
168		Pre-Disposition Supervision	Status Review	Pre-Disposition Supervision	Community Based Corrections	1: If Community Corrections is supervising diversion 2: If subject successfully completes diversion program	Diversion Completion Report	Defense Counsel	Motioning	
171	- Diversion Completion Report	Pre-Disposition Supervision	Motioning	Pre-Disposition Supervision	County Attorney	1: If subject successfully completes diversion program	Dispositional Order	Clerk of Court	Schedule Motion Hearing	- [Description] Proposed disposition order
163	- Report of Violation	Pre-Disposition Supervision	Revocation Hearing	Pre-Disposition Supervision	Clerk of Court	1: If court continues diversion	Court Order	County Attorney	Update Case File	
164	- Report of Violation	Pre-Disposition Supervision	Revocation Hearing	Pre-Disposition Supervision	Clerk of Court	1: If court continues diversion	Court Order	Defense Counsel	Update Case File	
165	- Report of Violation	Pre-Disposition Supervision	Revocation Hearing	Pre-Disposition Supervision	Clerk of Court	1: If court continues diversion 2: If Community Corrections is supervising diversion	Court Order	Community Based Corrections	Update Case File	
160	- Report of Violation	Pre-Disposition Supervision	Revocation Hearing	Pre-Disposition Supervision	Clerk of Court	1: If court orders revocation of diversion 2: If Community Corrections is supervising diversion	Revocation Order	Community Based Corrections	Update Case File	
161	- Report of Violation	Pre-Disposition Supervision	Revocation Hearing	Pre-Disposition Supervision	Clerk of Court	1: If court orders revocation of diversion	Revocation Order	Defense Counsel	Pre-Trial Conference	
162	- Report of Violation	Pre-Disposition Supervision	Revocation Hearing	Pre-Disposition Supervision	Clerk of Court	1: If court orders revocation of diversion	Revocation Order	County Attorney	Pre-Trial Conference	

Appendix J – Deferred Judgment/Sentence

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
341	- Deferred Judgment History	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Order Granting Deferred Judgment	County Attorney	Update Case File	- [Jurisdictionally Dependent] Court, prosecutor, DCS may do records check in some jurisdictions.
342	- Deferred Judgment History	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Order Granting Deferred Judgment	Defense Counsel	Update Case File	
343	- Deferred Judgment History	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Order Granting Deferred Judgment	Supreme Court Administration	Update Deferred Registry	
345	- Deferred Judgment History	Post Finding	Sentencing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Green Sheet	Department of Public Safety	Update Criminal History	
367		Post-Disposition Supervision	Status Review	Discharge	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If defendant has motioned for expungement 4: If the court determines that the case is to be expunged 5: If court has received a green sheet	Green Sheet	Department of Public Safety	Update Criminal History	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
368		Post- Disposition Supervision	Status Review	Discharge	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If defendant has motioned for expungement 4: If the court determines that the case is to be expunged	Expungement Order	County Attorney	Update Case File	
363		Post- Disposition Supervision	Status Review	Discharge	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If probation has expired 4: If the court determines that the case is to be expunged	Expungement Order	County Attorney	Update Case File	
364		Post- Disposition Supervision	Status Review	Discharge	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If probation has expired 4: If the court determines that the case is to be expunged 5: If court has received a green sheet	Green Sheet	Department of Public Safety	Update Criminal History	
369		Post- Disposition Supervision	Status Review	Detention	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If the subject is found in contempt 5: If subject is to serve an immediate jail sentence	Mittimus	Sheriffs Office	Intake	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
260	- Judgment and Sentencing Order	Post-Disposition Supervision	Status Review	Discharge	Community Based Corrections	1: If subject received a deferred judgment 2: If subject has complied with the terms of probation 3: If case is dismissed	Progress Report	Clerk of Court	Update Case File	
258	- Judgment and Sentencing Order	Post-Disposition Supervision	Status Review	Post-Disposition Supervision	Clerk of Court	1: If subject receives a deferred judgment 2: If subject is sentenced to probation	Order for Sentencing	Community Based Corrections	Intake	
257	- Judgment and Sentencing Order	Post-Disposition Supervision	Status Review	Discharge	Community Based Corrections	1: If subject receives a deferred sentence 2: If subject has complied with the terms of probation 3: If case is dismissed	Progress Report	Clerk of Court	Update Case File	- [Description] There is confusion between deferred sentence and deferred judgment on the order.
346		Post-Disposition Supervision	Motioning	Post-Disposition Supervision	County Attorney	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment	Motion for Adjudication of Guilt and Sentencing	Clerk of Court	Schedule for Revocation Hearing	
347	- Motion for Adjudication of Guilt and Sentencing	Post-Disposition Supervision	Schedule for Revocation Hearing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If the court chooses to issue an arrest warrant	Arrest Warrant	Sheriffs Office	Update Warrant File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
349	- Motion for Adjudication of Guilt and Sentencing	Post-Disposition Supervision	Schedule for Revocation Hearing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment	Notice of Court Date	County Attorney	Revocation Hearing	
350	- Notice of Court Date	Post-Disposition Supervision	Revocation Hearing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If motion is dismissed	Court Order	County Attorney	Update Case File	
351	- Notice of Court Date	Post-Disposition Supervision	Revocation Hearing	Post-Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If motion is dismissed	Court Order	Defense Counsel	Update Case File	
352	- Notice of Court Date	Post-Disposition Supervision	Revocation Hearing	Detention	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If the subject is found in contempt 5: If subject is to serve an immediate jail sentence	Mittimus	Sheriffs Office	Intake	- [Process Gap] Problem when the jail sentence is delayed. Jail does not always know what to do with order.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
353	- Notice of Court Date	Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated the terms of their deferred judgment 4: If the subject is found in contempt 5: If subject was given time to purge	Contempt Order	County Attorney	Status Review	
356		Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds that the subject violated the terms of their deferred judgment	Order Revoking Deferred, Judgment Entry and Sentencing Order	Defense Counsel	Update Case File	
357		Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds that the subject violated the terms of their deferred judgment	Order Revoking Deferred, Judgment Entry and Sentencing Order	County Attorney	Update Case File	
358		Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds that the subject violated the terms of their deferred judgment	Green Sheet	Department of Public Safety	Update Criminal History	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
360		Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds that the subject violated the terms of their deferred judgment 4: If deferred judgment is continued	Order Modifying Deferred Judgment	County Attorney	Update Case File	
361		Post- Disposition Supervision	Revocation Hearing	Post- Disposition Supervision	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds that the subject violated the terms of their deferred judgment 4: If deferred judgment is continued	Order Modifying Deferred Judgment	Defense Counsel	Update Case File	
359		Post- Disposition Supervision	Revocation Hearing	Detention	Clerk of Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds that the subject violated the terms of their deferred judgment 4: If subject is sentenced to jail	Mittimus Order Revoking Deferred, Judgment Entry and Sentencing Order	Sheriffs Office	Intake	

Appendix K – Sentencing

[illegible]

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
217	- Judgment and Sentencing Order	Detention	Place on Waiting List	Detention	Community Based Corrections	1: If indictable offense 2: If subject is sentenced to probation 3: If residential placement is ordered 4: If bed space is available	Oral Notification	Sheriffs Office	Transport to Residential	- [Description] Subject may spend much of their sentence in jail waiting for residential bed to open up.
153		Court Charging	Pre-Trial Conference	Court Charging	District Court	1: If indictable offense 2: If subject enters a guilty plea 3: If subject waives their right to use PSIR in sentencing 4: If subject waives right to Additional Time 5: If subject waives Motion Arrest of Judgment 6: No need for victim notification	Notice of Court Date	County Attorney	Sentencing	- [Description] Sentencing is immediate
225	- Order for Sentencing - Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is not specifically ordered	Judgment and Sentencing Order	Sheriffs Office	Transport to Prison	
226	- Order for Sentencing - Pre-Sentence Investigation Report	Post Finding	Sentencing	Incarceration	District Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is not specifically ordered	Judgment and Sentencing Order	Corrections Institutions	Intake	- [Wish List] An actual intake occurs with the body.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
224	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is ordered	Judgment and Sentencing Order	Sheriffs Office	Hold for Residential	<ul style="list-style-type: none">- [Description] Evaluation for use of residential placement is part of the PSI report.- [Description] Sentence is a prison sentence and may be no indication of placement information. Placement understood.
227	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is ordered	Judgment and Sentencing Order	Community Based Corrections	Update File	<ul style="list-style-type: none">- [Jurisdictionally Dependent] Exchange only occurs in some jurisdictions.
228	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If repeat OWI within 12 years 3: If judge sentences subject to OWI Continuum 4: If residential placement is ordered	Judgment and Sentencing Order	Corrections Institutions	Update File	<ul style="list-style-type: none">- [Wish List] Notification to identify discrepancies, etc.
233	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If residential placement is ordered	Judgment and Sentencing Order	Community Based Corrections	Update File	
215	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Detention	District Court	1: If indictable offense 2: If subject is found guilty 3: If subject is sentenced to probation 4: If residential placement is ordered 5: If court orders subject is to remain in custody until space is available	Judgment and Sentencing Order	Sheriffs Office	Hold for Residential	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
221	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Detention	District Court	1: If indictable offense 2: If subject is found guilty of an aggravated misdemeanor 3: If subject is sentenced to jail	Judgment and Sentencing Order	Sheriffs Office	Intake	<ul style="list-style-type: none">- [Description] Subject may need to report immediate, within 30 days, etc. Additionally, weekend time may be specified by judge.- [Description] A seperate mittimus may/may not accompany the judgment and sentencing order.
214	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Detention	District Court	1: If indictable offense 2: If subject is found guilty of an aggravated misdemeanor 3: If subject is sentenced to jail 4: If residential placement is ordered	Judgment and Sentencing Order	Sheriffs Office	Hold for Residential	<ul style="list-style-type: none">- [Description] A 28-E Agreement must exist between county and community corrections to sentence to residential.
220	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If subject is sentenced to probation 3: If subject is in custody	Judgment and Sentencing Order	Sheriffs Office	Release on Charge	
219	<ul style="list-style-type: none">- Order for Presentence Investigation- Order for Sentencing	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If indictable offense 2: If subject is sentenced to probation	Judgment and Sentencing Order	Community Based Corrections	Intake	
229	<ul style="list-style-type: none">- Order for Sentencing- Pre-Sentence Investigation Report	Post Finding	Sentencing	Incarceration	District Court	1: If indictable offense 2: If subject is sentenced to the custody of the director of corrections	Judgment and Sentencing Order	Sheriffs Office	Transport to Prison	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
230	<div><div>- Order for Sentencing</div><div>- Pre-Sentence Investigation Report</div></div>	Post Finding	Sentencing	Incarceration	District Court	1: If indictable offense 2: If subject is sentenced to the custody of the director of corrections	Judgment and Sentencing Order	Corrections Institutions	Update File	<div><div>- [Process Gap] Jail credit information will not accompany the order. 3-4 months before calculation sent to DOC.</div><div>- [Description] The jail may let court know credit at time of sentencing.</div></div>
341	<div><div>- Deferred Judgment History</div></div>	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Order Granting Deferred Judgment	County Attorney	Update Case File	<div><div>- [Jurisdictionally Dependent] Court, prosecutor, DCS may do records check in some jurisdictions.</div></div>
342	<div><div>- Deferred Judgment History</div></div>	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Order Granting Deferred Judgment	Defense Counsel	Update Case File	
343	<div><div>- Deferred Judgment History</div></div>	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Order Granting Deferred Judgment	Supreme Court Administration	Update Deferred Registry	
345	<div><div>- Deferred Judgment History</div></div>	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been requested 3: If a deferred judgment is granted	Green Sheet	Department of Public Safety	Update Criminal History	
374		Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If subject is ordered to the Batterers Education Program	Judgment and Sentencing Order	County Attorney	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
375		Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If subject is ordered to the Batterers Education Program	Judgment and Sentencing Order	Community Based Corrections	Monitoring	
376		Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If subject is ordered to the Batterers Education Program	Judgment and Sentencing Order	Defense Counsel	Update Case File	
371		Post Finding	Sentencing	Incarceration	District Court	1: If subject is guilty of a simple misdemeanor 2: If subject is sentenced to jail	Judgment and Sentencing Order Mittimus	Sheriffs Office	Intake	
372		Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If subject is sentenced to pay fines	Judgment and Sentencing Order	Defense Counsel	Update Case File	
373		Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is guilty of a simple misdemeanor 2: If subject is sentenced to pay fines	Judgment and Sentencing Order	County Attorney	Update Case File	
249	- Order for Sentencing - Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Court	District Court	1: If subject is sentenced	Judgment and Sentencing Order	County Attorney	Update Case File	
250	- Order for Sentencing - Pre-Sentence Investigation Report	Post Finding	Sentencing	Post-Disposition Court	District Court	1: If subject is sentenced	Judgment and Sentencing Order	Defense Counsel	Update Case File	
235	- Order for Sentencing	Post Finding	Sentencing	Post-Disposition Supervision	District Court	1: If subject is sentenced to complete a program 2: If subject is not sentenced to probation 3: If Community Corrections is to monitor compliance	Judgment and Sentencing Order	Community Based Corrections	Intake	- [Description] Subject is responsible for making appointment.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
369		Post- Disposition Supervision	Status Review	Detention	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated terms of deferred judgment 4: If the subject is found in contempt 5: If subject is to serve an immediate jail sentence	Mittimus	Sheriffs Office	Intake	
258	- Judgment and Sentencing Order	Post- Disposition Supervision	Status Review	Post- Disposition Supervision	District Court	1: If subject receives a deferred judgment 2: If subject is sentenced to probation	Order for Sentencing	Community Based Corrections	Intake	
257	- Judgment and Sentencing Order	Post- Disposition Supervision	Status Review	Discharge	Community Based Corrections	1: If subject receives a deferred sentence 2: If subject has complied with the terms of probation 3: If case is dismissed	Progress Report	District Court	Update Case File	- [Description] There is confusion between deferred sentence and deferred judgment on the order.
236	- Judgment and Sentencing Order	Post- Disposition Supervision	Status Review	Post- Disposition Supervision	Community Based Corrections	1: If subject was sentenced to complete a program 2: If Community Corrections is to monitor compliance 3: If the subject violates the court order	Notification	County Attorney	Motioning	
238	- Notification	Post- Disposition Supervision	Motioning	Post- Disposition Supervision	County Attorney	1: If subject was sentenced to complete a program 2: If Community Corrections is to monitor compliance 3: If the subject violates the court order	Motion to Show Cause	District Court	Schedule Show Cause Hearing	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
352	- Notice of Court Date	Post- Disposition Supervision	Revocation Hearing	Detention	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If subject allegedly violated terms of deferred judgment 4: If the subject is found in contempt 5: If subject is to serve an immediate jail sentence	Mittimus	Sheriffs Office	Intake	- [Process Gap] Problem when the jail sentence is delayed. Jail does not always know what to do with order.
359		Post- Disposition Supervision	Revocation Hearing	Detention	District Court	1: If subject is guilty of a simple misdemeanor 2: If a deferred judgment had been granted 3: If the court finds subject violated terms of deferred judgment 4: If subject is sentenced to jail	Mittimus Order Revoking Deferred, Judgment Entry and Sentencing Order	Sheriffs Office	Intake	
239	- Motion to Show Cause	Post- Disposition Supervision	Schedule Show Cause Hearing	Post- Disposition Supervision	District Court	1: If subject was sentenced to complete a program 2: If Community Corrections is to monitor compliance 3: If the subject violates the court order 4: If subject was ordered to BEP 5: If court orders bench warrant	Bench Warrant	Sheriffs Office	Return of Service	
240	- Motion to Show Cause	Post- Disposition Supervision	Schedule Show Cause Hearing	Post- Disposition Supervision	District Court	1: If subject was sentenced to complete a program 2: If Community Corrections is to monitor compliance 3: If the subject violates the court order	Summons	Sheriffs Office	Return of Service	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
245		Post- Disposition Supervision	Show Cause Hearing	Post- Disposition Supervision	District Court	1: If subject was sentenced to complete a program 2: If the subject had violated the court order 3: If the court appoints an attorney	Initial Appearance Order	Defense Counsel	Show Cause Hearing	
246		Post- Disposition Supervision	Show Cause Hearing	Detention	District Court	1: If subject was sentenced to complete a program 2: If the subject had violated the court order 3: If the subject is found in contempt 4: If subject is sentenced to jail	Contempt Order	Sheriffs Office	Intake	- [Description] Contempt order may be an some other document (e.g. court docket)
247		Post- Disposition Supervision	Show Cause Hearing	Post- Disposition Supervision	District Court	1: If subject was sentenced to complete a program 2: If the subject had violated the court order 3: If the subject is found in contempt 4: If subject is sentenced to jail 5: If Community Corrections is to monitor compliance	Contempt Order	Community Based Corrections	Update Case File	
231	- Judgment and Sentencing Order	Incarceration	Transport to Prison	Incarceration	Sheriffs Office	1: If indictable offense 2: If subject is sentenced to the custody of the director of corrections	Judgment and Sentencing Order	Corrections Institutions	Intake	
265	- Court Order - Motion for Reconsideration - Progress Report	Incarceration	Court Event	Incarceration	District Court	1: If the court chooses to review merits of case for reconsideration 2: If the court reaffirms the sentence	Court Order	Defense Counsel	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
266	<ul style="list-style-type: none">- Court Order- Motion for Reconsideration- Progress Report	Incarceration	Court Event	Incarceration	District Court	1: If the court chooses to review merits of case for reconsideration 2: If the court reaffirms the sentence	Court Order	County Attorney	Update Case File	
269	<ul style="list-style-type: none">- Notice of Hearing	Incarceration	Reconsideration Hearing	Incarceration	District Court	1: If the court reaffirms the sentence	Court Order	County Attorney	Update Case File	
270	<ul style="list-style-type: none">- Notice of Hearing	Incarceration	Reconsideration Hearing	Incarceration	District Court	1: If the court reaffirms the sentence	Court Order	Defense Counsel	Update Case File	
272	<ul style="list-style-type: none">- Motion for Reconsideration- Notice of Hearing	Incarceration	Reconsideration Hearing	Post-Disposition Supervision	District Court	1: If the subject is currently in prison 2: If subject is resentenced to probation	Judgment and Sentencing Order	Corrections Institutions	Update Case File	
401	<ul style="list-style-type: none">- Order for Consideration	Post-Disposition Court	Prosecutor Discretion Decision	Post-Disposition Court	Attorney Generals Office	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requested discretionary review 5: If A.G. decides to file a resistance	Resistance to Discretionary Review	Supreme Court	Consideration	
402	<ul style="list-style-type: none">- Order for Consideration	Post-Disposition Court	Prosecutor Discretion Decision	Post-Disposition Court	Attorney Generals Office	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requested discretionary review 5: If A.G. decides to file a resistance	Resistance to Discretionary Review	Defense Counsel	Consideration	
212		Post-Disposition Court	Motion Hearing	Post-Disposition Court	District Court	1: If court resets sentencing date 2: If a PSI has been ordered	Order to Reset Sentencing	County Attorney	Sentencing	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
213		Post-Disposition Court	Motion Hearing	Post-Disposition Court	District Court	1: If court resets sentencing date 2: If a PSI has been ordered	Order to Reset Sentencing	Defense Counsel	Sentencing	
396		Post-Disposition Court	Update Case File	Post-Disposition Court	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review 5: If Supreme Court requires file	Court Record	Supreme Court	Update Case File	
390	- Notice of Appeal	Appeal	Court Event	Post-Disposition Court	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has not filed brief 4: If the time for brief has expired 5: If the court reaffirms the sentence	Court Order	County Attorney	Update File	- [Discrepancy] Question as to whether or not court can dismiss case based on non-compliance, would affect right to appeal.
391	- Notice of Appeal	Appeal	Court Event	Reversed	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has not filed brief 4: If the time for brief has expired 5: If the court reverses the sentence	Court Order	County Attorney	Update File	- [] Often prosecutor will follow cases that they believe could be reversed.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
392	- Notice of Appeal	Appeal	Court Event	Reversed	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has not filed brief 4: If the time for brief has expired 5: If the court reverses the sentence 6: If traffic violation	Judgment Entry	Department of Transportation	Delete Record	
394	- Application for Discretionary Review	Appeal	Court Event	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review 5: If Supreme Court requires file	Oral Notification	District Court	Update Case File	- [] Only notify District Court if Supreme Court requires file be sent up.
397	- Application for Discretionary Review	Appeal	Court Event	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review	Order for Consideration	County Attorney	Prosecutor Discretion Decision	
398	- Application for Discretionary Review	Appeal	Court Event	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review	Order for Consideration	Attorney Generals Office	Prosecutor Discretion Decision	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
399	- Application for Discretionary Review	Appeal	Court Event	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review	Order for Consideration	Defense Counsel	Update Case File	
388	- Appellant Brief	Appeal	Appeal Hearing	Reversed	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has filed brief 4: If oral argument was granted 5: If the court reverses the sentence	Court Order	County Attorney	Update Case File	
389	- Appellant Brief	Appeal	Appeal Hearing	Reversed	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has filed brief 4: If oral argument was granted 5: If the court reverses the sentence 6: If traffic violation	Judgment Entry	Department of Transportation	Delete Record	
433	- Appellant Final Brief - Appellee Final Brief - Court Record	Appeal	Appeal Hearing	Post-Disposition Court	Supreme Court	1: If filing is with Supreme Court 2: If the court reaffirmed the sentence	Opinion	Attorney Generals Office	Update Case File	
434	- Appellant Final Brief - Appellee Final Brief - Court Record	Appeal	Appeal Hearing	Post-Disposition Court	Supreme Court	1: If filing is with Supreme Court 2: If the court reaffirmed the sentence	Opinion	Appellate Defender	Review	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
435	<div>- Appellant Final Brief</div> <div>- Appellee Final Brief</div> <div>- Court Record</div>	Appeal	Appeal Hearing	Reversed	Supreme Court	1: If filing is with Supreme Court 2: If the court reversed the sentence	Opinion	Appellate Defender	Update Case File	
436	<div>- Appellant Final Brief</div> <div>- Appellee Final Brief</div> <div>- Court Record</div>	Appeal	Appeal Hearing	Reversed	Supreme Court	1: If filing is with Supreme Court 2: If the court reversed the sentence	Opinion	Attorney Generals Office	Review	
429	<div>- Appellant Final Brief</div> <div>- Appellee Final Brief</div> <div>- Court Record</div>	Appeal	Opinion	Post-Disposition Court	Supreme Court	1: If filing is with Supreme Court 2: If court has ruled without oral argument 3: If the court reaffirmed the sentence	Opinion	Attorney Generals Office	Update Case File	<div>- [] Attorney General notifies DOC, Victim.</div>
430	<div>- Appellant Final Brief</div> <div>- Appellee Final Brief</div> <div>- Court Record</div>	Appeal	Opinion	Post-Disposition Court	Supreme Court	1: If filing is with Supreme Court 2: If court has ruled without oral argument 3: If the court reaffirmed the sentence	Opinion	Appellate Defender	Review	<div>- [Description] If supreme court decision rehearing could be requested, if court of appeals a review or rehearing or both.</div>
431	<div>- Appellant Final Brief</div> <div>- Appellee Final Brief</div> <div>- Court Record</div>	Appeal	Opinion	Reversed	Supreme Court	1: If filing is with Supreme Court 2: If court has ruled without oral argument 3: If the court reversed the sentence	Opinion	Appellate Defender	Update Case File	
432	<div>- Appellant Final Brief</div> <div>- Appellee Final Brief</div> <div>- Court Record</div>	Appeal	Opinion	Reversed	Supreme Court	1: If filing is with Supreme Court 2: If court has ruled without oral argument 3: If the court reversed the sentence	Opinion	Attorney Generals Office	Review	

Appendix L – Appeal Process

[illegible]

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
385		Post-Disposition Court	Notice of Appeal	Appeal	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If jailable offense 4: If court determines that subject qualifies for public defense	Appointment Order	Defense Counsel	Enter Written Appearance	
395	- Notice of Appeal	Post-Disposition Court	Notice of Appeal	Appeal	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal	Appointment Order	County Attorney	Update File	
415	- Notice of Appeal	Post-Disposition Court	Notice of Appeal	Appeal	District Court	1: If defendant has entered a notice of appeal 2: If filing is to Supreme Court	Docket Notice of Appeal	Supreme Court	Case Initiation	<div>- [] Court may fax Appeal Bond or some other form of notification.</div> <div>- [Cumbersome] DOC notifies Sheriff's Office and County Attorney upon release, County Attorney responsible for victim notification</div>
416	- Notice of Appeal	Post-Disposition Court	Notice of Appeal	Appeal	District Court	1: If defendant has entered a notice of appeal 2: If filing is to Supreme Court	Docket Notice of Appeal	Attorney Generals Office	Case Initiation	
418	- Notice of Appeal	Post-Disposition Court	Notice of Appeal	Appeal	District Court	1: If defendant has entered a notice of appeal 2: If filing is to Supreme Court 3: If defendant is in the custody of DOC 4: If appeal bond is set 5: If appeal bond is posted	Appeal Bond	Corrections Institutions	Release on Charge	<div>- [] Attorney General's Office notifies victim.</div> <div>- [Process Gap] Judge will not know that defendant has posted bond.</div> <div>- [Cumbersome] DOC notifies, Sheriff's Office and County Attorney.</div>
405	- Resistance to Discretionary Review	Post-Disposition Court	Consideration	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If court denies request for discretionary review	Order Denying Discretionary Review	Attorney Generals Office	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
407	- Resistance to Discretionary Review	Post-Disposition Court	Consideration	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If court denies request for discretionary review	Order Denying Discretionary Review Procedendo	District Court	Update Case File	- [] Procedendo is not sent out with order, Supreme Court Clerk sends out within 10 days.
413	- Resistance to Discretionary Review	Post-Disposition Court	Consideration	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If court denies request for discretionary review	Order Denying Discretionary Review	Defense Counsel	Update Case File	
412	- Resistance to Discretionary Review	Post-Disposition Court	Consideration	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If court grants request for discretionary review	Order Granting Discretionary Review	Appellate Defender	Filing	
404	- Resistance to Discretionary Review	Post-Disposition Court	Consideration	Appeal	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If court grants request for discretionary review 3: If A.G. filed a resistance	Order Granting Discretionary Review	Attorney Generals Office	Update Case File	
403	- Resistance to Discretionary Review	Post-Disposition Court	Consideration	Appeal	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If court grants request for discretionary review	Order Granting Discretionary Review	District Court	Update Case File	- [Cumbersome] Clerk has to manually docket event.
417	- Docket - Notice of Appeal	Appeal	Case Initiation	Appeal	Attorney Generals Office	1: If defendant has entered a notice of appeal 2: If filing is to Supreme Court	Request for Victim Information	County Attorney	Records Check	
390	- Notice of Appeal	Appeal	Court Event	Post-Disposition Court	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has not filed brief 4: If the time for brief has expired 5: If the court reaffirms the sentence	Court Order	County Attorney	Update File	- [Discrepancy] Question as to whether or not court can dismiss case based on non-compliance, would affect right to appeal.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
391	- Notice of Appeal	Appeal	Court Event	Reversed	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has not filed brief 4: If the time for brief has expired 5: If the court reverses the sentence	Court Order	County Attorney	Update File	- [] Often prosecutor will follow cases that they believe could be reversed.
392	- Notice of Appeal	Appeal	Court Event	Reversed	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has not filed brief 4: If the time for brief has expired 5: If the court reverses the sentence 6: If traffic violation	Judgment Entry	Department of Transportation	Delete Record	
394	- Application for Discretionary Review	Appeal	Court Event	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review 5: If Supreme Court requires file	Oral Notification	District Court	Update Case File	- [] Only notify District Court if Supreme Court requires file be sent up.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
397	- Application for Discretionary Review	Appeal	Court Event	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review	Order for Consideration	County Attorney	Prosecutor Discretion Decision	
398	- Application for Discretionary Review	Appeal	Court Event	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review	Order for Consideration	Attorney Generals Office	Prosecutor Discretion Decision	
399	- Application for Discretionary Review	Appeal	Court Event	Post-Disposition Court	Supreme Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If the court reaffirmed the sentence 4: If defendant requests discretionary review	Order for Consideration	Defense Counsel	Update Case File	
408		Appeal	Filing	Appeal	Appellate Defender	1: If filing is with Supreme Court 2: If defendant has entered a notice of appeal	Combined Certificate	District Court	Prepare Transcripts	
409		Appeal	Filing	Appeal	Appellate Defender	1: If filing is with Supreme Court 2: If defendant has entered a notice of appeal	Combined Certificate	Supreme Court	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
410	- Combined Certificate	Appeal	Prepare Transcripts	Appeal	District Court	1: If filing is with Supreme Court 2: If defendant has entered a notice of appeal 3: If court reporter completes transcript	Transcript	Appellate Defender	Request Docket	
393	- Notice of Appeal	Appeal	Brief Filed	Appeal	County Attorney	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If appellee has filed brief	Appellee Brief	District Court	Court Event	- [] Court upon will make determination as to oral arguement or submission.
386		Appeal	Brief Filed	Appeal	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has filed brief 4: If oral arguement was granted	Appellant Brief	County Attorney	Appeal Hearing	- [Discrepancy] Often the notice to the county attorney is oral. Court may not notice county attorney at all.
419	- Docket Notice	Appeal	Brief Filed	Appeal	Appellate Defender	1: If defendant has entered a notice of appeal 2: If filing is with Supreme Court	Appellant Brief Designation of Appendix	Supreme Court	Update Case File	
420	- Docket Notice	Appeal	Brief Filed	Appeal	Appellate Defender	1: If defendant has entered a notice of appeal 2: If filing is with Supreme Court	Appellant Brief Designation of Appendix	Attorney Generals Office	Brief Filed	
421	- Appellant Brief - Designation of Appendix	Appeal	Brief Filed	Appeal	Attorney Generals Office	1: If defendant has entered a notice of appeal 2: If filing is with Supreme Court 3: If appellant brief has been received	Appellee Brief Designation of Appendix	Supreme Court	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
422	<ul style="list-style-type: none">- Appellee Brief- Designation of Appendix	Appeal	Brief Filed	Appeal	Appellate Defender	1: If defendant has entered a notice of appeal 2: If filing is with Supreme Court 3: If appellee has filed brief	Appendix	Supreme Court	Update Case File	
423	<ul style="list-style-type: none">- Appellee Brief- Designation of Appendix	Appeal	Brief Filed	Appeal	Appellate Defender	1: If defendant has entered a notice of appeal 2: If filing is with Supreme Court 3: If appellee has filed brief	Appendix	Attorney Generals Office	Final Brief	
424	<ul style="list-style-type: none">- Appendix	Appeal	Final Brief	Appeal	Attorney Generals Office	1: If defendant has entered a notice of appeal 2: If filing is with Supreme Court 3: If appendix has been filed	Appellee Final Brief	Supreme Court	Screening	
411	<ul style="list-style-type: none">- Transcript	Appeal	Request Docket	Appeal	Appellate Defender	1: If defendant has entered a notice of appeal 2: If filing is with Supreme Court 3: If appellate defender receives transcript	Request to Waive Docket Fee	Supreme Court	Docket Notice	
414	<ul style="list-style-type: none">- Request to Waive Docket Fee	Appeal	Docket Notice	Appeal	Supreme Court	1: If defendant has entered a notice of appeal 2: If filing is with Supreme Court 3: If supreme court receives request to docket	Docket Notice	Appellate Defender	Brief Filed	
425	<ul style="list-style-type: none">- Appellant Final Brief- Appellee Final Brief- Court Record	Appeal	Screening	Appeal	Supreme Court	1: If filing is with Supreme Court 2: If all final briefs have been filed 3: If all records from District Court have been received 4: If oral arguement was granted	Notice of Appellate Submission	Attorney Generals Office	Appeal Hearing	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
426	<ul style="list-style-type: none">- Appellant Final Brief- Appellee Final Brief- Court Record	Appeal	Screening	Appeal	Supreme Court	1: If filing is with Supreme Court 2: If all final briefs have been filed 3: If all records from District Court have been received 4: If oral argument was granted	Notice of Appellate Submission	Appellate Defender	Appeal Hearing	
427	<ul style="list-style-type: none">- Appellant Final Brief- Appellee Final Brief- Court Record	Appeal	Screening	Appeal	Supreme Court	1: If filing is with Supreme Court 2: If all final briefs have been filed 3: If all records from District Court have been received	Notice of Appellate Submission	Appellate Defender	Update Case File	
428	<ul style="list-style-type: none">- Appellant Final Brief- Appellee Final Brief- Court Record	Appeal	Screening	Appeal	Supreme Court	1: If filing is with Supreme Court 2: If all final briefs have been filed 3: If all records from District Court have been received	Notice of Appellate Submission	Attorney Generals Office	Update Case File	
387	<ul style="list-style-type: none">- Appellant Brief	Appeal	Appeal Hearing	Post-Disposition Court	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has filed brief 4: If oral argument was granted 5: If court affirms original judgment	Court Order	County Attorney	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
388	- Appellant Brief	Appeal	Appeal Hearing	Reversed	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has filed brief 4: If oral argument was granted 5: If the court reverses the sentence	Court Order	County Attorney	Update Case File	
389	- Appellant Brief	Appeal	Appeal Hearing	Reversed	District Court	1: If a judgment of conviction on a simple misdemeanor 2: If defendant has entered a notice of appeal 3: If defendant has filed brief 4: If oral argument was granted 5: If the court reverses the sentence 6: If traffic violation	Judgment Entry	Department of Transportation	Delete Record	
433	- Appellant Final Brief - Appellee Final Brief - Court Record	Appeal	Appeal Hearing	Post-Disposition Court	Supreme Court	1: If filing is with Supreme Court 2: If the court reaffirmed the sentence	Opinion	Attorney Generals Office	Update Case File	
434	- Appellant Final Brief - Appellee Final Brief - Court Record	Appeal	Appeal Hearing	Post-Disposition Court	Supreme Court	1: If filing is with Supreme Court 2: If the court reaffirmed the sentence	Opinion	Appellate Defender	Review	
435	- Appellant Final Brief - Appellee Final Brief - Court Record	Appeal	Appeal Hearing	Reversed	Supreme Court	1: If filing is with Supreme Court 2: If the court reversed the sentence	Opinion	Appellate Defender	Update Case File	

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
436	<div>- Appellant Final Brief</div> <div>- Appellee Final Brief</div> <div>- Court Record</div>	Appeal	Appeal Hearing	Reversed	Supreme Court	1: If filing is with Supreme Court 2: If the court reversed the sentence	Opinion	Attorney Generals Office	Review	
429	<div>- Appellant Final Brief</div> <div>- Appellee Final Brief</div> <div>- Court Record</div>	Appeal	Opinion	Post-Disposition Court	Supreme Court	1: If filing is with Supreme Court 2: If court has ruled without oral argument 3: If the court reaffirmed the sentence	Opinion	Attorney Generals Office	Update Case File	<div>- [] Attorney General notifies DOC, Victim.</div>
430	<div>- Appellant Final Brief</div> <div>- Appellee Final Brief</div> <div>- Court Record</div>	Appeal	Opinion	Post-Disposition Court	Supreme Court	1: If filing is with Supreme Court 2: If court has ruled without oral argument 3: If the court reaffirmed the sentence	Opinion	Appellate Defender	Review	<div>- [Description] If supreme court decision rehearing could be requested, if court of appeals a review or rehearing or both.</div>
431	<div>- Appellant Final Brief</div> <div>- Appellee Final Brief</div> <div>- Court Record</div>	Appeal	Opinion	Reversed	Supreme Court	1: If filing is with Supreme Court 2: If court has ruled without oral argument 3: If the court reversed the sentence	Opinion	Appellate Defender	Update Case File	
432	<div>- Appellant Final Brief</div> <div>- Appellee Final Brief</div> <div>- Court Record</div>	Appeal	Opinion	Reversed	Supreme Court	1: If filing is with Supreme Court 2: If court has ruled without oral argument 3: If the court reversed the sentence	Opinion	Attorney Generals Office	Review	

Appendix M – Release/Discharge

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
66	<ul style="list-style-type: none">- Complaint & Affidavit- Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Pre-Disposition Supervision	District Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If subject is released to supervision	Release Order	Community Based Corrections	Intake	<ul style="list-style-type: none">- [Description] CBC prepares agreement for release. May be available for initial appearance.
65	<ul style="list-style-type: none">- Complaint & Affidavit- Pre-Trial Interview Recommendation	Court Pre-Arraignment	Initial Appearance	Pre-Disposition Supervision	District Court	1: If indictable offense 2: If subject is in custody 3: If court finds probable cause 4: If subject is released to supervision	Notice of Discharge	Sheriffs Office	Release on Charge	
201	<ul style="list-style-type: none">- Motion for Bond Review- Order for Bond Review	Detention	Initial Appearance	Court Charging	District Court	1: If subject is released on their own recognizance	Release Order	Sheriffs Office	Release on Charge	
97	<ul style="list-style-type: none">- Motion for Bond Review- Order for Bond Review	Detention	Bond Review Hearing	Court Charging	District Court	1: If defense has filed a motion for bond review 2: If court orders subject released	Release Order	Sheriffs Office	Release on Charge	
98	<ul style="list-style-type: none">- Motion for Bond Review- Order for Bond Review	Detention	Bond Review Hearing	Pre-Disposition Supervision	District Court	1: If defense has filed a motion for bond review 2: If court orders subject released 3: If subject is released to supervision	Release Order	Community Based Corrections	Intake	
232	<ul style="list-style-type: none">- Oral Notification	Detention	Transport to Residential	Post-Disposition Supervision	Sheriffs Office	1: If indictable offense 2: If subject is sentenced to probation 3: If residential placement is ordered 4: If bed space is available	Judgment and Sentencing Order	Community Based Corrections	Intake	
202	<ul style="list-style-type: none">- Detainers- Local Name Check- Release Order- Warrant Check	Court Charging	Release on Charge	Court Charging	Sheriffs Office	1: If subject is released on their own recognizance	Notification	Community Based Corrections	Update Case File	<ul style="list-style-type: none">- [Jurisdictionally Dependent, Wish List] Some jurisdictions receive a phone call. Others must review the jail lists.- [Description] Jail is also responsible for notifying victims.

ID	Source Information	Initial State	Initiating Event	Subseq. State	Sending Agency	Condition(s)	Transfer Information	Receiving Agency	Subseq. Event	Notes
203	<div><div>- Detainers</div><div>- Local Name Check</div><div>- Release Order</div><div>- Warrant Check</div></div>	Court Charging	Release on Charge	Court Charging	Sheriffs Office	1: If subject is released on their own recognizance 2: If warrant can be verified	Notification	Originating Agency	Transport	- [Cumbersome] Defendant may end up with conflicting court dates in multiple jurisdictions.
209		Court Trial	Trial	Discharge	District Court	1: If indictable offense 2: If subject is found not guilty	Order of Dismissal and Exoneration of Bond	County Attorney	Update Case File	
210		Court Trial	Trial	Discharge	District Court	1: If indictable offense 2: If subject is found not guilty 3: If subject is in custody	Order of Dismissal and Exoneration of Bond	Sheriffs Office	Release on Charge	
211		Court Trial	Trial	Discharge	District Court	1: If indictable offense 2: If subject is found not guilty 3: If subject is in custody	Order of Dismissal and Exoneration of Bond	Defense Counsel	Update Case File	
335		Court Trial	Trial	Discharge	District Court	1: If subject is charged with simple misdemeanor 2: If subject is found not guilty 3: If court has received a green sheet	Green Sheet	Department of Public Safety	Update Criminal History	
336		Court Trial	Trial	Discharge	District Court	1: If subject is charged with simple misdemeanor 2: If subject is found not guilty	Judgment Entry	County Attorney	Update Case File	
337		Court Trial	Trial	Discharge	District Court	1: If subject is charged with simple misdemeanor 2: If subject is found not guilty	Judgment Entry	Defense Counsel	Update Case File	